Әд?лет

About approval of risk assessment criteria and checklist in the field of architecture, town planning and construction behind the activity of local executive bodies for architecture, town planning, construction, and state architectural and constructional control and supervision

Invalidated Unofficial translation

The Minister of National Economy of the Republic of Kazakhstan N_{2} 757 dated October 31, 2018 and the Minister of National Economy of the Republic of Kazakhstan N_{2} 52 dated October 31, 2018. Registered with the Ministry of Justice of the Republic of Kazakhstan dated November 1, 2018 N_{2} 17665. Abolished by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated December 30, 2022 No. 763 and the Minister of National Economy of the Republic of Kazakhstan dated December 19, 2022 No. 130

Unofficial translation

Footnote. Abolished by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated December 30, 2022 No. 763 and the Minister of National Economy of the Republic of Kazakhstan dated December 19, 2022 No. 130 (effective from 01.01.2023).

Footnote. Title - in the wording of the joint order of the Minister of industry and infrastructure development of the Republic of Kazakhstan dated 28.04.2020 No. 240 and the Minister of national economy of the Republic of Kazakhstan dated 30.04.2020 No. 34 (effective ten calendar days after the date of its first official publication).

In accordance with paragraph 3 of Article 141 and paragraph 1 of Article 143 of the Entrepreneurial Code of the Republic of Kazakhstan of October 29, 2015, we hereby **ORDER**:

1. To approve:

1) criteria for assessing the degree of risk in the field of architecture, town planning and construction of the activities of local executive bodies for architecture, town planning, construction and state architectural and construction control and supervision, in accordance with Appendix 1 to this joint order;

2) checklist in the field of architecture, town planning and construction in relation to the architecture and town planning bodies, in accordance with Appendix 2 to this joint order;

3) checklist in the field of architecture, town planning and construction in relation to the bodies of state architectural and construction control and supervision, in accordance with Appendix 3 to this joint order; 4) checklist in the field of architecture, town planning and construction in relation to the construction bodies, in accordance with Appendix 4 to this joint order.

2. Order \mathbb{N}_{235} of the Acting Minister of National Economy of the Republic of Kazakhstan of June 3, 2016 "On approval of risk assessment criteria and checklist in the field of architecture, town planning and construction behind the activity of local executive bodies for architecture, town planning , construction and state architectural and construction control and supervision "(registered in the Register of state registration of regulatory legal acts under \mathbb{N}_{2} 14139, published September 1, 2016 in the legal information system "Adilet").

3. The Committee for Construction and Housing and Communal Services of the Ministry for Investment and Development of the Republic of Kazakhstan, in accordance with the procedure established by legislation, shall:

1) provide the state registration of this joint order with the Ministry of Justice of the Republic of Kazakhstan;

2) within ten calendar days from the date of state registration of this joint order, direct it in the Kazakh and Russian languages to the Republican State Enterprise with the Right of Economic Management "Republican Center of Legal Information" for official publication and inclusion in the Reference Control Bank of Regulatory Legal Acts of the Republic of Kazakhstan;

3) place this joint order on the Internet resource of the Ministry for Investment and Development of the Republic of Kazakhstan;

4) within ten working days after the state registration of this joint order with the Ministry of Justice of the Republic of Kazakhstan, submit to the Legal Department of the Ministry of Investment and Development of the Republic of Kazakhstan the data on execution of the actions provided for in subparagraphs 1), 2) and 3) of this paragraph.

4. Control over the execution of this joint order shall be entrusted to the Supervising Vice Minister for Investment and Development of the Republic of Kazakhstan.

5. This joint order shall enter into force upon expiry of ten calendar days after the date of its first official publication.

 Minister of Investment and Development
 Zh. Kasymbek

 of the Republic of Kazakhstan
 Zh. Kasymbek

 Minister of National Economy
 T. Suleimenov

 of the Republic of Kazakhstan
 T. Suleimenov

 "AGREED"
 Committee on Legal Statistics

 and Special Accounts
 of the General Prosecutor's Office

of the Republic of Kazakhstan

Appendix 1 to Joint Order № 757 of the Minister of Investment and Development of the Republic of Kazakhstan of October 31, 2018 and № 52 of the Minister of National Economy of the Republic of Kazakhstan of October 31, 2018

Criteria for assessing the degree of risk in the field of architecture, town planning and construction behind the activity of local

executive bodies for architecture, town planning, construction and state architectural and constructional control and supervision

Chapter 1. General Provisions

1. These criteria for assessing the degree of risk in the field of architecture, town planning and construction behind the activities of local executive bodies for architecture, town planning , construction, and state architectural and constructional control and supervision (hereinafter referred to as Criteria) are developed in accordance with paragraph 3 of Article 141, paragraph 1 of Article 143 of the Entrepreneurial Code of the Republic of Kazakhstan (hereinafter referred to as the Code) and the Rules for Forming by the State Bodies of the Risk Assessment System and the Forms of Checklists, approved by order No3 of the Acting Minister of National Economy of the Republic of Kazakhstan, dated July 31, 2018 (registered in the Register of State Registration of Regulatory Legal Acts under № 17371) for assigning subjects of control and supervision to risk degrees.

2. The following concepts shall be used in these Criteria:

1) subjects of control and supervision - local executive bodies for:

architecture and town planning ;

construction;

state architectural and construction control and supervision;

2) significant violations - violations established by normative legal acts in the field of architecture, town planning, entailing administrative and criminal liability provided for by the laws of the Republic of Kazakhstan and related to the submission of inaccurate reporting and monitoring, non-compliance with state standards, deadlines, procedure for accepting notifications, procedure of conducting preventive control and supervision with a visit to the subject of control and supervision and elimination of identified violations, as well as infringement of the rights and legitimate interests of citizens, presence of complaints and appeals;

3) insignificant violations - untimely and incorrect execution of records;

4) gross violations - violations established by regulatory legal acts in the field of architecture, town planning and construction, which result in deterioration of the condition of a favorable habitat and human activity, including persons with disabilities, associated with the presence of man-made disasters (collapse of bearing and enclosing structures and buildings), with damage to state interests, which entail administrative and criminal liability, as provided for by the laws of the Republic of Kazakhstan, also the lack of staff with appropriate education and certain work experience, absence of completed construction projects, lack of and inconsistency with the levels of expert responsibility, lack of license and accredited experts;

5) risk in the field of architecture, town planning and construction - the probability of causing harm as a result of the activities of subjects of control and supervision to human life or health, the environment, legitimate interests of individuals and legal entities, property interests of the state, taking into account the severity of its consequences;

6) objective criteria for assessing the degree of risk (hereinafter referred to as objective criteria) are criteria for assessing the degree of risk used to select subjects of control and supervision, depending on the degree of risk in a particular field of activity and not directly dependent on the individual subject of control and supervision;

7) subjective criteria for assessing the degree of risk (hereinafter referred to as subjective criteria) risk assessment criteria used to select local executive bodies for architecture, town planning, construction, and state architectural construction control and supervision, depending on the performance of a particular subject of control and supervision;

8) checklist - a list of requirements that includes only those requirements for the activities of subjects of control and supervision, the non-observance of which entails a threat to human life and health, the environment, legitimate interests of individuals and legal entities, the state.

3. Criteria for assessing the degree of risk in the field of architecture, town planning and construction activity of local executive bodies for architecture, town planning, construction and state architectural and construction control and supervision shall be formed by means of objective and subjective criteria.

Chapter 2. Objective criteria

4. In the field of architecture, town planning and construction, all the subjects of control and supervision shall be assigned to a high degree of risk according to objective criteria - local executive bodies for:

architecture and town planning ; construction;

state architectural and constructional control and supervision, since their activities are directly related to causing the greatest harm to human life or health, the environment, legitimate interests of individuals and legal entities, the state's property interests, taking into account the severity of its consequences, that is, their activities have to ensure safety, comfortable and favorable living environment (habitat).

Chapter 3. Subjective criteria

5. To determine the subjective criteria for assessing the degree of risk, the following information sources of shall be used:

1) results of monitoring reports and information provided by the subject of control and supervision, including through automated information systems;

2) results of the previous inspection / preventive control and supervision with a visit to the subject of control and supervision (in this case the severity of violations (gross, significant, insignificant) shall be established in event of non-compliance with legal requirements);

3) presence and number of confirmed (justified) complaints and appeals;

4) results of analysis of the official Internet resources of state bodies, the media.

6. Basing on the available information sources, subjective criteria shall be divided into three degrees of violation: gross, significant, insignificant.

To assign the subject of control and supervision to the degree of risk, the following procedure for calculating the risk degree indicator shall be applied.

If one gross violation is detected from the available sources of information, the risk index of 100 shall be equated to the subject of control and supervision, and preventive control and supervision shall be conducted in relation to it with a visit to the subject of control and supervision.

In the event that no gross violations have been identified, then to determine the risk degree indicator, the total indicator for violations of a significant and insignificant degree shall be calculated.

When determining the indicator of significant violations, a coefficient of 0.7 shall be applied and this indicator shall be calculated using the following formula:

 $\Sigma P_3 = (\Sigma P_2 \times 100/\Sigma P_1) \times 0.7,$

where:

ΣP₃

- an indicator of significant violations;

- the required number of significant violations;

ΣP2

- the number of identified significant violations;

When determining the indicator of minor violations, a coefficient of 0.3 shall be applied and this indicator shall be calculated using the following formula:

 $ΣP_{H} = (ΣP2 x 100/ΣP1) x 0,3,$

where:

ΣРн

- an indicator of minor violations;

ΣP1

- the required number of minor violations;

ΣP2

- the number of identified minor violations;

The general risk degree indicator (

ΣΡ

) is calculated on a scale from 0 to 100 and is determined by summing up the indicators of significant and minor violations using the following formula:

 $\Sigma \mathbf{P} = \Sigma \mathbf{P}_3 + \Sigma \mathbf{P}_{\mathbf{H}_3}$

where:

ΣΡ

- a general indicator of the degree of risk;

ΣP₃

- an indicator of significant violations;

ΣРн

- an indicator of minor violations.

