

On approval of the Rules for provision by the insurer, insurant, agent and society the information and documents necessary for the implementation control functions by the local executive body of the region, city of republican significance and capital city

### Invalidated Unofficial translation

Order of the Deputy Prime Minister of the Republic of Kazakhstan - Minister of Agriculture of the Republic of Kazakhstan dated September 28, 2018 No. 401. Registered in the Ministry of Justice of the Republic of Kazakhstan on October 29, 2018 No. 17627.

#### Unofficial translation

Footnote. It became invalid by the Order of the Minister of Agriculture of the Republic of Kazakhstan No. 321 dated 20.10.2020 (it is put into effect after ten calendar days after the date of its first official publication).

In accordance with subparagraph 12-7), of paragraph 2 of Article 5 of the Law of the Republic of Kazakhstan dated March 10, 2004 "On Compulsory Insurance in Plant Production" and subparagraph 2), paragraph 3 of Article 16 of the Law of the Republic of Kazakhstan dated March 1019, 2010 "On State Statistics" I hereby ORDER:

- 1. To approve the enclosed Rules for provision by the insurer, insurant, agent and society the information and documents necessary for the implementation control functions by the local executive body of the region, city of republican significance and capital city.
- 2. In accordance with the procedure established by the legislation, the Department of Plant Production and Processing of the Ministry of Agriculture of the Republic of Kazakhstan shall:
- 1) ensure state registration of this order with the Ministry of Justice of the Republic of Kazakhstan;
- 2) within ten calendar days of the state registration of this order, send it both in Kazakh and Russian languages to the Republican State Enterprise with the Right of Economic Management "Republican Center of Legal Information" for its official publication and inclusion into the Reference Control Bank of Regulatory Legal Acts of the Republic of Kazakhstan;
- 3) within ten calendar days after the state registration of this order, send a copy of it for official publication in periodicals;
- 4) place this order on the website of the Ministry of Agriculture of the Republic of Kazakhstan;

- 5) within ten working days of the state registration of this order, submit the information on the implementation of measures, provided for in subparagraphs 1), 2), 3) and 4) of this paragraph, to the Legal Department of the Ministry of Agriculture of the Republic of Kazakhstan.
- 3. Control over execution of this order shall be entrusted to the supervising Vice Minister of Agriculture of the Republic of Kazakhstan.
- 4. This order shall take effect ten calendar days after the day of its first official publication.

Deputy Prime Minister of the
Republic of Kazakhstan

- Minister of Agriculture
of the Republic of Kazakhstan

"AGREED"

Committee on Statistics
of the Ministry of National Economy
of the Republic of Kazakhstan

U. Shukeyev

Approved by order No. 401 of the Deputy Prime Minister of the Republic of Kazakhstan dated September 28, 2018

On approval of the Rules for provision by the insurer, insurant, agent and society the

information and documents necessary for the implementation control functions by the local

executive body of the region, city of republican significance and capital city Chapter 1. General provisions

- 1. These Rules for the provision by the insurer, insurant, agent and society the information and documents necessary for the implementation control functions by the local executive body of the region, city of republican significance and capital city (hereinafter referred to as the Rules) have been developed in accordance with subparagraph 12-7), paragraph 2 of Article 5 of the Law of the Republic of Kazakhstan dated March 10, 2004 "On Compulsory Insurance in Plant Production" (hereinafter referred to as the Law) and establish procedures for the provision of information and documents, necessary for the implementation control functions by the local executive body of the region, city of republican significance and capital city.
  - 2. Basic concepts and definitions used in the Rules:

- 1) agent a joint stock company established in accordance with the Law, the part of the national managing holding in the sphere of agro and industrial complex, with the state as a sole shareholder;
- 2) contract of compulsory insurance in plant production (hereinafter referred to as the Contract of Compulsory Insurance) - an agreement concluded between insurer and insurant under the conditions determined by the Law;
- 3) mutual insurance society in plant production (hereinafter referred to as the Society) a legal entity established in the organizational and legal form of a consumer cooperative for the purpose of mutual insurance of property interests of its members in the field of compulsory insurance in plant production;
- 4) insurer a legal entity licensed to carry out compulsory insurance in plant production in the order established by the legislation of the Republic of Kazakhstan, obligated in an insured accident to make an insurance payment to insurant or other person (beneficiary) in behalf of which the contract is concluded, within certain insured amount specified in the contract (sum insured);
- 5) insurant a person engaged in the production of crop products and entered into a compulsory insurance agreement with the insurer.

