



On approval of the Rules for Compiling a List of Precious Metals Production Entities

Unofficial translation

Order of the Minister for Investments and Development of the Republic of Kazakhstan No. 293 dated March 29, 2016. It was registered with the Ministry of Justice of the Republic of Kazakhstan on April 29, 2016 under No. 13649.

Unofficial translation

In compliance with subparagraph 10) of article 4 of the Law of the Republic of Kazakhstan dated January 14, 2016 “On Precious Metals and Precious Stones” **I HEREBY ORDER:**

1. That the attached Rules for Compiling a List of Precious Metals Production Entities shall be attached.
2. The Industrial Development and Industrial Safety Committee of the Ministry for Investments and Development of the Republic of Kazakhstan (A. Yerzhanov) shall:
 - 1) ensure state registration of this order with the Ministry of Justice of the Republic of Kazakhstan;
 - 2) send a copy hereof in print and electronic form for official publication in periodicals and Adilet, the legal information system within ten calendar days after its state registration with the Ministry of Justice of the Republic of Kazakhstan, as well as to the Republican Center for Legal Information within five working days from the date of receipt of the registered order for inclusion into the reference control bank of regulatory legal acts of the Republic of Kazakhstan;
 - 3) place this order on the Internet resource of the Ministry for Investments and Development of the Republic of Kazakhstan and on the web-portal of the government agencies;
 - 4) within ten business days after the state registration of this order with the Ministry of Justice of the Republic of Kazakhstan, submit to the Legal Department of the Ministry for Investments and Development of the Republic of Kazakhstan information on the implementation of measures provided for in subparagraphs 1), 2) and 3) of this paragraph.
3. The control over the execution of this order shall be assigned to the supervising Vice Minister for Investments and Development of the Republic of Kazakhstan.
4. This order shall go into effect after the day of its first official publication.

*Minister
for Investments and development
of the Republic of Kazakhstan*

A. Issekeshv

Approved
by order of the Minister
for Investments and Development of the

Rules for Compiling a List of Precious Metals Production Entities

1. General provisions

1. These Rules for Compiling a List of Precious Metals Production Entities (hereinafter referred to as the Rules) are developed in accordance with subparagraph 10) of Article 4 of the Law of the Republic of Kazakhstan dated January 14, 2016 “On Precious Metals and Precious Stones” (hereinafter referred to as the Law) and determine the procedure for compiling a list of precious metals production entities (hereinafter referred to as the List).

2. The following basic concepts are used in these Rules:

1) refining - the process of purification of precious metals from impurities and related components, bringing precious metals to a quality that meets national or international standards;

2) precious metals - gold, silver, platinum and platinum group metals (palladium, iridium, rhodium, ruthenium and osmium) in any state and form;

3) precious metals production entities - legal entities of the Republic of Kazakhstan, engaged in the production of precious metals in the territory of the Republic of Kazakhstan;

4) raw materials containing precious metals - untreated precious metals (including Dore gold in the form of an ingot, cathode metals), zinc precipitation, scrap and waste of precious metals, ores and concentrates of precious metals, ores, concentrates and ash of non-ferrous metals, non-ferrous metal intermediates containing precious metals;

5) authorized body - the central executive body, which carries out management, as well as , within the limits provided for by the legislation of the Republic of Kazakhstan, intersectoral coordination in the field of regulating the production of precious metals and the circulation of precious metals and precious stones, commodities containing precious metals, jewelry and other products.

2. The procedure for compiling a list of precious metals production entities

3. The List shall include precious metal production entities that process and / or refine precious metals and raw materials containing precious metals, that filed a notification on entering data into the list of precious metal production entities (hereinafter - the Notification) in the form in accordance with Appendix 1 to these Rules.

4. The List of precious metals production entities shall be formed in the form in accordance with Appendix 2 to these Rules and approved by the authorized body. Entering data into the List shall be carried out by the authorized body on the basis of a notification.

5. The authorized body shall consider the notification within 5 working days from the date of its receipt with the adoption of one of the following decisions:

- 1) on the inclusion of the precious metals production entity into the List;
- 2) on the refusal to include the precious metals production entity into the List.

6. The reason for the refusal to include a precious metals production entity in the List shall be the discrepancy of the notification submitted by the precious metals production entity to the form in accordance with Appendix 1 to these Rules.

7. The decision to be included in the List shall be made in the form of an order of the authorized body, which shall be posted on the Internet resource of the authorized body.

8. In case of changes in the data entered in the List, the precious metals production entity shall notify the authorized body in any form, on the basis of which the authorized body shall make the corresponding changes to the List within 5 working days from the receipt of the notification.

9. In case of termination by the precious metals production entity of processing and (or) refining of precious metals and raw materials containing precious metals, this precious metals production entity shall be excluded by the authorized body from the List on the basis of its notification, submitted in any form, within 5 working days from the receipt of this notification

Appendix 1
to the Rules for compiling a list of
precious metals production entities

The form

To _____
(full name

of the government body)

Notification

on entry of the data into the list of precious metals production entities

1. _____

(full name of the legal entity, business identification
number)

represented by _____, acting under _____
hereby notifies of the implementation of activities on _____

(the name of the types of technological operations shall be indicated with

Specification of the type of commodity containing precious metals)

2. Location of the legal entity

(zip code, region, city, district, locality,

street name, house / building / number)

3. Email

4. Phone numbers

5. Fax

By submitting this notification, the applicant confirms the following:

all the data indicated are reliable, and any information on the implementation of activities may be directed to them;

the legal entity is not prohibited by the court from dealing with the declared type of activity;

the legal entity ensures compliance with the Legislation of the Republic of Kazakhstan, mandatory for implementation of activities.

7. Applicant _____

(signature) (last name, first name, patronymic - (if any))

Date of application:

"__" _____ 20__

Stamp here (if available)

Appendix 2
to the Rules for compiling a list of
precious metals production entities

The form

List of precious metals production entities

No.	Name of precious metals production entity	BIN	Location	Contact phone number	Email, Fax	Types of technological operations	Types of commodities containing precious metals