Subjective criteria for assessing the degree of risk are given in the Appendix to these criteria.

On the risk degree indicator, the subject of control and supervision shall be:

1) assigned to a high degree of risk – at the indicator of the degree of risk from 61 to 100 inclusive, and with respect to it preventive control and supervision shall be carried out with a visit to the subject of control and supervision;

2) not assigned to a high degree of risk - at the degree indicator from 0 to 60 and with respect to it no preventive control and supervision with a visit to the subject of control and supervision shall be carried out.

In the analysis and assessment of the degree of risk, the data of subjective criteria previously taken into account and used in relation to a particular subject of control and supervision shall not be applied.

The frequency of preventive control with a visit to the subject of control and supervision shall be determined by the criteria for assessing the degree of risk, but it shall not be more than once a year.

The grounds for the appointment of preventive control and supervision with a visit to the subject of control and supervision shall be a semi-annual list of preventive control and supervision with a visit to the subject of control and supervision, formed on the results of the analysis and evaluation, approved by the senior official of the regulatory state body.

Preventive control and supervision with a visit to the subject of control and supervision shall be carried out on the basis of semi-annual lists of preventive control and supervision with a visit to the subject of control and supervision, formed in accordance with paragraph 3 of Article 141 of the Code.

Lists of preventive control and supervision with a visit to the subject of control and supervision shall be compiled with regard to the priority of the subject of control and supervision with the highest indicator of the degree of risk according to subjective criteria.

Footnote. Paragraph 6 as amended by the joint order of the Minister of industry and infrastructure development of the Republic of Kazakhstan dated 28.04.2020 No. 240 and the Minister of national economy of the Republic of Kazakhstan dated 30.04.2020 No. 34 (effective ten calendar days after the date of its first official publication).

Appendix to Criteria for risk assessment degree in the field of architecture, urban planning and construction for the activities of local executive bodies in architecture, urban planning, construction and state architectural-construction control and supervision

Subjective criteria for risk assessment degree in the field of architecture, urban planning and construction for the activities of local executive bodies in architecture, urban planning, construction and state architectural construction control and supervision

Footnote. Appendix - as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan No. 496 dated 26.09.2020 and the Minister of National Economy of the Republic of Kazakhstan No. 70 dated 28.09.2020, (shall be enforced upon expiry of ten calendar days after the date of its first official publication).

№ п/п	Criteria	Degree of violation
Architecture, urban planning be	odies	
1. Findings of monitoring of supervision, including via autor	reporting and data provided by the faci mated information systems.	lity (entity), subject to control and
1	Non-provision of information on facilities and complexes planned for construction (information about the customer, contractor, author's and technical supervision, the presence of an expert conclusion, decision on granting the corresponding right to the land site) by the 5th day of the month	
2	Non-provision of information on facilities and complexes under construction (information about the customer, contractor, author's and technical supervision, the presence of an expert conclusion, decision on granting the corresponding right to the land site) by the 5 th day quarterly	

2. Findings of the previous inspection / preventive control and supervision of the facilities subject to control and supervision (in this case, the severity of violations (gross, significant, insignificant) shall be established in the event of non-compliance with the legislative requirements).

-		
3	Keeping records of acts of objects acceptance into operation, and also objects (complexes) that are being put into operation	gross
4	Availability of master plan projects for the cities of republican status, the capital, cities of oblast status and rural localities of the republic	gross
5	Availability of projects of detailed layouts of cities of republican status, the capital, cities of oblast status and rural localities of the republic	gross
6	Compliance with the division of territories into functional zones	gross
7	Compliance with red lines	gross
8	Compliance with yellow lines	gross

9	Compliance with the building regulation line	gross
10	Compliance with the concept of a single architectural style envisaged for the capital, cities of republican and oblast status	gross
11	Compliance with urban planning requirements in the preparation of local executive bodies' decisions on granting the required land title to the applicant	gross
12	Compliance with urban planning requirements in the preparation of local executive bodies' decisions for reconstruction, redevelopment, alterations of premises (individual parts) of existing buildings (structures)	gross
13	Compliance with requirements for the approval of the sketch (sketch design) for construction	significant
14	Monitoring of facilities and complexes under construction (planned for construction)	significant
15	Compliance with the term for considering an application for the design of technically and (or) technologically simple facilities: for the issuance of an architectural planning assignment and technical specifications no more than 6 (six) working days, except in cases of a motivated refusal, when the term does not exceed 5 (five) working days from the day of application	significant
16	Compliance with the term for consideration of an application for the design of technically and (or) technologically complex facilities: for the issuance of an architectural planning assignment and technical specifications no more than 15 (fifteen) working days, except for cases of a motivated refusal, when the term does not exceed 5 (five) working days from day of application	significant
	Issuance of an architectural planning	gross

18	Execution of previously issued instructions to the architecture and urban planning authority	gross
19	Provision to the database of the state urban planning cadastre of information on master plans of settlements, plans for zoning of the territory, plans for detailed plannings , plans for industrial zones, development projects, improvement and landscaping projects, and other planning projects	significant
20	Provision to the database of the state urban planning cadastre of information on red lines and development regulation lines	significant
21	Provision to the database of the state urban planning cadastre of information on the agreed draft designs	significant
3. Presence of confirmed complaints a	and claims.	
22	Presence of a confirmed complaint and claim of an individual or legal entity	gross
4. Findings of official internet resource	es analysis of the state bodies, mass m	edia.
23	Presence of official reports in the media on violations in the architectural, town-planning and construction activity	gross
State architectural-construction control	ol and supervision bodies	1
1. Findings of monitoring of repor supervision, including via automated	ting and data provided by the facilities information systems.	ity (entity), subject to control and
1	Failure to keep records of acts of acceptance of objects into operation, as well as the objects (complexes) that are being put into operation, with obligatory consideration of providing access for the disabled persons	gross
2	Failure to monitor facilities under construction (reconstruction, extension, improvements, overhaul) and commissioned facilities	significant
3	Failure to provide information on planned for construction facilities and complexes (information about the customer, contractor, author's and technical supervision, presence of an expert conclusion, decision on granting the corresponding	gross

	entitlement to the land site) by the 5^{t} ^h day of the month	
4	Failure to provide information on facilities and complexes under construction (information about the customer, contractor, author's and technical supervision, presence of an expert conclusion, decision on granting the corresponding entitlement to the land site) by the 5^{t} h day quarterly	gross

2. Findings of the previous inspection / preventive control and supervision of the facilities subject to control and supervision (in this case, the severity of violations (gross, significant, insignificant) shall be established in the event of non-compliance with the legislative requirements).

5	Compliance with the procedure for accepting notification on the start of construction and installation works	significant
6	Drawing up an act of disagreements, if any, between the applicant and employees of the state architectural-construction control and supervision bodies	significant
7	Availability of approved (reapproved) design (design and estimate) documentation on facilities under construction and constructed	gross
8	Availability of project expertise conclusion	gross
9	Availability of required entitlement to the land site	gross
10	Availability of a coupon on the acceptance of notification on the start of construction and installation works	significant
11	Compliance of the executed (ongoing, in progress) construction and installation works, used building materials (articles, structures) and equipment with approved design solutions and state (interstate) standards, including on ensuring durability, stableness, reliability of bearing and enclosing installations and operational qualities of buildings (structures)	gross
	Availability of a license for the right to perform the relevant types of work and discrepancy between	
12		gross

	categorization of the licensed architectural, urban planning and construction activities	
13	Compliance with the requirements for engaging in survey works in terms of the presence in the staff of at least one engineering technician with an appropriate higher professional education and practical experience	gross
14	Compliance with the requirements for engaging in survey works in terms of the availability of a production site on the right of ownership (economic management or operational management) and (or) lease	significant
15	Compliance with the requirements for engaging in survey works in terms of availability of minimum material and technical resources on the right of ownership (economic management or operational management) and (or) lease	significant
16	Compliance with the requirements for engaging in survey works in terms of availability of instructions approved by the applicant (licensee) on the quality control system governing the proper performance of works and quality assurance (standard control, quality control of works execution)	significant
17	Compliance with the requirements for engaging in survey works in terms of availability of the rules and instructions on the labor protection and safety system approved by the applicant (licensee) with attachment of documents confirming training of a responsible engineering technician	significant
18	Compliance with the requirements for engaging in project activities for category III licensees in terms of presence in the staff of at least one certified engineering technician with an appropriate certificate for the profile of works included in the requested subtype of the licensed type of activity and working on a permanent basis for the applicant	gross