# Chapter 2. Procedure for providing information and documents

administrative data in accordance with Annex 3 to these Rules.

3. Insurer and company shall on a monthly basis, no later than the 5th day of the
month following the reporting month, provide the agent with:
the information on effective compulsory insurance agreements in plant production
by regions, cities of republican significance, districts and insurers as of ""
20 in the form for the collection of administrative data in accordance with Annex
1 to this Regulation;
information on insurance accident by regions, cities of republican significance,
districts and insurers as of "" 20 in the form intended for the
collection of administrative data in accordance with Annex 2 to these Rules;
statement on insurance accident by regions, cities of republican significance and
districts on under contracts of compulsory insurance in plant production, signed in the
year 20 as of "" 20 in the form for the collection of

republican significance and the capital city:

information on insurance accident by regions, cities of republican significance,
districts and insurers as of "\_\_\_" \_\_\_\_\_ 20\_\_\_ in the form for the collection of
administrative data in accordance with Annex 2 to these Rules;

reporting month, shall provide to the local executive bodies of regions, cities of

4. The agent, monthly, no later than the 10th day of the month following the

	statement on insu	rance accident in	plant p	roduct	tion by regio	ns, cities of	f republ	ican
•	significance and distr	ricts under contra	cts of	compu	lsory insurai	nce, signed	in the	year
,	20 as of ""	20	in the	form f	for the collec	ction of adr	ninistra	tive
	data in accordance wi							
	information on e	ffective compulso	ry ins	urance	agreements	in plant pro	oduction	n by
1	regions, cities of repu							,
	20 in the form for	_						ex 4
1	to these Rules;							
	information on e	ffective compulso	ory ins	urance	agreements	in plant pro	oduction	n by
]	regions, cities of repu	-	•		•			,
	20 in the form inte	•						with
	Annex 5 to these Rule							
						Annex 1		
						s for the provision, insurant, agent	-	
						, msurant, agent		
					document	ts necessary for	the	
					-	tion control fun		
					=	executive body city of republication		
					_	nce and capital of		
						for the collectio	n of	
					admi	nistrative data		
	Information on effe	ective compulsor	ny ingr	irance	agreement	s in nlant r	roduct	rion
		cuve compaisor	.у шас	пансс	agreement	s in brant l	noduci	лоп
	by regions,		. • .			0// **		
	cities of republican	significance, di	stricts	and in	isurers as o	f""_		
-	20							
			. •		`			
	Index: 1-IEC (Inf		tive co	ontracts	S)			
	Frequency: month	•						
	Submitting entities	es: insurer and soc	eiety					
	Submitted to: age	nt						
	Submission term:	monthly, no later	the 5 <sup>t</sup>	<sup>h</sup> day o	of the month	following t	the	
	reporting month.							
		Code according to			Total insurance	Total	Total	
	I Name of region city of t	classifier of	№ of	Contract	premium under	insurance sum under the	insured	
	district and insurant	administrative and	contract	date	the contract, in	contract, in	area, in	
		territorial objects			tenge	tenge	hectares	
	1 2	2	4	5	6	7	0	

Tota	ıl within t	he district											
Tota	ıl within t	he region											
tal	ble con	tinuatio	on										
ncludii	ng												
Crops, l	hectares												
Γotal	Including	g											
ı otai	Wheat	Barley	Oats	Buckwhe	eat	Millet	Peas	Chickpeas	Rye	Rice	Grain maize		
)	10	11	12	13		14	15	16	17	18	19		
tal	ble con	tinuatio	าท										
	010 0011												
Dilseed	s, hectare	S											
1	Includin	g					Sugar	Sugar beet, hectares			on, hectares		
otal	Coleseed	d Sunf	lower	Soya	Saff	lower							
20	21	22		23	24		25			26	26		
_													
		<b>~</b> ,					,	•.•	N.1 1	1			
								osition of	the n	ead			
(1	or the p	period (	of the	ir abser	ice -	their	deput	y)) )					
(s)	ignatur	re)											
N	ote: exp	planatio	on on	filling	the f	orm sl	nall be	e given in	the an	nnex	to this form.		
										Anne			
											e collection of a "Information		
								adı			a "information Compulsory		
								In			nents in Plant		
										_	gions, Cities of		
											cance, Districts		
								•			as of ""		
											_ 20 "		

