



## **On approval of criteria for risk assessment, and checklists in the field of architecture, town planning and construction for the activities of architectural, town planning and construction entities**

### *Unofficial translation*

Joint order of the Minister for Investment and Development of the Republic of Kazakhstan dated November 19, 2018 № 807 and Minister of National Economy of the Republic of Kazakhstan dated November 26, 2018 № 81. Registered with the Ministry of Justice of the Republic of Kazakhstan on November 29, 2018 № 17818.

### *Unofficial translation*

In pursuance of Articles 141 and 143 of the Entrepreneur Code of the Republic of Kazakhstan, **WE ORDER:**

**Footnote. Preamble - as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).**

#### 1. Approve:

1) criteria for assessing the degree of risk in architecture, urban planning and construction when conducting inspections of subjects of architectural, urban planning and construction activities, in accordance with Appendix 1 to this joint order;

2) the checklist in the field of architecture, urban planning and construction in respect of the customer (developer), in accordance with Appendix 2 to this joint order;

3) the checklist in the field of architecture, urban planning and construction in respect of the design organization (general designer), in accordance with Appendix 3 to this joint order;

4) the checklist in the field of architecture, urban planning and construction, in respect of the contractor (general contractor), in accordance with Appendix 4 to this joint order;

5) the checklist in the field of architecture, urban planning and construction in respect of persons exercising architectural supervision, in accordance with Appendix 5 to this joint order ;

6) the checklist in the field of architecture, urban planning and construction in respect of legal entities and individuals carrying out technical supervision, in accordance with Appendix 6 to this joint order;

7) the checklist in the field of architecture, urban planning and construction in respect of the organization carrying out expert work on technical inspection of the reliability and stability of buildings and structures at technically and technologically complex facilities of the first and second levels of responsibility, in accordance with Appendix 7 to this joint order;

8) the checklist in the field of architecture, urban planning and construction in respect of legal entities conducting a comprehensive non-departmental expert examination of construction projects, in accordance with Appendix 8 to this joint order;

9) the checklist in the field of architecture, urban planning and construction in respect of the customer (developer) for compliance with the requirements, in accordance with Appendix 12 to this joint order;

10) the checklist in the field of architecture, urban planning and construction in respect of the design organization (general designer) for compliance with the requirements, in accordance with Appendix 13 to this joint order;

11) the checklist in the field of architecture, urban planning and construction in respect of the contractor (general contractor) for compliance with the requirements, in accordance with Appendix 14 to this joint order;

12) the checklist in the field of architecture, urban planning and construction in respect of persons exercising architectural supervision for compliance with the requirements, in accordance with Appendix 15 to this joint order;

13) the checklist in the field of architecture, urban planning and construction in respect of legal entities and individuals carrying out technical supervision for compliance with the requirements, in accordance with Appendix 16 to this joint order;

14) the checklist in the field of architecture, urban planning and construction in respect of organizations carrying out expert work on technical inspection of the reliability and stability of buildings and structures at technically and technologically complex facilities of the first and second levels of responsibility for compliance with the requirements, in accordance with Appendix 17 to this joint order;

15) the checklist in the field of architecture, urban planning and construction in respect of organizations providing engineering services of managing a construction project for compliance with the requirements, in accordance with Appendix 18 to this joint order;

16) the checklist in the field of architecture, urban planning and construction in respect of licensees for compliance with the requirements, in accordance with Appendix 19 to this joint order;

17) the checklist in the field of architecture, urban planning and construction in respect of non-state certification centers for certification of engineering and technical personnel involved in the design and construction process for compliance with the requirements, in accordance with Appendix 20 to this joint order;

18) the checklist in the field of architecture, urban planning and construction in respect of legal entities conducting a comprehensive non-departmental expert examination of construction projects for compliance with the requirements, in accordance with Appendix 21 to this joint order.

**Footnote. Paragraph 1 – as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the**

Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

2. Order № 395 of the Acting Minister of National Economy of the Republic of Kazakhstan dated September 2, 2016 "On Approval of Checklists in the Field of Architecture, Town Planning and Construction" (registered in the Register of State Registration of Regulatory Legal Acts under № 14351, published on October 28, 2016 in Legal Information System "Adilet") shall be no longer valid.

3. In accordance with the legislation, the Committee on Construction, Housing and Utilities Services of the Ministry for Investment and Development of the Republic of Kazakhstan shall:

1) ensure state registration of this joint order with the Ministry of Justice of the Republic of Kazakhstan;

2) within ten calendar days, from the date of State registration of this joint order, direct the copy of it both in the Kazakh and Russian languages to the Republican State Enterprise on the Right of Economic Management "Republican Center of Legal Information" for official publication and inclusion into the Reference Control Bank of the Regulatory Legal Acts of the Republic of Kazakhstan;

3) place this joint order on the Internet resource of the Ministry for Investment and Development of the Republic of Kazakhstan;

4) within ten working days after the state registration of this joint order with the Ministry of Justice of the Republic of Kazakhstan submit the information to the Legal Service Department of the Ministry for Investment and Development of the Republic of Kazakhstan on execution of the measures provided by subparagraphs 1), 2) and 3) of this paragraph.

3. Control over the execution of this joint order shall be entrusted to the supervising Vice-Minister for Investment and Development of the Republic of Kazakhstan.

4. This joint order shall come into effect upon expiry of ten calendar days after the day of its first official publication.