19	Compliance with the requirements for engaging in project activities for category III licensees in terms of availability of administrative and utility premises on the right of ownership (economic management or operational management) and (or) lease	significant
20	Compliance with the requirements for engaging in project activities for category III licensees in terms of availability of software enabling to perform calculations, compilation and execution of graphic and other materials necessary for the declared subtype of the licensed type of activity	significant
21	Compliance with the requirements for engaging in project activities for category II licensees in terms of presence in the staff of at least one certified engineering technician with an appropriate certificate for the profile of works included in the requested subtype of the licensed type of activity and working on a permanent basis with the applicant	gross
22	Compliance with the requirements for engaging in project activities for category II licensees in terms of availability of administrative and utility premises on the right of ownership (economic management or operational management) and (or) lease	significant
23	Compliance with the requirements for engaging in project activities for category II licensees in terms of availability of software enabling to perform calculations, compilation and execution of graphic and other materials necessary for the declared subtype of the licensed type of activity	significant
24	Compliance with the requirements for engaging in project activities for category II licensees in terms of the licensee's relevant work experience for at least five years	gross
25	Compliance with the requirements for engaging in project activities for category II licensees in terms of	gross

	availability of at least five completed construction projects	
26	Compliance with the requirements for engaging in project activities for category I licensees in terms of presence in the staff of at least one certified engineering technician with an appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and working on a permanent basis with the applicant	gross
27	Compliance with the requirements for engaging in project activities for category I licensees in terms of availability of administrative and utility premises on the right of ownership (economic management or operational management) and (or) lease	significant
28	Compliance with the requirements for engaging in project activities for category I licensees in terms of the availability of software enabling to carry out calculations, compilation and execution of graphics and other materials necessary for the declared subtype of the licensed type of activity	significant
29	Compliance with the requirements for engaging in project activities for category I licensees in terms of the licensee's relevant work experience for at least ten years	gross
30	Compliance with the requirements for engaging in project activities for category I licensees in terms of availability of at least ten completed construction projects	gross
31	Compliance with the requirements for construction and installation works for category III licensees in terms of presence in the staff of at least one certified engineering technician with an appropriate certificate for the profile of works included in the requested subtype of the licensed type of activity and working on a permanent basis with the applicant	gross
	Compliance with the requirements for construction and installation	

32	works for category III licensees in terms of availability of a production site on the right of ownership (economic management or operational management) and (or) lease	significant
33	Compliance with the requirements for construction and installation works for category III licensees in terms of availability of minimum material and technical resources on the right of ownership (economic management or operational management) and (or) lease	significant
34	Compliance with the requirements for construction and installation works for category II licensees in terms of the presence in the staff of at least one certified engineering technician with an appropriate certificate for the profile of works included in the requested subtype of the licensed type of activity and working on a permanent basis with the applicant	gross
35	Compliance with the requirements for construction and installation works for category II licensees in terms of availability of a production site on the right of ownership (economic management or operational management) and (or) lease	significant
36	Compliance with the requirements for construction and installation works for category II licensees in terms of availability of minimum material and technical resources on the right of ownership (economic management or operational management) and (or) lease	significant
37	Compliance with the requirements for construction and installation works for category II licensees in terms of the relevant work experience of the licensee for at least five years	gross
38	Compliance with the requirements for construction and installation works for category II licensees in terms of availability of at least five completed construction projects	gross

39	Compliance with the requirements for construction and installation works for category I licensees in terms of presence in the staff of at least one certified engineering technician with an appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and working on a permanent basis with the applicant	gross
40	Compliance with the requirements for construction and installation works for category I licensees in terms of availability of a production site on the basis of ownership (economic management or operational management) and (or) lease	gross
41	Compliance with the requirements for construction and installation works for category I licensees in terms of availability of minimum material and technical resources on the basis of ownership (economic management or operational management) and (or) lease	significant
42	Compliance with the requirements for construction and installation works for category I licensees in terms of appropriate work experience of the licensee for at least ten years	gross
43	Compliance with the requirements for construction and installation works for category I licensees in terms of availability of at least ten completed construction projects	gross
44	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert in the examination of urban planning, pre-design and design estimates documentation: availability of higher professional education in the relevant sections of urban planning, design and estimate documentation and work experience in the development and (or) examination of the relevant sections	gross

45	of urban planning, pre-design and design estimates documentation for at least five years Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert of technical supervision on objects of the first level of responsibility in terms of load-bearing and enclosing structures: availability of higher professional education in the field of construction and work experience as an expert for at least five years	gross
46	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert of technical supervision for objects of the first level of responsibility in terms of engineering networks: availability of higher professional education in the field of construction and work experience as an expert for at least five	gross
47	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the field of architectural, urban planning and construction activities when issuing a certificate to an expert of technical supervision for objects of the first level of responsibility in terms of technological equipment: availability of higher professional education in the field of construction and work experience as an expert for at least five years	gross
	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert of technical supervision for objects of the second and third levels	

48	of responsibility in terms of load-bearing and enclosing structures: availability of higher professional education in the field of construction and work experience in the production of construction and installation works for at least three years	gross
49	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert of technical supervision for objects of the second and third levels of responsibility in terms of engineering networks: availability of professional education in the field of construction and work experience in the production of construction and installation works for at least three years	
50	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert of technical supervision for objects of the second and third levels of responsibility in terms of technological equipment: availability of higher professional education in the field of construction and work experience in the production of construction - installation works for at least three years	gross
51	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert of design supervision for objects of the first level of responsibility in terms of architecture: availability of higher professional education in the field of construction and work experience as an expert for at least three years Compliance with the Rules for certification of experts carrying out	gross

52	expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert of design supervision for objects of the first level of responsibility in terms of load-bearing and enclosing structures: availability of higher professional education in the field of construction and work experience as an expert for at least three years	gross
53	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert of design supervision for objects of the second and third levels of responsibility in terms of architecture: availability of higher professional education in the field of construction and work experience in project activities for at least five years	gross
54	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the field of architectural, urban planning and construction activities when issuing a certificate to an expert of design supervision for objects of the second and third levels of responsibility in terms of load-bearing and enclosing structures: availability of higher professional education in the field of construction and work experience in the design activity for at least five years	gross
55	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert of technical survey of reliability and stability of buildings and structures: availability of higher professional education in the field of construction and work experience in project activities in calculation and	gross

56	design specialization for at least three years, or work experience as an expert in this area for at least five years Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert in the examination of urban planning, pre-design and design estimates documentation for environmental impact assessment: availability of higher professional education in the relevant section and work experience for at least five years or with a higher professional education and work experience in the development and (or) examination of ecological part of urban planning, pre-design and design estimates documentation for at least ten years	gross
57	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the architectural, urban planning and construction activities when issuing a certificate to an expert in the examination of urban planning, pre-design and design estimates documentation in the field of sanitary and epidemiological welfare of the population: availability of higher medical education of a sanitary and epidemiological profile and work experience in the examination of the relevant section of urban planning, pre-design and design estimates documentation for at least five years	gross
58	Providing settlements with optimal conditions and means of access for people with disabilities and other low-mobility groups to workplaces, common areas, facilities (structures, communications) of social, recreational, engineering and transport infrastructure in accordance with the type of settlement and conditions of the populated area	gross

59	Availability of a certificate of accreditation of legal entities carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility	significant
60	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the first level of responsibility: presence of at least three certified experts carrying out technical supervision at objects of the first level of responsibility, including objects of the first level of responsibility - in terms of load-bearing and enclosing structures (at least one expert)	significant
61	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the first level of responsibility: presence of at least three certified experts on a permanent basis carrying out technical supervision at objects of the first level of responsibility, including facilities of the first level of responsibility - in terms of engineering networks (at least one expert)	significant
62	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex facilities of the first level of	significant

	responsibility: presence of at least three certified experts carrying out technical supervision at objects of the first level of responsibility, including objects of the first level of responsibility - in terms of technological equipment (at least one expert)	
63	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the first level of responsibility in terms of availability of an accredited laboratory on the basis of ownership or involved (on the basis of an agreement)	significant
64	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the first level of responsibility in terms of availability of administrative and utility premises on the basis of ownership or lease for a period of more than one year, meeting the requirements for the area of the premises at the rate of 6 m2 per employee, for working disabled people using wheelchairs 5.65 and 7.65 m2, respectively	significant
65	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the first level of responsibility in terms of availability of material and technical resources,	significant

66	including measuring and control instruments necessary to perform the assigned duties and functions Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the first level of responsibility in terms of availability of standard technical and methodological literature necessary to perform the assigned duties and functions	significant
67	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the second level of responsibility: presence of at least three certified experts on a permanent basis with a valid certificate of accreditation carrying out technical supervision at objects of the second and third levels of responsibility, including objects of the second and third levels of responsibility in terms of load-bearing and enclosing structures (at least one expert)	significant
68	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the second level of responsibility: presence of at least three certified experts on a permanent basis with a valid certificate of accreditation carrying	significant

	out technical supervision at objects of the second and third levels of responsibility, including objects of the second and third levels of responsibility in terms of engineering networks (at least one expert)	
69	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the second level of responsibility: presence of at least three certified experts on a permanent basis with a valid certificate of accreditation carrying out technical supervision at objects of the second and third levels of responsibility, including objects of the second and third levels of responsibility in terms of technological equipment (at least one expert)	significant
70	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the second level of responsibility in terms of availability of an accredited laboratory on the basis of ownership or involved (on the basis of an agreement)	significant
	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the second level	