Explanation on filling the form for the collection of administrative data " Information on

Effective Compulsory Insurance Agreements in Plant Production by Regions,

#### Cities of

# Republican Significance, Districts and Insurers as of "\_\_\_" \_\_\_\_\_ 20\_\_" Chapter 1. General provisions

- 1. This explanation (hereinafter referred to as the Explanation) defines uniform requirements for filling the form for collecting administrative data "Information on effective compulsory insurance agreements in plant production by regions, cities of republican significance, districts and insurers as of "\_\_\_" \_\_\_\_\_ 20\_\_" (hereinafter referred to as the Form)
- 2. The form has been developed in accordance with subparagraph 12-7), paragraph 2 Article 5 of the Law of the Republic of Kazakhstan dated March 10, 2004 "On Compulsory Insurance in Plant Production".
  - 3. The form shall be submitted monthly by the insurer and the society.
- 4. The form shall be signed by the head (for the period of their absence by their deputy), the month, day, year shall be specified.

- 5. Column 1 shall indicate the sequence number.
- 6. Column 2 shall indicate the name of the region, city of republican significance, district and insurant.
- 7. Column 3 shall indicate the code according to the classifier of administrative and territorial objects.
- 8. Column 4 shall indicate the number of compulsory insurance agreement in plant production.
- 9. Column 5 shall indicate the date of compulsory insurance agreement in plant production.
- 10. Column 6 shall indicate the total insurance premium under the contract, in tenge.
  - 11. Column 7 shall indicate the total insurance sum under the contract, in tenge.
  - 12. Column 8 shall indicate total insured area, in hectares.
  - 13. Column 9 shall indicate total crops, in hectares.
- 14. Columns 10-19 shall indicat the name of crops and their insured area, in hectares.
  - 15. Column 20 shall indicate total oilseeds, in hectares.
- 16. Columns 21-24 shall indicate the name of oilseeds and their insured area, in hectares.
  - 17. Column 25 shall indicate insured area of sugar beet, in hectares.
  - 18. Column 26 shall indicate insured area of cotton, in hectares.

Annex 2
to the Rules for provision by the insurer, insurant, agent and society the information and documents necessary for the implementation control functions by the local executive body of the region, city of republican significance and capital city

Form for the collection of administrative data

Information on insurance accident by regions, cities of republican significance, districts and insurers as of "\_\_\_" \_\_\_\_ 20\_\_\_

Index: 2-IIE (Information on insurance event)

Frequency: monthly

Submitting entities: insurer and society, agent

Submitted to: by insurer and society to agent, by agent to local executive body of the region, city of republican significance and capital city

Submission term: monthly, by insurer and society no later than the 5<sup>th</sup> day of the month, agent no later than the 10<sup>th</sup> day of the month following the reporting month

									_		
	of republican	Code according to classifier of	Total insured area	in hectares		of los		S	the	C	erops,
N	<u> </u>	district administrative and c	1 4			Oilseeds		sugar beet		cotton	
	and insurant			total	partial	total	partial	total	partial	total	partial
1	2	3	4	5	6	7	8	9	10	11	12
	Total on region										
	Total on Republic										

#### table continuation

Adverse act of	Total requests f o r examination,	Number of drawn inspection reports	Number payment to insurance s	an incura	The amount of insurance payments made, in tenge	
nature	units	, units	accepted	rejected	under consideration	made, in tenge
13	14 15		16	17	18	19

(for the period of their absence - their deputy))
(signature)
Note: explanation on filling the form shall be given in the annex to this form.
Annex
to the form for the collection of
administrative data "Information
on Insurance Accident by Regions,
Cities of Republican Significance,
Districts and Insurers as of ""
20 "

Explanation on filling the form, for the collection of administrative data "Information on

Insurance Accident by Regions, Cities of Republican Significance, Districts and Insurers as of