*Minister for Investment and Development  
of the Republic of Kazakhstan*  
*Minister of National Economy  
of the Republic of Kazakhstan*

*J. Kassymbek*

*T. Suleimenov*

"AGREED"

with the Committee on Legal  
Statistics and  
Special Accounting of  
General Prosecutor's  
Office of the Republic of Kazakhstan

Appendix 1  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan

## **Risk assessment criteria in the field of architecture, town planning and construction during inspections in respect of subjects of architectural, town planning and construction activities**

Footnote. Appendix 1 – as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

### **Chapter 1. General provisions**

1. These criteria for assessing the degree of risk in architecture, urban planning and construction when conducting inspections in respect of subjects of architectural, urban planning and construction activities (hereinafter referred to as the Criteria) have been developed in pursuance of Articles 141 and 143 of the Entrepreneur Code of the Republic of Kazakhstan, the Law of the Republic of Kazakhstan On Architectural, Town Planning and construction activities in the Republic of Kazakhstan (hereinafter referred to as the Law), by order of the Acting Minister of National Economy of the Republic of Kazakhstan dated July 31, 2018 № 3 “On approval of the checklist form” (registered in the Register of State Registration of Regulatory Legal Acts № 17371) and order of the Acting Minister of National Economy of the Republic of Kazakhstan dated June 23, 2022 № 48 “On approval of the Rules for the formation of risk assessment and management systems by regulatory state bodies and on amendments to the order of the Acting Minister of National Economy of the Republic of Kazakhstan dated July 31, 2018 № 3 “On approval of the Rules for the formation by state bodies of a risk assessment system and forms of checklists” (registered in the Register of State Registration of Regulatory Legal Acts № 28577).

2. The following basic concepts shall be used in the Criteria:

- 1) score - a quantitative measure of risk calculation;
- 2) data normalization - a statistical procedure that involves bringing values measured in different scales to a conditionally common scale;
- 3) subjects (objects) of control and supervision - persons performing architectural supervision; non-state certification centers for certification of engineers and technicians involved in the design and construction process; design organization (general designer); organizations performing expert works on technical inspection of reliability and stability of buildings and structures at technically and technologically complex facilities of the first and second levels of responsibility; licensees; contractor (general contractor); legal entities

conducting complex non-departmental expertise of construction projects; organizations providing engineering services for project management of construction of facilities; customer (developer); legal entities and individuals carrying out technical supervision;

4) significant violations - violations established by regulatory legal acts in the field of architecture, urban planning and construction that are not gross, associated with the provision of inaccurate reporting and monitoring, untimeliness and correctness of execution documentation, as well as requirements in the field of architecture, urban planning and construction aimed at preventing violations entailing deterioration of the favorable environment and human life;

5) minor violation - violation of requirements that are not related to significant and gross violations, but entail damage to the legitimate interests of individuals, legal entities and the state;

6) gross violations - actions resulting in deterioration of the state of favorable habitat and human life, resulting from violation of requirements established by regulatory legal acts in the field of architecture, urban planning and construction at all stages of the life cycle, associated with man-made incidents, damage to state interests, legal entities and individuals, infringement of the rights and legitimate interests of citizens and society, failure to ensure the strength, stability and reliability of the facility, deformation of the unacceptable size of building structures, the foundation of a building or structure and geological massifs of the adjacent territory;

7) risk - the likelihood of harm resulting from the activities of the subject of control and supervision to human life or health, the environment, the legitimate interests of individuals and legal entities, the property interests of the state, taking into account the severity of its consequences;

8) risk assessment criteria - a set of quantitative and qualitative indicators related to the control subject's direct activity, specifics of sectoral development and factors impacting this development, which allow for assigning of control subjects (objects) to different degrees of risk;

9) objective criteria for assessing the degree of risk (hereinafter - objective criteria) - criteria for assessing the degree of risk used for the selection of control and supervision subjects depending on the degree of risk of their activities and not directly dependent on an individual control subject (object);

10) subjective criteria for risk degree assessment (hereinafter - subjective criteria) - criteria for risk degree assessment used for the selection of control and supervision subjects (objects) depending on the results of activities of a particular control and supervision subject (object);

11) risk assessment and management system - the process of making managerial decisions aimed at reducing the likelihood of occurrence of unfavorable factors by distributing control and supervision subjects (objects) into risk degrees for subsequent preventive control with

visits to the subject (object) of control and supervision and (or) compliance checks for qualification or authorization requirements (hereinafter - compliance checks) with the aim of minimum possible degree of restriction of freedom of enterprise, while ensuring an acceptable level of risk in the relevant areas of activity, also aimed to change the level of risk for a specific subject (object) of control and supervision and (or) exemption of such subject (object) of control and supervision from preventive control with a visit to the subject (object) of control and supervision and (or) compliance checks;

12) checklist - a list of requirements for the activities of subjects (objects) of control and supervision, non-compliance with which entails a threat to human life and health, the environment, legitimate interests of individuals and legal entities, the state;

13) frame (sample) - a list of assessed subjects (objects) classified as a homogeneous group of subjects (objects) of control and supervision in a specific area of state control and supervision, in accordance with paragraph 2 of Article 143 of the Code.

3. The criteria are formed through objective and subjective criteria.

## **Chapter 2. Objective criteria**

4. According to objective criteria, subjects (objects) of control and supervision in the field of architecture, urban planning and construction shall be classified according to one of the following degrees of risk:

- 1) high-risk
- 2) average-risk;
- 3) low- risk.

High-risk shall include:

facilities of I (increased) and II (normal) levels of responsibility established in the Rules of determining the general procedure for classifying buildings and structures as technically and (or) technologically complex objects, approved by order of the Minister of National Economy of the Republic of Kazakhstan dated February 28, 2015 № 165 “On approval of the Rules determining the general procedure for classifying buildings and structures as technically and (or) technologically complex objects” (registered in the Register of State Registration of Regulatory Legal Acts № 10666) (hereinafter referred to as the Rules for classifying buildings and structures);

technologically complex facilities of industrial and housing-civil purpose, established in the Rules for the classification of buildings and structures;

facilities financed by public investments and funds of quasi-public sector entities;

facilities with a standard construction duration of more than 9 months;

facilities where construction and installation works have been suspended for more than 6 months.