71	of responsibility in terms of availability of administrative and utility premises on the basis of ownership or lease for a period of more than one year, meeting the requirements for the area of the premises at the rate of 6 m2 per employee, for working disabled people using wheelchairs 5.65 and 7.65 m2, respectively	significant
72	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the second level of responsibility in terms of availability of material and technical resources, including measuring and control instruments necessary to perform the assigned duties and functions	significant
73	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the second level of responsibility in terms of availability of standard technical and methodological literature necessary to perform the assigned duties and functions	significant
74	Compliance of accredited organizations carrying out expert works on technical inspection of the reliability and stability of buildings and structures at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements in terms of presence on a permanent basis of at least three certified experts with a valid certificate of accreditation	gross

	carrying out technical inspection of the reliability and stability of buildings and structures	
75	Compliance of accredited organizations carrying out expert works on the technical inspection of the reliability and stability of buildings and structures at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements in terms of presence of at least one certified expert in their team on a permanent basis, carrying out expertise of projects in constructional part specialization	gross
76	Compliance of accredited organizations carrying out expert works on the technical inspection of the reliability and stability of buildings and structures at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements in terms of presence of at least one geodetic engineer (with work experience for at least three years)	gross
77	Compliance of accredited organizations carrying out expert works on the technical inspection of the reliability and stability of buildings and structures at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements in terms of availability of an accredited laboratory on the basis of ownership or involved (on the basis of an agreement)	significant
78	Compliance of accredited organizations carrying out expert works on the technical inspection of the reliability and stability of buildings and structures at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements in terms of availability	

	of administrative premises on the basis of ownership or lease for a period of more than one year, meeting the requirements for the area of the premises at the rate of 6 m2 per employee, for working disabled people using wheelchairs 5.65 and 7.65 m2, respectively	
79	Compliance of accredited organizations carrying out expert works on the technical inspection of the reliability and stability of buildings and structures at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements in terms of availability of material and technical equipment, including measuring and control instruments necessary to perform the assigned duties and functions, and also computers provided with licensed software necessary for performing calculations, drawing up and designing graphic and other materials	significant
80	Compliance of accredited organizations carrying out expert works on the technical inspection of the reliability and stability of buildings and structures at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements in terms of availability of standard technical and methodological literature necessary to perform the assigned duties and functions	significant
81	Reporting by the persons carrying out technical supervision on the state and progress of a construction object to the state architectural-construction control and supervision body on a monthly basis	gross
82	Adoption of administrative measures on the information, reported by persons carrying out technical supervision on non-execution or	significant

	improper execution of their instructions by the contractor (general contractor)	
83	Organization and conduct of an unscheduled inspection by the state architectural-construction control and supervision bodies in relation to construction participants in response to a complaint from individuals and legal entities on specific facts of violations of the legislation of the Republic of Kazakhstan, non- elimination of which entails harm to human life and health	gross
84	Organization and conduct of preventive control and supervision by the state architectural-construction control and supervision bodies in relation to construction participants	gross
85	Completeness and reliability of reports on unscheduled inspection findings	gross
86	Completeness and reliability of the order on elimination of identified violations based on the unscheduled inspection findings report	gross
87	Completeness and reliability of the resolution on imposing administrative sanctions based on the unscheduled inspection findings report	gross
88	Completeness and reliability of the protocol on an administrative offense based on the unscheduled inspection findings report	gross
89	Completeness and reliability of the order on elimination of identified violations based on the findings of preventive control and supervision with a visit to the subject (object) of control and supervision	gross
90	Execution of previously issued instructions to the state architectural-construction control and supervision body	gross
3. Presence of confirmed compla	aints and claims.	
91	Presence of a confirmed complaint or claim of an individual or legal entity	gross

92 Construction bodies	Presence of official reports in the media on violations in the architectural, urban planning and construction activities	gross
	ting and data provided by the facilities information systems.	ity (entity), subject to control and
1	Non-provision of information on the objects and complexes planned for construction (information about the customer, contractor, design and technical supervision, availability of an expert opinion, decision on granting the corresponding entitlement to land site) by the 5th day of the month	gross
2	Non-provision of information on the objects and complexes under construction (information about the customer, contractor, design and technical supervision, presence of an expert opinion, decision to grant the corresponding entitlement to land site) by the 5 th day quarterly	gross
• • •		5
3	Monitoring and analysis on the submitted reports of persons carrying out technical and design supervision	significant
4	Conducting works on the object conservation, including inspection and monitoring of the state of conserved objects for more than 6 months	gross
5	Compliance with the requirements of state standards in terms of availability of the corresponding entitlement to land site at the objects where the construction body is the customer	gross
6	Compliance with the requirements of state standards in terms of availability of an architectural-planning assignment for the design of the planned object in the established form in accordance with the requirements of the current regulatory documents at the objects where the construction body is the customer	gross

7	Compliance with the requirements of state standards in terms of compliance with the requirements of technical regulations at the objects where the construction body is the customer	
8	Providing settlements with optimal conditions and means of access for people with disabilities and other low-mobility groups to workplaces, common areas, facilities (structures, communications) of social, recreational, engineering and transport infrastructure in accordance with the type of settlement and conditions of the locality	gross
9	Availability of technical conditions for connection to the sources of engineering and utilities provision at the objects where the construction body is the customer	significant
10	Compliance at the objects where the construction body is the customer, with the requirements of state standards in terms of availability of a report on engineering and geological surveys, if the contract does not provide for the performance of engineering surveys	significant
11	Availability of a permit from the relevant organizations for performing works in the area of overhead power transmission lines and communication lines, in the railroad precincts, in the places of underground communications, in areas where land plots located on the construction area are worked by mining operations	significant
12	Availability of a permit from the relevant organizations for temporary use of electricity, gas, water, steam from existing sources during construction period in cities and other settlements in accordance with the construction organization project if the customer does not have their own gas, water, steam and power supply facilities for construction	significant

13	Availability of a permission from the relevant organizations for forest clearing and tree replanting	gross
14	Availability of corresponding entitlement to the land site for construction, operation purposes	gross
15	Availability of approved (re-approved) design (design and estimate) documentation	gross
16	Availability of expertise conclusion on projects	gross
17	Availability of a coupon on acceptance of notification on the start of construction and installation works	significant
18	Provision to the database of the state urban planning cadastre of information on decisions on construction, expansion, technical re-equipment, modernization, alteration, restoration and overhaul of buildings, constructions, structures, engineering and transport communications, also engineering preparation of the territory, improvement and landscaping, conservation of construction sites (facilities), carrying out a set of works on post-utilization of urban facilities	significant
19	Provision to the database of the state urban planning cadastre of information on the conclusions on the expert examination of projects, state and interstate including	significant
20	Compliance of the license for the right to carry out the performed types of works, including categorization of the licensed architectural, urban planning and construction activity	gross
21	Availability of certified experts carrying out technical supervision and their inconsistency in the responsibility levels on the object	gross
22	Availability of certified experts carrying out design (author's) supervision and their inconsistency in terms of the responsibility levels of the object	gross

23	Compliance of the completed (current) construction and installation works, used building materials (products, structures) and equipment with approved design solutions and state (interstate) standards, including ensuring the strength, stability, reliability of bearing and enclosing structures and operational qualities of buildings (structures)	
24	Carrying out of acceptance control at the facility	gross
25	Timely and proper execution of executive documentation	insignificant
26	Existence of control over execution by the contractor of the instructions of architectural (author's) and technical supervision	significant
27	Ensuring execution of the state architectural-construction control and supervision bodies' orders	significant
28	Compliance with the warranty period proposed by the contractor during the tender process in accordance with the period indicated in the contract	significant
29	Carrying out of suspension of the production of works when they deviate from the design (design and estimate) documentation and regulatory requirements, and also from the terms of the concluded construction contract	gross
30	Presence of approvals and supporting documents when making changes to the approved project documentation	gross
	Compliance with the procedure for acceptance (commissioning) of objects into operation: acceptance and commissioning of the constructed object when it is fully ready in accordance with the approved project and availability of a declaration of conformity, conclusions on the quality of construction and installation works and compliance of the performed work with the approved project; establishment and documentary confirmation of the readiness of the completed construction object;	

31	signing of the act of acceptance of the object into operation shall be carried out after final inspection of the object and compliance with the requirements; acceptance of the object into operation after the contractor (general contractor) has eliminated violations of the approved design solutions and state (interstate) standards in the existence of negative conclusions; directing information to state bodies for taking measures upon receipt of the conclusion of the design and (or) technical supervision on the unsuitability of the object for operation or poor-quality performance of construction and installation works; sending the act of acceptance of the object into operation to the joint-stock company State corporation "Government for Citizens" within one day after approval.	
32	Observance of the established and documentary confirmation of the readiness of the completed construction object by the participants in the acceptance of the constructed object into operation	gross
33	Observance of the obligations by the participants in the acceptance of the object into operation in terms of assessing the conformity of the completed construction and installation works and installed technological, engineering or other equipment approved in the established manner of design (design and estimate) documentation with regulatory requirements (conditions, restrictions)	significant
34	Carrying out by the customer of acceptance of the object into operation after receipt from the contractor (general contractor) of a written notification of the object's readiness for commissioning	significant
	Presence of the customer's request from the date of receipt of notification from the contractor (general contractor) on the readiness of the facility, to the contractor (

35	general contractor) and persons carrying out technical and architectural supervision to provide a declaration of conformity, conclusions on the quality of construction and installation works and compliance of the performed works with the project	
36	Observance by the contractor (general contractor) and persons carrying out technical and author's supervision of the requirement to submit, within three working days from the date of receipt from the customer of the request on providing a declaration of conformity, a conclusion on the quality of construction and installation works and compliance of the performed works with the project, or negative conclusions	
37	Conducting check of the executive technical documentation for availability and completeness, inspection and acceptance of the object into operation according to the relevant act on the basis of the declaration of conformity, conclusions on the quality of construction and installation works and compliance of the performed works with the project together with the contractor (general contractor), persons carrying out technical and author's (design) supervision	significant
38	Elimination of identified violations of approved design solutions and state (interstate) standards, and also in the existence of negative conclusions, acceptance of the object into operation after the contractor (general contractor) has eliminated violations as prescribed by law	
39	Compliance with the forms of conclusions on the quality of construction and installation works and compliance of the performed works with the project, declaration of conformity, act of the object acceptance into operation	significant
40	Execution of previously issued instructions to the construction body	gross

3. Existence of confirmed complaints	and claims.	
41	Existence of a confirmed complaint or claim of an individual or legal entity	gross
4. Findings of official internet resource	es analysis of the state bodies, mass m	edia.
42	Existence of official reports in the media on violations in the architectural, urban planning and construction activity	gross

Appendix 2 to the joint order of the Minister for Investments and Development of the Republic of Kazakhstan No. 757 dated October 31, 2018 and the Minister of National Economy of the Republic of Kazakhstan No.52 dated October 31, 2018

Checklist in the field of architecture, urban planning and construction in relation to the architecture and urban planning bodies

Footnote. Appendix 2 - as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan No. 496 dated 26.09. 2020 and the Minister of National Economy of the Republic of Kazakhstan No. 70 dated 28.09. 2020 (shall be enforced upon expiry of ten calendar days after the date of its first official publication).