11	**	20	•

# Chapter 1. General provisions

- 1. This explanation (hereinafter referred to as the Explanation) defines the uniform requirements for filling the form for collecting administrative data "Information on Insurance Accident by Regions, Cities of Republican Significance, Districts and Insurers as of "\_\_\_" \_\_\_\_\_ 20\_\_\_ " (hereinafter referred to as the Form).
- 2. The form has been developed in accordance with subparagraph 12-7), paragraph 2 of Article 5 of the Law of the Republic of Kazakhstan dated March 10, 2004 "On Compulsory Insurance in Plant Production"
- 3. The form shall be compiled by insurer and society, by agent, indicating the area of the loss of crops for insured crops, monthly.
- 4. The form shall be signed by the head (for the period of their absence by their deputy).

- 5. Column 1 shall indicate the sequence number.
- 6. Column 2 shall indicate name of the region, city of republican significance, district and insurant.
- 7. Column 3 shall indicate the code according to the classifier of administrative and territorial objects.
  - 8. Column 4 shall indicate the total area of crops insured, in hectares.
  - 9. Column 5 shall indicate the total area of grain crops loss, in hectares
  - 10. Column 6 shall indicate the area of partial loss of grain crops, in hectares.
  - 11. Column 7 shall indicate the total area of oilseeds crops loss, in hectares.

- 12. Column 8 shall indicate the area of partial loss of oilseeds crops, in hectares.
- 13. Column 9 shall indicate the area of total loss of sugar beet, in hectares.
- 14. Column 10 shall indicate the area of partial loss of sugar beet, in hectares.
- 15. Column 11 shall indicate the area of total loss of cotton, in hectares.
- 16. Column 12 shall indicate the area of partial loss of cotton, in hectares.
- 17. Column 13 shall indicate the adverse act of nature.
- 18. Column 14 shall indicate the total requests for examination, in units.
- 19. Column 15 shall indicate the number of drawn inspection reports, in units.
- 20. Column 16 shall indicate the number of accepted applications for insurance payment to an insurance company or a society, in units.
- 21. Column 17 shall indicate the number of rejected applications for insurance payment to an insurance company or a society, in units.
- 22. Column 18 shall indicate the number of applications under consideration for insurance payment to an insurance company or a society, in units.
  - 23. Column 19 shall indicate the amount of insurance payments made, in tenge.

Annex 3
to the Rules for the provision by
the insurer, insurant, agent and
society the information and
documents necessary for the
implementation control functions
by the local executive body of the
region, city of republican
significance and capital city
The form for the collection
of administrative data

The statement on insurance accident in plant production by regions, cities of republican

significance and districts under contracts of compulsory insurance, signed in the year

20	as of	66 99	20

Index: 3-SIE (statement on insurance event)

Frequency: monthly

Submitting entities: insurer and society, agent

Submitted to: by insurer and society to agent, by agent to local executive body of the region, city of republican significance and capital city

Submission term: monthly, by insurer and society no later than the 5<sup>th</sup> day of the month, agent no later than the 10<sup>th</sup> day of the month following the reporting month

 <i>_</i>		1 0
	Number of	The number of
	drawn	insurance payments

№	Name of insurance company / name of region, city of republican significance,	Code according to classifier of administrative and	Total requests for examination	inspection reports		made by insurer or society to insurant	
	district	territorial objects	, units	units	area, hectares	number, units	thousand tenge
1	2	3	4	5	6	7	8
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
	Total						
	Total by region						
	Total by insurance companies						

### table continuation

Compensated the part of insurance payments by the Agent to the insurer or society				
number, units	sum, thousand tenge	Notes		
9	10	11		

(last name	first name, patronymic (if any), position of the head	
(for the pe	riod of their absence - their deputy))	
` •	<b>2 2</b> //	
(signature		
₹ 5	anation on filling the forms shall be given in the annex to this	c

Note: explanation on filling the form shall be given in the annex to this form.