Average- risk shall include:

objects of the II (normal) level of responsibility, unrelated to technically complex ones established in the Rules for classification of buildings and structures;  
facilities with a standard construction duration of more than 6 months;  
facilities where construction and installation works have been suspended for more than 3 months.

Low-risk shall include:

facilities of III (lower) level of responsibility established in the Rules for the classification of buildings and structures;  
facilities with a standard construction duration of more than 4 months.

5. For activity areas of subjects (objects) of control and supervision classified as high and average risk according to the objective criteria, compliance checks, preventive control with a visit to the subject (object) of control and supervision, and an unscheduled inspection shall be carried out.

For activity areas of subjects (objects) of control and supervision that are classified as low risk according to the objective criteria, a compliance check and an unscheduled inspection shall be carried out.

### **Chapter 3. Subjective criteria**

6. To assess the risk degree according to the subjective criteria for carrying out preventive control and supervision with a visit to the subject (object) of control and supervision, the following information sources shall be used:

- 1) results of monitoring of reporting and information provided by the subject of control and supervision;
- 2) results of previous inspections and preventive control with visits to subjects (objects) of control and supervision;
- 3) presence and number of confirmed complaints and appeals;
- 4) analysis of state bodies' official Internet resources.

To assess the risk degree according to the subjective criteria, the following information sources shall be used to conduct compliance checks:

- 1) presence and number of confirmed complaints and appeals;
- 2) analysis of state bodies' official Internet resources;
- 3) the results of previous inspections and preventive control with visits to subjects (objects) of control and supervision.

7. Based on available information sources, the regulatory state bodies shall generate data on subjective criteria that are subject to analysis and evaluation.

Analysis and assessment of subjective criteria will make it possible to focus the compliance check and preventive control of the subject (object) of control and supervision in respect of the subject (object) of control and supervision with the highest potential risk.

At the same time, data of subjective criteria, previously recorded and used in respect of a particular subject (object) of control and supervision or data for which the statute of limitations has expired in accordance with the legislation of the Republic of Kazakhstan shall not be applied in the analysis and evaluation.

With regard to subjects of control and supervision that have eliminated in full the violations detected in the previous preventive control with a visit and (or) in compliance checks, they shall not be included in the formation of schedules and lists for the next state control period.

8. Depending on the possible risk and significance of the problem, singularity or systematicity of the violation, the analysis of previously made decisions for each source of information, the requirements for the activities of the subjects (objects) of control and supervision correspond to the degree of violation - gross, significant and minor.

The distribution of violations of legislative requirements in the field of architecture, urban planning and construction, as well as state regulations into gross, significant, minor, is set out in Appendices 1 and 2 to these Criteria.

9. Issuing from the priority of the applied sources of information and significance of the subjective criteria indicators, in accordance with the procedure for calculating the risk degree indicator according to subjective criteria, the risk degree indicator according to subjective criteria is calculated on a scale from 0 to 100 points.

The subject (object) of control and supervision shall be classified according to risk degree indicators:

- 1) as high risk degree – if the risk degree is from 71 to 100 inclusive;
- 2) as average risk degree - with the risk degree indicator from 31 to 70 inclusive;
- 3) as low risk degree – with the risk degree indicator from 0 to 30 inclusive.

10. To refer the subject of control and supervision to the risk level in accordance with paragraph 4 of these Criteria, the following procedure for calculating the risk level indicator shall be applied.

The state body shall collect information and generate the database based on subjective criteria from sources in accordance with paragraph 6 of these Criteria.

The calculation of the risk degree indicator according to subjective criteria (R) shall be carried out in an automated mode by summing up the risk degree indicator for violations based on the results of previous inspections and preventive control with visits to subjects (objects) of control and supervision (SP) and the risk degree indicator by subjective criteria defined in accordance with paragraph 15 of these Rules (SC), with subsequent normalization of data values in the range from 0 to 100 points.

$R_{prom} = SP + SC$ , where

$R_{prom}$  - is intermediate indicator of risk degree by subjective criteria,

SP - risk level indicator on violations,



SC - risk level indicator by subjective criteria determined in accordance with paragraph 9 of these Criteria.

The calculation shall be made for each subject (object) of control and supervision of a homogeneous group of subjects (objects) of control and supervision of each area of state control and supervision. In this case, the list of assessed subjects (objects) of control and supervision, classified as a homogeneous group of subjects (objects) of control and supervision of one area of state control and supervision, forms a sample (selection) for subsequent data normalization.

11. Based on the data obtained from the results of previous inspections and preventive control with visits to subjects (objects) of control and supervision, an indicator of the degree of risk for violations shall be formed, assessed in points from 0 to 100.

If one gross violation is detected by any of the sources of information specified in paragraph 6 of these Criteria, the subject of control and supervision shall be assigned a risk level indicator of 100 points and in respect of it a compliance check or preventive control with a visit to the subject (object) of control and supervision shall be conducted.

If no gross violations are detected, the risk level indicator for violations is calculated by summing up the indicator for violations of a significant and minor degree.

When determining the indicator of significant violations, a coefficient of 0.7 is applied.

This indicator is calculated by the following formula:

$SP_3 = (SP_2 \times 100 / SP_1) \times 0,7$ , where:

$SP_3$  – is the indicator of significant violations;

$SP_1$  – the required number of significant violations;

$SP_2$  – the number of detected significant violations;

When determining the indicator of minor violations, a coefficient of 0.3 is applied.