State body that appointed the inspection _____

Act on appointment of inspection/preventive control and supervision with a visit to the subject

(object) of control and supervision_____

No., date Name of the subject (object) of control and supervision

(individual identification number), business identification number of the subject (object) of control and supervision

Location address

N⁰	List of requirements	Required	Not required	Complying with requirements	Not complying with requirements
1	2	3	4	5	6
1	Keeping records of acts of objects acceptance into operation, also objects (complexes) that are being put into operation				
2	Availability of master plan projects for the cities of republican status, the capital, cities of oblast status and rural settlements of the Republic				
3	Availability of projects of detailed plannings for the cities of republican status, the capital, cities of oblast status, and rural settlements of the Republic				
4	Compliance with the division of territories into functional zones				

5	Compliance with the red lines		
6	Compliance with the yellow lines		
7	Compliance with the building regulation line		
8	Compliance with the concept of a s i n g l e architectural style envisaged for the capital, cities of republican and oblast status		
9	Compliance with urban planning requirements when preparing decisions of local executive bodies on granting to the applicant a corresponding entitlement to the land site		
10	Compliance with urban planning requirements when preparing decisions of local executive bodies on reconstruction, re-development, alterations of premises (individual parts) of existing buildings (structures)		
11	Compliance with requirements for approval of the sketch (sketch design) for construction		
12	Monitoring of objects and complexes under construction (planned for construction)		

13	Compliance with the term for considering an application for the design of technically and (o r) technologically simple objects: for the issuance of an architectural and planning assignment and technical specifications no more than 6 (six) working days, except for the cases of a motivated refusal, when the term does not exceed 5 (five) working days from the date of submitting an application		
14	Compliance with the term for considering an application for the design of technically and (o r) technologically complex objects: for the issuance of an architectural and planning assignment and technical specifications no more than 15 (fifteen) working days, except for the cases of a motivated refusal, when the term does not exceed 5 (five) working days from date of submitting an application		

15	Issuance of an architectural and planning assignment		
16	Execution of previously issued instructions to the architecture and urban planning body		
17	Provision to the database of the state urban planning cadastre of information on master plans of settlements, plans on zoning of the territory, plans for detailed plannings, improvement and landscaping projects, and other planning projects		
18	Provision to the database of the state urban planning cadastre of information on red lines and building regulation lines		
19	Provision to the database of the state urban planning cadastre of information on the agreed draft designs		

Executive official (s) ______ position

signature

full name

signaturefull nameDirector of the subject of control and supervision

	position	
signature	full name	
		Appendix 3
		to the joint order of the
		Minister for Investments and
		Development of the
		Republic of Kazakhstan
		dated October 31, 2018 No. 757
		and the Minister of National
		Economy of the
		Republic of Kazakhstan
		October 31, 2018 No. 52

Checklist in the field of architecture, urban planning and construction in relation to state architectural-construction control and supervision bodies

Footnote. Appendix 3 - as amended by the joint order of the Minister of industry and infrastructure development of the Republic of Kazakhstan dated 28.04.2020 No. 240 and the Minister of national economy of the Republic of Kazakhstan dated 30.04.2020 No. 34 (effective ten calendar days after the date of its first official publication).

State body that appointed the inspection

Act on appointment of inspection/preventive control and supervision with a visit to the subject

(object) of control and supervision

No., date

Name of the subject (object) of control and supervision_____

(individual identification number), business identification number of the subject (object of) control and supervision ______

Location address

№	List of requirements	Required	Not required	M e e t s requirements	Does not meet requirements
1	2	3	4	5	6
1	Compliance with the procedure for receiving notification on the start of construction and installation works				
2	Drawing up an a c t o f disagreements, if any, between the applicant and employees of the s t a t e architectural-cons truction control and supervision				
3	Availability of approved (reapproved) design (design and estimate) documentation for under construction and constructed objects				
4	Availability of projects expertise conclusion				
5	Availability of the corresponding right to a land plot				

6	Availability of a coupon about the acceptance of notification on the start of construction and	
7	installation works Compliance of the completed (in progress) construction and installation works , used building materials (products, structures) and equipment with approved design solutions and state (interstate) standards, including ensuring the strength, stability, reliability of bearing and enclosing structures and operational qualities of buildings (structures))	
8	Availability of a license for the right to carry out the relevant types of works and inconsistency of the category of licensed architectural, urban planning and construction activities	
9	Compliance with the requirements for engaging in exploration activities in terms of presence in the staff of at least one engineer and technical worker	

	who has an appropriate higher professional education and work experience		
10	Compliance with the requirements for engaging in exploration activities in terms of availability of a production base on the basis of ownership (economic management or operational management) and (or) lease		
11	Compliance with the requirements for engaging in exploration activities in terms of availability of a minimum material and technical equipment on the basis of ownership (economic management or operational management) and (or) lease		
12	Compliance with the requirements for engaging in exploration activities in terms of availability of instructions approved by the applicant (licensee) on the quality control system governing the proper performance of works and quality assurance (

	standard control,
	quality control of
	works production
13	Compliance with the requirements for engaging in exploration activities in terms of availability of the rules and instructions on the labor protection and safety system approved by the applicant (licensee) with attachment of documents confirming training of a
	responsible engineer and technical worker
14	Compliance with the requirements for engaging in project activities for category III licensees in terms of presence in the staff of at least one certified engineering and technical worker who has an appropriate certificate for the profile of works included in the requested subspecies of the licensed type of activity and working on a permanent basis with the applicant
	Compliance with the requirements for engaging in project activities category III

15	licensees in terms of availability of administrative and utility premises on the basis of ownership (economic management or operational management) and (or) lease		
16	Compliance with the requirements for engaging in project activities for category III licensees in terms of availability of software that allows to carry out calculations, compilation and execution of graphic and other materials necessary for the declared subspecies of the licensed type of activity		
17	Compliance with the requirements for engaging in project activities for category II licensees in terms of presence in the staff of at least one certified engineering and technical worker who has an appropriate certificate for the profile of works included in the requested subspecies of the licensed type of activity and working on a		

	permanent basis with the applicant	
18	Compliance with the requirements for engaging in project activities for category II licensees in terms of availability of administrative and utility premises on the basis of ownership (economic management or operational management) and	
19	(or) leaseCompliance with the requirements for engaging in project activities for category II licensees in terms of availability of software that allows to carry out calculations, compilation and execution of graphic and other materials necessary for the declared subspecies of the licensed type of activity	
20	Compliance with the requirements for engaging in project activities for category II licensees in terms of the licensee's relevant work experience for at least five years Compliance with the requirements	
	the requirements for engaging in project activities for category II	

21	licensees in terms		
	of availability of		
	at least five		
	completed		
	construction		
	projects		
	Compliance with		
	the requirements		
	for engaging in		
	project activities		
	for category I		
	licensees in terms		
	of presence in the		
	staff of at least		
	one certified		
	engineering and		
	technical worker		
22	who has an		
	appropriate		
	certificate for the		
	profile of work		
	included in the		
	requested		
	subspecies of the		
	licensed type of		
	activity and		
	working on a		
	permanent basis		
	with the applicant		
	Compliance with		
	the requirements		
	for engaging in		
	project activities		
	for category I		
	licensees in terms		
	of availability of		
	administrative		
23	and utility		
	premises on the		
	basis of		
	ownership (
	economic		
	management or		
	operational		
	management) and		
	(or) lease		
	Compliance with		
	the requirements		
	for engaging in		
	project activities		
	for category I		
	licensees in terms		
	of the availability		