Annex
to the form for the collection of
administrative data "The Statement
on Insurance Accident in Plant
Production by Regions, Cities of
Republican Significance and
Districts under Contracts of

Compulsory	y Insurance in P	lant
Production, S	Signed in 20	as of
	20	"

The explanation on filling the form, for the collection of administrative data "
The Statement
on Insurance Accident in Plant Production by Regions, Cities of Republican
Significance and
Districts under Contracts of Compulsory Insurance in Plant Production, signed
20\_\_\_ as of "\_\_\_" \_\_\_\_\_ 20\_\_\_"

Chapter 1. General provisions

- 1. This explanation (hereinafter referred to as the Explanation) defines the uniform requirements on filling the form, for the collection of administrative data "The Statement on Insurance Accident in Plant Production by Regions, Cities of Republican Significance and Districts under Contracts of Compulsory Insurance in Plant Production, signed in 20\_\_\_ as of "\_\_\_" \_\_\_\_ 20\_\_\_" (hereinafter referred to as the Form).
- 2. The form has been developed in accordance with subparagraph 12-7), paragraph 2 of Article 5 of the Law of the Republic of Kazakhstan dated March 10, 2004 "On Compulsory Insurance in Plant Production".
- 4. The form shall be signed by the head (for the period of their absence by their deputy).
  - 3. The form shall be executed by the insurer and society, by agent, monthly.
- 4. The form shall be signed by the head (for the period of their absence by their deputy), the month, day, year shall be specified.

- 5. Column 1 shall indicate the sequence number.
- 6. Column 2 shall indicate the name of the region, city of republican significance, district and insurant.
- 7. Column 3 shall indicate the code according to the classifier of administrative and territorial objects.
  - 8. Column 4 shall indicate the total requests for examination, in units.
  - 9. Column 5 shall indicate the number of drawn inspection reports, in units.
  - 10. Column 6 shall indicate the area on drawn inspection reports, in hectares.
- 11. Column 7 shall indicate the number of insurance payments made by insurer or society to insurant, in units.

- 12. Column 8 shall indicate the sum of insurance payments made by insurer or society to insurant, in thousand tenge.
- 13. Column 9 shall indicate the number compensated the part of insurance payments by the agent to the insurer or society, in units.
- 14. Column 10 shall indicate the sum compensated the part of insurance payments by the agent to the insurer or society, in thousand tenge.
  - 15. Column 11 shall indicate the notes.

Annex 4
to the Rules for the provision by
the insurer, insurant, agent and
society the information and
documents necessary for the
implementation control functions
by the local executive body of the
region, city of republican
significance and capital city
The form for the collection of
administrative data

Index: 4-IECIC (Information on effective compulsory insurance agreements)

Frequency: monthly

Submitting entities: agent

Submitted to: to the local executive body of the region, city of republican significance and capital city

Submission term: monthly, no later than the 10<sup>th</sup> day of the month following the reporting month

	No republican significance, classifier of adm		the	Contract date	area, in	Including Crops, hectares		
No		classifier of administrative and territorial objects				Total, hectares	Including	
							Wheat	Barley
1	2	3	4	5	6	7	8	9
	Total by district							
	Total by region							

#### Table continuation

Including	
Crops, hectares	

Including							
Oats	Buckwheat	Millet	Peas	Chickpeas	Rye	Rice	Grain maize
10	11	12	13	14	15	16	17

#### Table continuation

Including							
Oilseeds, in hectares							
Total in bactones	Including				Sugar beet, in hectares	Cotton, in hectares	
Total, in hectares	Coleseed	Sunflower	Soya	Safflower			
18	19	20	21	22	23	24	

(last name, first name, patronymic (if a (for the period of their absence - their	• / • 1
(signature)	
Note: explanation on filling the form s	shall be given in the annex to this form.
	Annex
	to the form for the collection of
	administrative data "Information
	on Effective Compulsory
	Insurance Agreements in Plant
	Production by Regions, Cities of
	Republican Significance, Districts
	and Insurers as of

Explanation on filling the form for the collection of administrative data "
Information on
Effective Compulsory Insurance Agreements in Plant Production by Regions,
Cities of
Republican Significance, Districts and Insurers as of "\_\_\_" \_\_\_\_\_ 20\_\_"
Chapter 1. General provisions

20 "

1. This explanation (hereinafter referred to as the Explanation) defines the uniform requirements on filling the form, for the collection of administrative data Information

on effective compulsory insurance agreements in plant production by regions, cities of republican significance, districts and insurers as of "\_\_\_" \_\_\_\_ 20\_\_" (hereinafter referred to as the Form).