This indicator is calculated by the following formula:

$SP_H = (SP_2 \times 100 / SP_1) \times 0,3$ , where:

$SP_H$  – is the indicator of minor violations;

$SP_1$  – the required number of minor violations;

$SP_2$  – the number of detected minor violations;

The risk level indicator on violations ( $SP$ ) is calculated on the scale from 0 to 100 points and is determined by summing the indicators of significant and minor violations according to the following formula:

$SP = SP_3 + SP_H$ , where:

$SP$  – is the risk level indicator on violations;

$SP_3$  – the indicator of significant violations;

$SP_H$  – the indicator of minor violations.

The resulting value of the risk level indicator on violations is included in the calculation of the risk level indicator by subjective criteria.

12. Calculation of the risk level indicator by subjective criteria determined in accordance with paragraph 9 of these Criteria is performed on the scale from 0 to 100 points and according to the following formula:

$$SC = \sum_{i=1}^n x_i * w_i, \text{ где}$$

where

$x_i$  – is subjective criterion indicator,

$w_i$  – specific weight of subjective criterion indicator  $x_i$ ,

$n$  – number of indicators.

The resulting value of the risk degree indicator by subjective criteria, determined in accordance with paragraph 9 of these Criteria, is included in the calculation of the risk degree indicator by subjective criteria.

13. The  $R$  values calculated for subjects (objects) are normalized to a range from 0 to 100 points. Data normalization is carried out for each sampling frame (sample) using the following formula:

$$R = \frac{R_{\text{нпом}} - R_{\text{min}}}{R_{\text{max}} - R_{\text{min}}},$$

$R$  – indicator of risk degree (final) according to subjective criteria of a separate subject (object) of control and supervision,

$R_{\text{max}}$  –  $R_{\text{max}}$  - the maximum possible value on the scale of risk degree by subjective criteria for subjects (objects) included in one sampling frame(sample) (upper limit of the scale ),

$R_{\text{min}}$  –the minimum possible value on the risk degree scale by subjective criteria for subjects (objects) included in one sampling frame (sample) (lower limit of the scale),

$R_{\text{нпом}}$  – intermediate indicator of risk degree by subjective criteria, calculated in accordance with paragraph 10 of these Criteria.

#### **Chapter 4. Check lists**

14. For activity areas of subjects (objects) of control and supervision classified as high-risk, the frequency of compliance checks is determined by the risk degree assessment criteria, but not more than once a year.

For activity areas of subjects (objects) of control and supervision classified as average-risk, the frequency of compliance checks is determined by the risk degree assessment criteria, but not more than once every two years.

For activity areas of subjects (objects) of control and supervision classified as low-risk, the frequency of compliance checks shall be determined by the risk degree assessment criteria, but not more than once every three years.

## **Chapter 5. Risk management**

15. In the implementation of the principle of encouraging bona fide subjects of control and supervision and for concentration of control and supervision on violators, subjects (objects) of control and supervision shall be exempt from preventive control with a visit to the subject (object) of control and supervision and (or) compliance checks for a period determined by criteria for assessing the degree of risk of the regulatory state body, through the application of subjective criteria.

16. Subjects (objects) of control and supervision, according to subjective criteria, shall be transferred using an information system from high- risk to average- risk or from an average-risk to low- risk in the relevant activity areas of the subjects of control and supervision in the following cases:

1) if such subjects have entered into contracts of insurance of civil liability to third parties in the cases and manner established by the laws of the Republic of Kazakhstan;

2) if the laws of the Republic of Kazakhstan and the risk assessment criteria of regulatory state bodies define cases of exemption from preventive control with a visit to the subject (object) of control and supervision or conducting compliance checks;

3) if the subjects are members of a self-regulatory organization based on voluntary membership (participation) in accordance with the Law of the Republic of Kazakhstan On Self-Regulation, with which an agreement on recognition of the results of the activities of the self-regulatory organization has been concluded.

17. For the purpose of exemption from preventive control with a visit to the subject (object) of control and supervision and (or) compliance inspection, regulatory state bodies, as well as state bodies shall take into account mitigating indicators.

Mitigating indicators shall include:

1) availability of audio and (or) video recording, with data transmission online;

2) availability of sensors and recording devices for transmitting data to the systems of state bodies.

18. Exemption from preventive control with a visit to the subject (object) of control and supervision and (or) conducting a compliance check is possible on the basis of applied alternative (independent) systems of risk assessment and analysis, audit, expertise in accordance with the criteria for assessing the degree of risk of the regulatory state body, if such grounds are provided for in international treaties ratified by the Republic of Kazakhstan.

## **Chapter 6. Specifics of formation of risk assessment and management system for state bodies using**

**information systems with regard to specificity and confidentiality, in accordance with legislative acts  
of the Republic of Kazakhstan**

19. The system of risk assessment and risk management by state bodies is managed with the use of information systems that classify subjects (objects) of control and supervision to specific degrees of risk and forming of schedules or lists of control measures, and is also based on state statistics, results of departmental statistical observation, and information tools.

In the absence of an information system for risk assessment and management, the minimum acceptable threshold for the number of subjects (objects) of control and supervision , in respect of which preventive control is carried out with a visit to the subject (object) of control and supervision and (or) compliance checking , should not exceed five percent of the total number of such subjects of control and supervision in a certain area of state control and supervision.