24 of software that allows to carry out calculations, compilation and execution of graphics and other materials necessary for the declared subspecies of the licensed type of activity	
25 Compliance with the requirements for engaging in project activities for category I licensees in terms of the licensee's relevant work experience for at least ten years	
26 Compliance with the requirements for engaging in project activities for category I licensees in terms of availability of at least ten completed construction projects	
27 Compliance with the requirements for construction and installation works for category III licensees in terms of presence in the staff of at least one certified engineer and technical worker who has an appropriate certificate for the profile of works included in the requested subspecies of the licensed type of	

	activity and working on a permanent basis			
	with the applicant			
28	Compliance with the requirements for construction and installation works for category III licensees in terms of availability of a production base on the basis of ownership (economic management or operational management) and (or) lease			
29	Compliance with the requirements for construction and installation work for category III licensees in terms of availability of a minimum material and technical equipment on the basis of ownership (economic management or operational management) and (or) lease			
30	Compliance with the requirements for construction and installation works for category II licensees in terms of presence in the staff of at least one certified engineer and technical worker who has an appropriate			

certificate for the profile of works included in the requested subspecies of the licensed type of activity and working on a permanent basis with the applican			
31 Compliance with the requirements for construction and installation works for category II licensees in term of the availabilit of a production base on the basis of ownership economic management or operational management) an (or) lease	s y		
Compliance with the requirements for construction and installation works for category II licensees in term of availability of minimum 32 material and technical equipment on the basis of ownership economic management or operational management) an (or) lease	S (
Compliance with the requirements for construction and installation works for category II licensees in term of the relevant	s		

	work experience of the licensee for		
	at least five years		
	Compliance with		
	the requirements		
	for construction		
	and installation		
	works for		
	category II		
34	licensees in terms		
	of availability of		
	at least five		
	completed		
	construction		
	projects		
	Compliance with		
	the requirements for construction		
	and installation		
	works for		
	category I		
	licensees in terms		
	of presence in the		
	staff of at least		
	one certified		
	engineer and		
	technical worker		
35	who has an		
	appropriate		
	certificate for the		
	profile of work		
	included in the		
	requested		
	subspecies of the		
	licensed type of		
	activity and		
	working on a		
	permanent basis		
	with the applicant		
	Compliance with		
	the requirements		
	for construction		
	and installation		
	works for		
	category I		
	licensees in terms		
	of availability of		
36	a production base		
	on the basis of		
	ownership (
	economic		
	management or		
	operational		
	1		

	management) and (or) lease		
37	Compliance with the requirements for construction and installation works for category I licensees in terms of availability of minimum material and technical equipment on the basis of ownership (economic management or operational management) and (or) lease		
38	Compliance with the requirements for construction and installation works for category I licensees in terms of appropriate work experience of the licensee for at least ten years		
39	Compliance with the requirements for construction and installation works for category I licensees in terms of availability of at least ten completed construction projects		
	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the field of architectural,		

			1	
	urban planning			
	and construction			
	activities when			
	issuing a			
	certificate to an			
	expert in the			
	examination of			
	urban planning,			
	pre-design and			
	design estimates			
40	documentation:			
10	availability of			
	higher			
	professional			
	education in the			
	relevant sections			
	of urban planning			
	, design and			
	estimate			
	documentation			
	and work			
	experience for the			
	development and			
	(or) examination			
	of the relevant			
	sections of urban			
	planning,			
	pre-design and			
	design estimates			
	documentation			
	for at least five			
	years			
	Compliance with			
	the Rules for			
	certification of			
	experts carrying			
	out expert works			
	and engineering			
	services in the			
	field of			
	architectural,			
	urban planning			
	and construction			
	activities when			
	issuing a			
	certificate to an			
	expert of			
	technical			
41	supervision for			
41	objects of the first			
	level of			
	responsibility in terms of			
	load-bearing and			

enclosing structures: availability o h i g h e r professional education in field of construction work experie as an expert least five yea	the and ence for at		
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42 supervision objects of the level of responsibility terms of engineering networks: availability h i g h e r professional education in field of construction work experie as an expert least five yea	e first f y in f of the and ence for at		
Compliance the Rules certification experts carry out expert w and engineer services in field of architectural urban plann	with for of ving orks ring the		

	and construction			
	activities when			
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43	technical			
15	supervision for			
	objects of the first			
	level of			
	responsibility in			
	terms of			
	technological			
	equipment:			
	availability of a			
	higher			
	professional			
	education in the			
	field of			
	construction and			
	work experience			
	as an expert for at			
	least five years			
	Compliance with			
	the Rules for			
	certification of			
	experts carrying			
	out expert works			
	and engineering			
	services in the			
	field of			
	architectural,			
	urban planning			
	and construction			
	activities when			
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	certificate to an			
	expert of			
	technical			
	supervision for			
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11	second and third			
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	responsibility in			
	terms of			
	load-bearing and			
	enclosing			
	structures:			
	availability of			
	higher			
	professional			
	education in the			
	field of			
	construction and			
	work experience			1

of cons and ins	roduction struction tallation or at least ars		
45 Complia the Ru certifica experts out expand and eng services field architec urban p and com activitie issuing certifica expert technic supervis ferms enginee networ availabi profess educatio field	ance with les for ation of carrying ert works gineering s in the of ctural, blanning estruction es when g a atte to an of cal sion for of the and third of ering ks: lity of		
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and con activitie issuing	struction s when		

46	expert of technical supervision for objects of the second and third levels of responsibility in terms of technological equipment: availability of h i g h e r professional education in the field of construction and work experience in the production of construction - installation works for at least three years		
47	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the field of architectural, urban planning and construction activities when issuing a certificate to an expert of architectural supervision for objects of the first level of responsibility in terms of architecture: availability of higher professional education in the field of construction and work experience as an expert for at least three years		

		1		
	Compliance with			
	the Rules for			
	certification of			
	experts carrying			
	out expert works			
	and engineering			
	services in the			
	field of			
	architectural,			
	urban planning			
	and construction			
	activities when			
	issuing a			
	certificate to an			
	expert of			
	architectural			
48	supervision for			
	objects of the first			
	level of			
	responsibility in			
	terms of			
	load-bearing and			
	enclosing			
	structures:			
	availability of a			
	higher			
	professional			
	education in the			
	field of			
	construction and			
	work experience			
	as an expert for at			
	least three years			
	Compliance with the Rules for			
	certification of			
	experts carrying			
	out expert works			
	and engineering			
	services in the			
	field of			
	architectural,			
	urban planning			
	and construction			
	activities when			
	issuing a			
	certificate to an			
	expert of			
	architectural			
49	supervision for			
47	objects of the			
	second and third			
	levels of			
	responsibility in			

	terms of architecture: availability of higher professional education in the field of construction and work experience of project activities for at least five years		
50	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the field of architectural, urban planning and construction activities when issuing a certificate to an expert of architectural supervision for objects of the second and third levels of responsibility in terms of load-bearing and enclosing structures: availability of h ig h e r professional education in the field of construction and work experience in the design activity for at least five years		
	Compliance with the Rules for certification of experts carrying out expert works and engineering services in the		

	1				
	field of				
	architectural,				
	urban planning				
	and construction				
	activities when				
	-				
	certificate to an				
	expert for a				
	technical survey				
	of reliability and				
	stability of				
51	buildings and				
<i>U</i> 1	structures:				
	availability of				
	higher				
	professional				
	education in the				
	field of				
	construction and				
	work experience				
	in project				
	activities in				
	calculation and				
	design				
	specialization for				
	at least three				
	years, or work				
	experience as an				
	expert in this area				
	for at least five				
	years				
	Compliance with				
	the Rules for				
	certification of				
	experts carrying				
	out expert works				
	and engineering				
	services in the				
	field of				
	architectural,				
	urban planning				
	and construction				
	activities when				
	issuing a				
	certificate to an				
	expert in the				
	examination of				
	urban planning,				
	pre-design and				
	design estimates				
	documentation				
	for environmental				
	impact				
52	assessment:				
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	availability of		
	higher		
	professional		
	education in the		
	relevant section		
	and work		
	experience for at		
	least five years or		
	with a higher		
	professional		
	education and		
	work experience		
	in the		
	development and		
	(or) examination		
	of ecological part		
	of urban planning		
	, pre-design and		
	design estimates		
	documentation		
	for at least ten		
	years		
	Compliance with		
	the Rules for		
	certification of		
	experts carrying		
	out expert works		
	and engineering		
	services in the		
	field of		
	architectural,		
	urban planning		
	and construction		
	activities when		
	issuing a		
	certificate to an		
	expert in the		
	examination of		
	urban planning,		
	pre-design and		
	design estimates		
	documentation in		
53	the field of		
	sanitary and		
	epidemiological		
	welfare of the		
	population:		
	availability of		
	higher medical		
	education of a		
	sanitary and		
	epidemiological		
	profile and work		
	experience in the		

	examination of the relevant section of urban planning, pre-design and design estimates documentation for at least five years		
54	Providing settlements with optimal conditions and means of access for people with disabilities and other low-mobility groups to workplaces, common areas, facilities (structures, communications)		
	of social, recreational, engineering and transport infrastructure in accordance with the type of settlement and conditions of this area		
55	Availability of a certificate of accreditation of legal entities carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility		
	Compliance of accredited organizations carrying out technical supervision at		

	technically and			
	technologically			
	complex objects			
	of the first and			
	second levels of			
	responsibility			
	with the			
	established			
	qualification			
	requirements for			
	carrying out			
	technical			
	supervision at			
56	technically and			
56	technologically			
	complex objects			
	of the first level			
	of responsibility:			
	presence of at			
	least three			
	certified experts			
	carrying out			
	technical			
	supervision at			
	objects of the first			
	level of			
	responsibility,			
	including objects			
	of the first level			
	of responsibility -			
	in terms of			
	load-bearing and			
	enclosing			
	structures (at least			
	one expert)			
	Compliance of			
	accredited			
	organizations			
	carrying out			
	technical			
	supervision at			
	technically and			
	technologically			
	complex objects			
	of the first and			
	second levels of			
	responsibility			
	with the			
	established			
	qualification			
	requirements for			
	carrying out			
	technical			
	supervision at			

	technically and			
57	technologically			
51	complex objects			
	of the first level			
	of responsibility:			
	presence of at			
	least three			
	certified experts			
	on a permanent			
	basis carrying out			
	technical			
	supervision at			
	objects of the first			
	level of			
	responsibility,			
	including			
	facilities of the			
	first level of			
	responsibility - in			
	terms of			
	engineering			
	networks (at least			
	one expert)			
	Compliance of			
	accredited			
	organizations			
	carrying out			
	technical			
	supervision at			
	technically and			
	technologically			
	complex objects			
	of the first and			
	second levels of			
	responsibility			
	with the			
	established			
	qualification			
	requirements for			
	carrying out			
	technical			
	supervision at			
	technically and			
58	technologically			
	complex facilities			
	of the first level			
	of responsibility:			
	presence of at			
	least three			
	certified experts			
	carrying out			
	technical			
	supervision at			
	objects of the first			

	level of responsibility, including objects of the first level of responsibility - in terms of technological equipment (at least one expert)		
59	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the first level of responsibility in terms of availability of an accredited laboratory on the basis of ownership or involved (on the basis of an agreement)		
	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility		