- 2. The form has been developed in accordance with subparagraph 12-7), paragraph 2 of Article 5 of the Law of the Republic of Kazakhstan dated March 10, 2004 "On Compulsory Insurance in Plant Production".
  - 3. The form shall be submitted monthly by the agent.
- 4. The form shall be signed by the head (for the period of their absence by their deputy), the month, day, year shall be specified.

# Chapter 2. Explanation on filling the form

- 5. Column 1 shall indicate the sequence number.
- 6. Column 2 shall indicate the name of the region, city of republican significance, district and insurant.
- 7. Column 3 shall indicate the code according to the classifier of administrative and territorial objects.
- 8. Column 4 shall indicate the number of the compulsory insurance agreement in plant production.
- 9. Column 5 shall indicate the date of the compulsory insurance agreement in plant production.
  - 10. Column 6 shall indicate the total insured area, in hectares.
  - 11. Column 7 shall indicate the total area of crops, in hectares.
- 12. Columns 8-17 shall indicate the name of crops and their insured area, in hectares.
  - 13. Column 18 shall indicate the total insured area of oilseeds, in hectares.
- 14. Columns 19-22 shall indicate the name of oilseeds and their insured area, in hectares.
  - 15. Column 23 shall indicate the insured area of sugar beet, in hectares.
  - 16. Column 24 shall indicate the insured area of cotton, in hectares.

Annex 5
to the Rules for the Provision by
the Insurer, Insurant, Agent and
Society the Information and
Documents Necessary for the
Implementation of Control
Functions by the Local Executive
oBdy of the Region, City of
Republican Significance and
Capital City
The form for the collection of
administrative data

information on effective compulsory insurance agreements in plant production by regions, eities of republican significance, districts and insurers as of ""
20"
Index: 5-IECIC (Information on effective compulsory insurance agreements) Frequency: monthly
Submitting entities: agent  Submitted to: to the local executive body of the region, city of republican
significance and capital city  Submission term: monthly, no later than the 10 <sup>th</sup> day of the month following the reporting month

reporting month.

	Name of region, city of republican significance, district and insurant	Code according	Total insurable sown cropland, i n hectares	Total insured area,in hectares	Covered by insurance, %	Number o f concluded contracts, units	The sum of insurance premium under the contract with insurants, in tenge	Total insurance sum under the contract with insurants, in tenge
1	2	3	4	5	6	7	8	9
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
	Total							

(last name, first name, patronymic (if any), position of the head
(for the period of their absence - their deputy))
(signature)
Note: explanation on filling the form shall be given in the annex to this form.
Annex
to the form for the collection of

The explanation on filling the form, for the collection o	f adr	ninistrative data	เ "
Information on			
Effective Compulsory Insurance Agreements in Plant P	rodu	ction by Region	ıs,
Cities of			
Republican Significance, Districts and Insurers as of "_	<b>,,</b>	20	"
Chapter 1. General provisions			

- 1. This explanation (hereinafter referred to as the Explanation) defines the uniform requirements on filling the form, for the collection of administrative data "Information on Effective Compulsory Insurance Agreements in Plant Production by Regions, Cities of Republican Significance, Districts and Insurers as of "\_\_\_" \_\_\_\_\_ 20\_\_" (hereinafter referred to as the Form).
- 2. The form has been developed in accordance with subparagraph 12-7), paragraph 2 of Article 5 of the Law of the Republic of Kazakhstan dated March 10, 2004 "On Compulsory Insurance in Plant Production".
- 3. The form on the effective compulsory insurance agreements shall be executed monthly by the agent.
- 4. The form shall be signed by the head (for the period of their absence by their deputy), the month, the day, the year shall be specified.

- 5. Column 1 shall indicate the sequence number.
- 6. Column 2 shall indicate the name of the region, city of republican significance, district and insurant.
- 7. Column 3 shall indicate the code according to the classifier of administrative and territorial objects.
  - 8. Column 4 shall indicate the total insurable sown cropland, in hectares.
  - 9. Column 5 shall indicate the total insured area, in hectares.
  - 10. Column 6 shall indicate covered by insurance, in percent.
  - 11. Column 7 shall indicate the number of concluded contracts, units.
- 12. Column 8 shall indicate the sum of insurance premium under the contract with insurants, in tenge.

13. Column 9 shall indicate total insurance sum under the contract with insurants, in tenge.
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