Appendix 1  
to Criteria for risk assessment  
and risk management  
in architecture, town planning  
and construction when conducting  
inspection of subjects of architectural,  
town planning and construction activity

**Degrees of violations of requirements in architecture, urban planning and  
construction for preventive control with visits to the subject (object) of control and supervision**

№	Name of requirements	Degree of violation
Customer (developer)		
1	Possession by the customer (developer) of the relevant title documents for the land plot (site or route for construction) or the decision of the executive body to provide it	gross
2	Availability of a decision of the local executive body for reconstruction (redevelopment, re-equipment) of residential and non-residential premises in existing buildings	gross
3	Use of the land plot for development (including laying of communications , engineering preparation of the territory, providing amenities, landscaping and other types of site arrangement) in accordance with the approved project documentation and compliance with the intended	gross

	purpose or easement, zoning of the territory, red lines and development control lines	
4	Compliance of completed (ongoing) construction and installation works, used building materials (products, structures) and equipment with approved design solutions and state (interstate) standards, including ensuring the strength, stability, reliability of load-bearing and enclosing structures and performance qualities of buildings (structures)	gross
5	Permission of the relevant organizations to carry out works in the area of overhead power lines and communication lines, in the railroad right-of-way, in the areas of mining operations, located on the construction site	gross
6	Availability of written consent and presence of a representative of the relevant organization operating communication lines and structures	gross
7	Failure to take measures to tidy up excavation sites, and construction sites after completion of construction and repairs, as well as cluttering of courtyards, streets and squares with construction materials	gross
8	Availability of approved design and estimate documentation	gross
9	Availability of a positive conclusion of the project expertise, including a repeated conclusion of the project expertise in case of adjustments	gross
10	Presence of a contract concluded between the customer (investor of the project or program) and the contractor (general contractor) selected by it	minor
11	Availability of a state license for the contractor to carry out licensed architectural, urban planning and construction activities by category	gross
12	Availability of an agreement with accredited legal entities providing engineering services on technical supervision in case the facility is classified as a technically and	gross

	technologically complex facility of the first or second level of responsibility.	
13	Presence of an agreement with an individual who has a certificate of a technical supervision expert carrying out activities at technically uncomplicated facilities of the second and third levels of responsibility	gross
14	Availability of a contract for architectural supervision with the person who developed the project ( design and estimate) documentation (project developer or certified expert )	gross
15	Availability of an agreement with an accredited organization for the provision of engineering services for project management	minor
16	Provision by the customer (owner) of the construction of the facility with support of technical and architectural supervision	gross
17	Availability of an appropriate number of experts to carry out technical supervision performed by accredited legal entities (at least 3 experts with a certificate to perform technical supervision) at technically and technologically complex facilities of the first and second levels of responsibility	gross
18	Availability of developed design ( design and estimate) documentation for conservation (re-conservation) of an unfinished facility, the construction of which was suspended	gross
19	Availability of design (design and estimate) documentation for dismantling and demolition ( post-utilization) of buildings and structures	gross
20	Availability of an agreement with an accredited legal entity that performs technical inspection of the reliability and stability of buildings and structures in the event that the facility is classified as a technically and technologically complex facility of the first or second level of responsibility	minor

21	Availability of an agreement with an individual who has an expert certificate for technical inspection of the reliability and stability of buildings and structures, and individually carries out activities at facilities of the third level of responsibility	minor
22	Ensuring provision of reliable source data, archival materials presented to the expert (experts) for technical inspection	gross
23	Enforcement of technical survey findings and instructions	gross
24	Ensuring suspension of works when they are carried out in deviation from the design (design and estimate) documentation and regulatory requirements	gross
25	Availability of approval and supporting documents in case of deviation from the approved design and estimate documentation	gross
26	Compliance with the requirement to ensure accessibility of facilities for disabled persons and other low-mobility population groups	gross
27	Availability of a written notice from the contractor (general contractor) on the readiness of the facility	significant
28	Availability of the declaration of conformity	gross
29	Availability of the conclusion on the quality of construction and installation works	gross
30	Availability of the conclusion on compliance of the completed works with the approved design	gross
31	Availability of an approved act of acceptance of the constructed facility into operation	gross
32	Ensuring that the contractor takes measures to eliminate identified defects within the guaranteed period	gross
	Presence in the contract between the customer and the contractor (general contractor) for construction (at least two years from the date of acceptance of the facility into operation) of an appropriate	

33	warranty period established depending on the normative service life of the constructed facility (in accordance with the durability class of the structure as a whole or individual types of construction and installation works performed).	gross
34	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
35	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
36	Existence of of data on official Internet resources and information systems of state bodies on violations in the sphere of architectural, town-planning and construction activities	gross
Design organization (general designer)		
1	Design of settlements, formation of residential areas, improvement of newly developed and reconstructed territories and settlements without providing access to residential, public and industrial buildings, structures and premises for low-mobility population groups	gross
2	Design of parking lots located on the territory of residential and public buildings without spaces for personal vehicles of low-mobility population groups	gross
3	Designing of underground space for parking lots, garages and engineering equipment structures for residential and public buildings without taking into account the engineering and geological conditions of the construction site	gross
4	Development of the project of construction and reconstruction ( remodeling, re-equipment) of premises (separate parts) of existing buildings and structures, including those associated with changes in load-bearing and enclosing structures, engineering systems and equipment, without taking into account the requirements of the source materials (architectural and	gross



	planning assignment, vertical planning marks, copy from the detailed planning project, standard cross-sectional profiles of roads and streets, technical specifications, schemes of routes of external engineering networks, questionnaire and topographic survey).	
5	Development of the project with violations directly affecting the strength, stability and reliability of the facility	gross
6	Existence of a confirmed complaint or appeal of an individual or legal entity.	significant
7	Existence of official reports in mass media about violations in the sphere of architectural, town-planning and construction activities	significant
8	Existence of data on official Internet resources and information systems of state bodies on violations in the sphere of architectural, town-planning and construction activities.	gross
Contractor (general contractor)		
1	Availability of approved (re-approved) design (design and estimate) documentation	gross
2	Compliance of the contractor (general contractor) with the obligations to carry out all types and forms of its own production control of construction quality (incoming, operational, acceptance, laboratory, geodetic)	gross
3	Compliance of the performed (ongoing) construction and installation works, applied construction materials (products, structures) and equipment with the approved design solutions and state (interstate) standards, including those related to ensuring strength, stability, reliability of bearing and enclosing structures and operational qualities of buildings (structures)	gross
4	Ensuring proper and timely maintenance of as-built technical documentation	gross