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	with the			
	established			
	qualification			
	requirements for			
	carrying out			
	technical			
	supervision at			
	technically and			
	technologically			
	complex objects			
60	of the first level			
	of responsibility			
	in terms of			
	availability of			
	administrative			
	and utility			
	premises on the			
	basis of			
	ownership or			
	lease for a period			
	of more than one			
	year, meeting the			
	requirements for			
	the area of the			
	premises at the			
	rate of 6 m2 per			
	an employee, for			
	working disabled			
	people using			
	wheelchairs 5.65			
	and 7.65 m2,			
	respectively			
	Compliance of			
	accredited			
	organizations			
	carrying out technical			
	supervision at			
	technically and			
	technologically			
	complex objects			
	of the first and			
	second levels of			
	responsibility			
	with the			
	established			
	qualification			
	requirements for			
	technical			
	supervision at			
61	technically and			
	-			
	technologically			
	complex objects of the first level			

	of responsibility in terms of availability of material and technical equipment, including measuring and control instruments necessary to perform the assigned duties and functions		
62	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technologically complex objects of the first level of responsibility in terms of availability of regulatory, technical and methodological literature necessary to perform the assigned duties and functions		
	Compliance of accredited organizations carrying out technical supervision at		

63 technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technologically complex objects of the second level of responsibility: presence of at least three certified experts on a permanent basis with availd certificate of accreditation carrying out technical supervision at of the second and third levels of responsibility: including objects of the second and third levels of responsibility in terms of lead-bearing and cartofinations cartying out technically supervision at technically supervision at technically and technologically complex objects of technologically complex objects of the first and second levels of technologically complex objects of the first and second technologically technologically technologically technologically technologically technologically technologically technologically tec					1
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63 equivision at technical supervision at least three certified experts of the second levels of the second and third levels of technical supervision at technical supervision at technical supervision at least three certified experts on a permanent basis with valid certificate of accreditation carrying out technical supervision at objects of the second and third levels of responsibility, including objects of the second and third levels of accreditation carrying out technical supervision at objects of the second and third levels of responsibility = including and enclosing attractives (at least one expert) technical supervision at technical s		established			
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complex objects of the first and second levels of					
of the first and second levels of					
second levels of					
responsibility					
		responsibility			
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64	with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the second level of responsibility: presence of at least three certified experts on a permanent basis with a valid certificate of accreditation carrying out technical supervision at objects of the second and third levels of responsibility, including objects of the second and third levels of responsibility – in terms of engineering			
	networks (at least one expert)			
	Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at			

	technically and			
	technologically			
	complex objects			
	of the second			
65	level of			
	responsibility:			
	presence of at			
	least three			
	certified experts			
	on a permanent			
	basis with a valid			
	certificate of			
	accreditation			
	carrying out			
	technical			
	supervision at			
	objects of the			
	second and third			
	levels of			
	responsibility,			
	including objects			
	of the second and			
	third levels of			
	responsibility - in			
	terms of			
	technological			
	equipment (at			
	least one expert)			
	Compliance of			
	accredited			
	organizations			
	carrying out			
	technical			
	supervision at			
	technically and			
	technologically			
	complex objects			
	of the first and			
	second levels of			
	responsibility			
	with the			
	established			
	qualification			
	requirements for			
	carrying out			
66	technical			
	supervision at			
	technically and			
	technologically			
	complex objects			
	of the second			
	level of			
	responsibility in			
	terms of			
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	availability of an accredited laboratory on the basis of ownership or involved (on the basis of an agreement)			
67	agreement) Compliance of accredited organizations carrying out technical supervision at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements for carrying out technical supervision at technically and technologically complex objects of the second level of responsibility in terms of availability of administrative and utility			
	premises on the basis of ownership or lease for a period of more than one year, meeting the requirements for the area of the premises at the rate of 6 m2 per an employee, for working disabled people using wheelchairs 5.65 and 7.65 m2, respectively			

	Compliance of			
	accredited			
	organizations			
	carrying out			
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	supervision at			
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	of the first and			
	second levels of			
	responsibility			
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	carrying out			
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8	supervision at			
	technically and			
	technologically			
	complex objects			
	of the second			
	level of			
	responsibility in			
	terms of			
	availability of			
	material and			
	technical			
	equipment,			
	including			
	measuring and			
	control			
	instruments			
	necessary to			
	perform the			
	assigned duties			
	and functions			
	Compliance of			
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	technical			
	supervision at			
	technically and			
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	complex objects			
	of the first and			
	second levels of			
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	with the			
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	qualification			
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	carrying out			
69	technical			
	supervision at			
	technically and			
	technologically			
	complex objects			
	of the second			
	level of			
	responsibility in			
	terms of			
	availability of			
	normative-techni			
	cal and			
	methodological			
	literature			
	necessary to			
	perform the			
	assigned duties			
	and functions			
	Compliance of			
	accredited			
	organizations			
	carrying out			
	expert works on			
	technical			
	inspection of the			
	reliability and			
	stability of			
	buildings and			
	structures at			
	technically and			
	technologically			
	complex objects			
	of the first and			
	second levels of			
	responsibility			
70	with the			
10	established			
	qualification			
	requirements in			
	terms of presence			
	on a permanent			
	basis of at least			
	three certified			
	experts with a			
	valid certificate			
	of accreditation			
	carrying out			
	technical			
	inspection of the			
	reliability and			
	stability of			
	buildings and			
	structures			

71	Compliance of accredited organizations carrying out expert works on the technical inspection of the reliability and stability of buildings and structures at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements in terms of presence of at least one certified expert in their composition on a permanent basis, carrying out expertise of projects in constructional p a r t specialization			
72	Compliance of accredited organizations carrying out expert works on the technical inspection of the reliability and stability of buildings and structures at technically and technologically complex objects of the first and second levels of responsibility with the established qualification requirements in terms of presence			

			1	
	of at least one			
	geodesist			
	engineer (with			
	work experience			
	for at least three			
	years)			
	Compliance of			
	accredited			
	organizations			
	carrying out			
	expert works on			
	the technical			
	inspection of the			
	reliability and			
	stability of			
	buildings and			
	structures at			
	technically and			
	technologically			
	complex objects			
73	of the first and			
	second levels of			
	responsibility			
	with the			
	established			
	qualification			
	requirements in			
	terms of			
	availability of an			
	accredited			
	laboratory on the			
	basis of			
	ownership or			
	involved (on the			
	basis of an			
	agreement)			
	Compliance of			
	accredited			
	organizations			
	carrying out			
	expert works on			
	the technical			
	inspection of the			
	reliability and			
	stability of			
	buildings and			
	structures at			
	technically and			
	technologically			
	complex objects			
	of the first and			
	second levels of			
	responsibility			
	with the			

	established			
74	qualification			
74	requirements in			
	terms of			
	availability of			
	administrative			
	premises on the			
	basis of			
	ownership or			
	_			
	lease for a period			
	of more than one			
	year, meeting the			
	requirements for			
	the area of the			
	premise at the			
	rate of 6 m2 per			
	an employee, for			
	working disabled			
	people using			
	wheelchairs 5.65			
	and 7.65 m2,			
	respectively			
	Compliance of			
	accredited			
	organizations			
	carrying out			
	expert works on			
	the technical			
	inspection of the			
	reliability and			
	stability of			
	buildings and			
	structures at			
	technically and			
	technologically			
	complex objects			
	of the first and			
	second levels of			
	responsibility			
	with the			
	established			
	qualification			
	requirements in			
	terms of			
75	availability of			
	material and			
	technical			
	equipment,			
	including			
	measuring and			
	control			
	instruments			
	necessary to			
	perform the			
	r · · · · · · · · ·			

	assigned duties		
	and functions, as		
	well as computers		
	equipped with		
	licensed software		
	necessary for		
	performing		
	calculations,		
	drawing up and		
	designing graphic		
	and other		
	materials		
	Compliance of		
	accredited		
	organizations		
	carrying out		
	expert works on		
	the technical		
	inspection of the		
	reliability and		
	stability of		
	buildings and		
	structures at		
	technically and		
	technologically		
	complex objects		
	of the first and		
	second levels of		
	responsibility		
	with the		
	established		
	qualification		
	requirements in		
	terms of		
	availability of		
	normative-techni		
	cal and		
	methodological		
	literature		
	necessary to		
76	perform the		
10	assigned duties		
	and functions		
	Organization and		
	conduct of an		
	unscheduled		
	inspection by the		
	bodies of state		
	architectural-		
	construction		
	control and		
	supervision in		
	relation to		
	participants in		
	participants in		

	construction on the basis of an appeal from individuals and legal entities on specific facts of violations of the requirements of the legislation of the Republic of Kazakhstan, non- elimination of them shall entail harm to human life and health		
77	Submission of a report on the state and progress of an object construction to the body of state architectural and construction control and supervision on a monthly basis by the persons carrying out technical supervision		
78	Adoption of administrative measures on the submitted information of persons carrying out technical supervision for unfulfilled or improper execution of their instructions by the contractor (general contractor)		
	Organization and conduct of an unscheduled inspection by the bodies of state architectural- construction control and		

	supervision in relation to		
	participants in		
	construction on		
79	the basis of an		
	appeal from		
	individuals and		
	legal entities on		
	specific facts of		
	violations of the		
	requirements of		
	the legislation of		
	the Republic of		
	Kazakhstan, non-		
	elimination of		
	them shall entail		
	harm to human		
	life and health		
	Organization and		
	conduct of		
	preventive		
	control and		
	supervision by		
00	the bodies of state		
80	architectural-cons		
	truction control		
	and supervision		
	in relation to		
	construction		
	participants		
	Availability of		
	completeness and		
	reliability in acts		
81	based on the		
	results of an		
	unscheduled		
	inspection		
	Availability of		
	completeness and		
	reliability in the		
	order on		
	elimination of		
82	identified		
	violations based		
	on the results of		
	an unscheduled		
	inspection		
	Availability of		
	completeness and		
	reliability in the		
	resolution on		
83	bringing to		
	administrative		