5	Availability of a document on establishment of land plot boundaries	significant
6	Availability of the act of siting of the building and structure	gross
7	Availability of the act of verification of geodetic layout of the main axes of the building and structure	gross
8	Availability of the act of transferring the bench mark	gross
9	Possession of a valid certificate in the course "Earthquake resistant construction" (in case of construction in seismic areas)	gross
10	Availability of a general work log	significant
11	Availability of a log of pile driving, pile inspection	significant
12	Availability of a log of works on installation of building structures	significant
13	Availability of a log of welding works	significant
14	Availability of a log of anticorrosion protection of welded joints	significant
15	Availability of a log of grouting of assembly joints and assemblies	significant
16	Availability of a log of performing installation connections on tension-controlled bolts	significant
17	Existence of architectural supervision log	significant
18	Availability of technical supervision log	significant
19	Availability of test reports (protocols ) of materials, products, structures, engineering systems and equipment.	significant
20	Availability of quality documents ( passports and certificates) of materials, products, structures and equipment	significant
21	Existence of as-built survey maps	significant
22	Ensuring fulfillment of instructions entered in the log of architectural supervision and technical supervision	gross
23	Fulfillment of the injunctions of the state architectural and construction control and supervision bodies	gross

24	Availability of approvals in case of deviation from the approved design documentation	gross
25	Availability of an expert examination report of design and estimate documentation taking into account the changes made	gross
26	Failure to take measures to tidy up excavation sites and construction sites after construction and repairs, as well as cluttering of yards, streets and squares with construction materials	gross
27	Availability of written notification to the customer about the complete readiness of the facility for acceptance into operation	significant
28	Availability of the declaration of conformity of the constructed facility	significant
29	Elimination of identified defects during the guaranteed period	significant
30	Existence of a confirmed complaint or appeal from an individual or legal entity	significant
31	Existence of official reports in the media on violations in the field of architectural, urban planning and construction activities	significant
32	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Architectural supervision		
1	Presence of an agreement concluded between the customer and the author (project developer) or a certified expert authorized to conduct architectural supervision	minor
2	Availability of a signed certificate of witnessing of hidden works and intermediate acceptance of critical structures	gross
3	Provision of information to the customer and to the department of state architectural and construction control and supervision authorities on the contractor's non-fulfillment or improper fulfillment of the designer's supervision instructions	gross

4	Compliance of completed (ongoing) construction and installation works, used building materials (products, structures) and equipment with approved design solutions and state (interstate) standards, including ensuring the strength, stability, reliability of bearing and enclosing structures and operational qualities of buildings (structures)	gross
5	Provision in writing to the customer, contractor and the state architectural and construction inspection, within five calendar days from the moment of detection of comments, of information on identified inconsistencies in the work performed, by the author and (or) project developer or expert carrying out architectural supervision, with a mark in the log	gross
6	Availability of architectural supervision log	significant
7	Availability of the conclusion on compliance of the performed works with the project	significant
8	Existence of a confirmed complaint or appeal from an individual or legal entity	significant
9	Existence of official reports in the media on violations in the field of architectural, urban planning and construction activities	significant
10	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Legal entities and individuals conducting technical supervision		
1	Providing on a monthly basis to the state architectural and construction control and supervision body a report on the condition and progress of construction of the facility	significant
2	Availability of an agreement concluded between the customer and an expert, or an accredited organization providing engineering services that is authorized to conduct technical supervision	minor

3	Availability of appropriate title documents for the land plot	gross
4	Availability of approved design ( design and estimate) documentation	gross
5	Availability of the positive conclusion of project expertise	gross
6	Control over the availability of a notice of the start of construction and installation work submitted to the bodies exercising state architectural and construction control and supervision	minor
7	Control over the availability of a contractor's license to carry out the relevant types of licensed architectural, town-planning and construction activities	gross
8	Compliance of completed (ongoing) construction and installation works, used building materials (products, structures) and equipment with approved design solutions and state ( interstate) standards, including ensuring the strength, stability, reliability of bearing and enclosing structures and operational qualities of buildings (structures) )	gross
9	Availability and maintenance of the technical supervision log	gross
10	Implementation by the contractor ( general contractor) of all types and forms of its own production control and supervision of construction quality (incoming, operational, acceptance, laboratory, geodetic)	gross
11	Availability of a written instruction on obligatory elimination by the contractor (general contractor) of violations of state (interstate) standards, deviations from design solutions stipulated by the approved project, and (or) requirements of organizational and technological documents committed by it during construction, with the right to suspend construction and installation works to fulfill the instructions within the established time	gross
	Providing information to the customer and to the department of state architectural and construction	