84	responsibility based on the results of an unscheduled Availability of completeness and reliability in the protocol on an administrative offense based on the results of an unscheduled inspection
85	Availability of completeness and reliability in the order on elimination of identified violations based on the results of preventive control and supervision with a visit to the subject (object) of control and supervision
86	Execution of previously issued instructions to the body of state architectural-cons truction control and supervision

Official (s)

position_____

signature surname, name, patronymic (if any)

_position_____

signature surname, name, patronymic (if any)

Head of the subject of control and supervision

position_____

signature surname, name, patronymic (if any)

Appendix 4 to the joint order of the Minister for Investments and Development of the Republic of Kazakhstan No. 757 dated October 31, 2018 and the Minister of National Economy of the Republic of Kazakhstan No.52 dated October 31, 2018

Checklist in the field of architecture, urban planning and construction in relation to the construction bodies

Footnote. Appendix 4 - as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan No. 496 dated 26.09. 2020 and the Minister of National Economy of the Republic of Kazakhstan No. 70 dated 28.09. 2020 (shall be enforced upon expiry of ten calendar days after the date of its first official publication).

State body that appointed the inspection _____

Act on appointment of inspection/preventive control and supervision with a visit to the subject

(object) of control and supervision

No., date Name of the subject (object) of control and supervision ______

(individual identification number), business identification number of the

Location address

№	List of requirements	Required	Not required	Complying with requirements	Not complying with requirements
1	2	3	4	5	6
1	Monitoring and analysis on the reports provided by persons performing technical and author's supervision				
2	Conducting works on the object conservation, including inspection and monitoring of the state of conserved objects for more than 6 months				
3	Compliance at the objects where the construction body is the customer, with the requirements of state standards in terms of availability of the corresponding entitlement to the land site				
	Compliance at the objects where the construction body is the customer, with the requirements of state standards in				

4	terms of availability of an architectural-plan ning assignment for the design of the planned object in the established form in accordance with the requirements of the current regulatory documents		
5	Compliance at the objects where the construction body is the customer, with the requirements of state standards in terms of compliance with the technical regulations requirements		
6	Providing settlements with optimal conditions and means of access for people with disabilities and other low-mobility groups to workplaces, common areas, facilities (structures, communications) of social, recreational, engineering and transport infrastructure in accordance with the type of settlement and conditions of this area		
	Availability of technical		

	conditions for connection to the			
	sources of			
7	engineering and			
	utilities provision			
	at the objects			
	where the			
	construction body			
	is the customer	 		_
	Compliance at the			
	objects where the			
	construction body			
	is the customer,			
	with the			
	requirements of			
	state standards in			
	terms of			
	availability of a			
8	-			
	report on			
	engineering and			
	geological			
	surveys, if the			
	contract does not			
	provide for the			
	performance of			
	engineering			
	surveys			
		 		-
	Availability of a			
	permit from the			
	relevant			
	organizations for			
	performing works			
	in the area of			
	overhead power			
	transmission lines			
	an d			
	communication			
9	lines, in the			
	railroad precincts,			
	in the places of			
	underground			
	communications,			
	in areas where			
	land plots located on the			
	construction area			
	are worked by			
	mining operations			
	Availability of a			
	permission from			
	the relevant			
	organizations for			
	temporary use of			
	temnorary use of			

	electricity, gas, water, steam from existing sources during construction		
10	period in cities and other settlements in accordance with		
	the construction organization project if the customer does not have their own gas, water, steam and power supply facilities for		
	construction		
11	Availability of a permission from the relevant organizations for the forest clearing and trees replanting		
12	Availability of a corresponding entitlement to the land site for construction, operation purposes		
13	Availability of approved (re-approved) design (design and estimate) documentation		
14	Availability of expertise opinion for projects		
15	Availability of a coupon on acceptance of notification on the start of construction and installation works		
	Provision to the database of the state urban planning cadastre		

	of information on			
	decisions on			
	construction,			
	expansion,			
	technical			
	re-equipment,			
	modernization,			
	alteration,			
	restoration and			
	overhaul of			
	buildings,			
16	constructions,			
10	structures,			
	engineering and			
	transport			
	communications,			
	also engineering			
	preparation of the			
	territory,			
	improvement and			
	landscaping,			
	conservation of			
	construction sites			
	(facilities),			
	carrying out a set			
	of works on			
	post-utilization of			
	urban facilities			
	Provision to the			
	database of the			
	state urban			
	planning cadastre			
	of information on			
17	conclusions on			
	the expert			
	examination of			
	projects, state and			
	interstate			
	including			
	Compliance of			
	the license for the			
	right to carry out			
	the performed			
	types of works,			
18	including			
10	categorization of			
	the licensed			
	architectural,			
	urban planning			
	and construction			
	activity			
	Availability of			
	certified experts			
	_			

19	carrying out technical supervision and their inconsistency in terms of the responsibility levels of the object			
20	Availability of certified experts carrying out author's (design) supervision and t h e i r inconsistency in terms of the responsibility levels of the object			
21	Compliance of the completed (ongoing, in progress) construction and installation works , used building materials (products, structures) and equipment with approved design solutions and state (interstate) standards, including ensuring the strength, stability, reliability of bearing and enclosing structures and operational qualities of buildings (structures)			
22	Carrying out of acceptance control at the object site			
23	Timely and proper execution			

	of executive documentation		
24	Existence of control over execution by the contractor of the instructions of architectural and technical supervision		
25	Ensuring execution of the orders of the state architectural-cons truction control and supervision bodies		
26	Compliance with the warranty period proposed by the contractor during the tender process in accordance with the period indicated in the contract		
27	Carrying out of suspension of the production of works when they deviate from the design (design and estimate) documentation and regulatory requirements, as well as from the terms of the concluded construction contract		
28	Availability of approvals and supporting documents when making changes to the approved project documentation		
	Compliance with the procedure for		

acceptance (commissioning) of objects into operation: acceptance and commissioning of the constructed object when it is fully ready in accordance with the approved project and availability of a declaration of conformity, conclusions on the quality of construction and installation works and compliance of the performed work with the approved project; establishment and documentary confirmation of the readiness of the completed construction object; signing of the act of acceptance of the object into operation shall be carried out after final inspection of the object and compliance with the requirements; acceptance of the object into operation after the contractor (general contractor) has eliminated violations of the approved design solutions and state (interstate) standards in the presence of negative conclusions; sending

29

	information to		
	state bodies for		
	taking measures		
	upon receipt of		
	the conclusion of		
	the author's and (
	or) technical		
	supervision on		
	the unsuitability		
	of the object for		
	operation or		
	poor-quality		
	performance of		
	construction and		
	installation works		
	; sending the act		
	of acceptance of		
	the object into		
	operation to the		
	joint-stock		
	company State		
	corporation "		
	Government for		
	Citizens" within		
	one day after		
	approval		
	Observance by		
	the participants in		
	the acceptance of		
	the object into		
	operation of the established and		
30			
	documentary confirmation of		
	the readiness of		
	the completed		
	construction		
	object		
	Observance by		
	the participants in		
	the acceptance of		
	the object into		
	operation of the		
	obligations in		
	terms of assessing		
	the conformity of		
	the completed		
	construction and		
	installation works		
31	and installed		
51	technological,		
	engineering or		
	other equipment		
	approved in the		

	established order of design (design and estimate) documentation with regulatory requirements (conditions, restrictions)
32	Carrying out by the customer of acceptance of the object into operation after receiving from the contractor (general contractor) of a written notification of the object's readiness f o r commissioning
33	Presence of the customer's request from the date of receipt of notification from the contractor (general contractor) on the readiness of the facility, to the contractor (general contractor) and persons carrying out technical and architectural supervision to provide a declaration of conformity, conclusions on the quality of construction and installation works and compliance of the performed works with the project
	Observance by the contractor (general contractor) and persons carrying out

34	technical and author's supervision of the requirement to submit, within three working days from the date of receipt from the customer of the request on providing a declaration of conformity, a conclusion on the quality of construction and installation works and compliance of the performed works with the project, or negative conclusions		
35	Conducting check of the executive technical documentation for availability and completeness , inspection and acceptance of the object into operation according to the relevant act on the basis of the declaration of conformity, conclusions on the quality of construction and installation works and compliance of the performed works with the project together with the contractor (general contractor), persons carrying out		

	technical and author's supervision			
36	Elimination of identified violations of approved design solutions and state (interstate) standards, and also in the existence of negative conclusions, acceptance of the object into operation after the contractor (general contractor) has eliminated violations as prescribed by law			
37	Compliance with the forms of conclusions on the quality of construction and installation works and compliance of the performed works with the project, declaration of conformity, act of the object acceptance into operation			
38	Execution of previously issued instructions to the construction body			
Executiv	ve official (s)			
		position	n	
signa	ature	full name		

position

signature	full name		
Director of the subje	ect		
of control and supe	rvision	 	
	position	 	
signature	full name		

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