12	control and supervision authorities about the contractor's non-fulfillment or improper fulfillment of technical supervision instructions	gross
13	Availability of a conclusion on the quality of construction and installation works	gross
14	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
15	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
16	Existence of data on official Internet resources and information systems of state bodies on violations in the sphere of architectural, town-planning and construction activities	gross
Organizations carrying out expert works on technical inspection of reliability and stability of buildings and structures at technically and technologically complex facilities of the first and second levels of responsibility		
1	Presence of signatures of all certified experts who conducted the technical inspection, as well as personal stamps of experts and approval by the head of the organization of the expert report on the technical survey of reliability and stability of buildings and structures, issued by the organization.	gross
2	Presence of signature and personal stamp on the expert report on technical survey of reliability and stability of buildings and structures performed by an expert	gross
3	Issue of a conclusion on technical inspection of reliability and stability of buildings and structures, performed in violation of the requirements of the approved construction standards and containing unreliable data, which may entail deterioration of operational qualities, reduction of strength, stability of buildings, structures, their parts or separate structural elements	gross

4	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
5	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
6	Existence of data on official Internet resources and information systems of state bodies on violations in the sphere of architectural, town-planning and construction activities	gross
Legal entities conducting comprehensive non-departmental expert examination of construction projects		
1	Issuance of a positive expert opinion (expert assessment) on design (design and estimate) documentation that does not ensure stability, reliability and strength of the facilities currently under construction or constructed	gross
2	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
3	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
4	Existence of data on official Internet resources and information systems of state bodies on violations in the sphere of architectural, town-planning and construction activities	gross

Appendix 2  
to Criteria for risk assessment  
and risk management  
in architecture, town planning  
and construction when conducting  
inspection of subjects of architectural,  
town planning and construction activity

### **Degrees of violations of requirements in architecture, urban planning and construction for compliance checks**

№	Name of requirements	Degree of violation
Customer (developer)		
1	Presence of notification on the commencement of construction and installation works	gross

2	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
3	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
4	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Design organization (general designer)		
1	Availability of an appropriate license for the right to carry out relevant types of licensed architectural, urban planning and construction activities	gross
2	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
3	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
4	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Contractor (general contractor)		
1	Availability of an appropriate license for the right to carry out relevant types of licensed architectural, urban planning and construction activities	gross
2	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
3	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
4	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Architectural supervision		
1	Availability of an appropriate certificate for the right to provide engineering services in the field of	gross



	architectural, urban planning and construction activities	
2	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
3	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
4	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Legal entities and individuals conducting technical supervision		
1	Availability of an appropriate accreditation certificate	gross
2	Availability of the relevant certificate for the right to provide engineering services in architectural, town-planning and construction activities for technical supervision of technically and technologically complex facilities of the second level of responsibility	gross
3	Presence on a permanent basis of at least one certified expert with specialization in: load-bearing and enclosing structures for technical supervision of technically and technologically complex facilities of the first level of responsibility.	gross
4	Presence on a permanent basis of at least one certified expert with specialization in: engineering networks for technical supervision at technically and technologically complex facilities of the first level of responsibility	gross
5	Presence on a permanent basis of at least one certified expert with specialization in: technological equipment for technical supervision at technically and technologically complex facilities of the first level of responsibility	gross
6	Availability of an accredited laboratory for technical supervision of technically and technologically complex facilities of the first and second level of responsibility owned	gross

	or engaged (on the basis of a contract).	
7	Availability of administrative and utility premises on the right of ownership or lease for a period of more than one year, meeting the requirements of premises area of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7.65 m <sup>2</sup> for technical supervision at technically and technologically complex facilities of the first and second level of responsibility	gross
8	Availability of material and technical equipment, including measuring and control equipment required to fulfill the assigned duties and functions for technical supervision of technically and technologically complex facilities of the first and second level of responsibility	gross
9	Availability of regulatory, technical and methodological literature necessary to fulfill the assigned duties and functions for technical supervision at technically and technologically complex facilities of the first and second levels of responsibility	gross
10	Presence on a permanent basis of at least one certified expert with specialization in: load-bearing and enclosing structures for technical supervision at technically and technologically complex facilities of the second level of responsibility.	gross
11	Presence on a permanent basis of at least one certified expert with specialization in: engineering networks for technical supervision at technically and technologically complex facilities of the second level of responsibility	gross
12	Presence on a permanent basis of at least one certified expert with specialization in: technological equipment for technical supervision at technically and technologically complex facilities of the second level of responsibility	gross
	Availability of a certificate for the right to provide engineering services	

13	in the field of architectural, urban planning and construction activities for technical supervision at technically and technologically complex facilities of the second level of responsibility	gross
14	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
15	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
16	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Organizations carrying out expert works on technical inspection of reliability and stability of buildings and structures at technically and technologically complex facilities of the first and second levels of responsibility		
1	Availability of an appropriate accreditation certificate	gross
2	Presence on a permanent basis of at least three certified experts, performing technical survey of reliability and stability of buildings and structures	gross
3	Availability on a permanent basis of at least one certified expert carrying out expert examination of projects in the specialization of structural part	gross
4	Availability on a permanent basis of at least one geodetic engineer (with at least three years of experience)	gross
5	Availability of an accredited laboratory owned or engaged (on the basis of a contract)	gross
6	Availability of administrative and amenity premises on the right of ownership or lease for a period of more than one year, meeting the requirements of premises area of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7,65 m <sup>2</sup>	gross
7	Availability of material and technical equipment, including measuring and control equipment necessary to perform the assigned duties and functions, as well as computers equipped with licensed software	gross

	necessary to perform calculations, compile and design graphical materials	
8	Availability of regulatory-technical and methodological literature necessary to fulfill the assigned duties and functions	
9	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
10	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
11	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Organizations providing engineering services for the project management of construction of facilities		
1	Availability of an appropriate accreditation certificate	gross
2	Availability of at least three certified experts for technical supervision of the facilities of the first level of responsibility in the following specializations: load-bearing and enclosing structures (at least one expert); engineering networks (at least one expert); technological equipment (at least one expert)	gross
3	Availability of at least one certified engineering technician in the specialization "chief project engineer" and/or "chief engineer"	gross
4	Availability of at least one qualified cost estimate engineer	gross
5	Availability of administrative and amenity premises on the right of ownership or on other legal grounds	gross
6	Availability of material and technical equipment, in particular, workstations with installed software to perform calculations, compilation and design of graphic and other materials	gross
7	Existence of a confirmed complaint or appeal of an individual or legal entity	significant

8	Existence of official reports in mass media on violations in architectural, town-planning and construction activities	significant
9	Existence of data on official Internet resources and in the information systems of state bodies on violations in architectural, urban planning and construction activities	gross
Licensees		
1	Requirements for engaging in survey activities: presence on the staff of at least one engineering technician who has an appropriate higher professional education in the field of survey activities and work experience (practice ) of at least three years in the profile of work included in the requested subtype of the licensed type of activity , or corresponding secondary vocational or technical and vocational education in the field of survey activity, work experience (practice) of at least five years in the profile of work included in the requested subtype of the licensed type of activity	gross
2	Requirements for engaging in survey activities: availability of a production base on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with: 1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the requirements of the labor protection and occupational safety system during work; 2) workplaces organized in accordance with working conditions	gross
	Requirements for engaging in survey activities: presence of minimum material and technical equipment on the right of ownership (economic	

3	management or operational management) and (or) lease: 1) instrumentation, mechanisms, devices that are used depending on the technical requirements necessary to fulfill declared works of the subtypes of licensed type of activity; 2) a personal computer with installed software necessary to perform calculations, compile and design graphic and other materials when carrying out engineering and geodetic works	gross
4	Requirements for engaging in survey activities: availability of instructions on the quality control system approved by the applicant (licensee), regulating the proper performance of work and quality assurance (standard control, quality control of works)	gross
5	Requirements for engaging in survey activities: availability of rules and instructions for the occupational health and safety system approved by the applicant (licensee) with the attachment of documents confirming the training of the responsible engineering technician	gross
6	Requirements for licensees of category III of design activity: presence on the staff of at least one certified engineering technician who holds the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and works on a permanent basis for the applicant in one of the following specializations: chief engineer of the project , chief project architect, chief designer, leading design engineer. If there is at least one engineer working on a permanent basis for foreigners or foreign legal entities with a relevant permit document for the profile of works included in the requested subtypes of the licensed activity, issued in the manner prescribed by the legislation of the state party to the Organization for Economic Cooperation and Development, these requirements shall not apply	gross

7	Requirements for licensees of category III of design activity: availability of administrative and utility premises on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with workplaces organized in accordance with working conditions	gross
8	Requirements for licensees of category III of design activity: availability of software that enables calculations, drawing up and designing of graphic and other materials necessary for the declared subtype of the licensed type of activity	gross
9	Requirements for licensees of category II of design activity: presence on the staff of at least one certified engineering technician who holds the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and works on a permanent basis for the applicant in one of the following specializations: chief engineer of the project , chief project architect, chief designer, leading design engineer. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the relevant authorization document for the profile of work included in the requested subtype of the licensed type of activity, issued in the manner prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply	gross
10	Requirements for licensees of category II of design activity: presence of administrative and utility premises on the right of ownership ( economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal	gross

	cadastre), equipped with workplaces organized in accordance with working conditions	
11	Requirements for licensees of category II of design activity: availability of software enabling calculations, compilation and designing of graphic and other materials necessary for the declared subtype of the licensed type of activity	gross
12	Requirements for licensees of category II of design activities: the licensee must have at least five years of work experience, with work experience calculated from the date of obtaining the license or other equivalent permit for foreign persons to carry out design activities (design and survey activities in terms of design), or work experience for at least five years as a category III licensee, and in case of termination of the license, the work experience shall be annulled	gross
13	Requirements for licensees of category II of design activity: presence of at least five completed construction projects of the second technically uncomplicated and (or) third level of responsibility as a general contractor with the presentation of documentary evidence (copies of signed acts of putting the facility into operation) or at least ten facilities of the first and (or) the second level of responsibility, at which the licensee performed work under subcontract agreements, with the provision of documentary evidence (copies of signed certificates of work performed). For foreign persons, an equivalent document shall be taken into account as a presentation of documentary evidence	gross
	Requirements for licensees of the 1st category of design activity: presence on the staff of at least one certified engineering technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of	



14	<p>activity and works on a permanent basis for the applicant in one of the following specializations: chief engineer of the project , chief project architect, chief designer, leading design engineer. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding authorization document for the profile of work included in the requested subtype of the licensed type of activity, issued in the manner prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>	gross
15	<p>Requirements for licensees of the 1st category of design activity: availability of administrative and utility premises on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with workplaces organized in accordance with working conditions</p>	gross
16	<p>Requirements for licensees of the 1st category of design activity: availability of software enabling calculations, drawing up and designing of graphic and other materials necessary for the declared subtype of the licensed type of activity</p>	gross
	<p>Requirements for licensees of the 1st category of design activities: the licensee must have at least ten years of work experience, or at least seven years for a person who carried out design activities (design and survey activities in the part of design) for offshore oil and gas projects on the territory of the Republic of Kazakhstan, or at least five years of experience as a category II licensee. Work experience is calculated from the date of obtaining the license, and in the event of termination of the license, work experience is annulled.</p>	

17	<p>For foreign persons, an equivalent permit for carrying out design activities (design and survey activities in terms of design) shall be taken into account as work experience.</p> <p>For a legal entity, at least one of the founders of which has at least thirty years of international experience in the field of design and has carried out the design of facilities of the first level of responsibility, work experience of at least six years. For a legal entity, whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities and in which the participants (shareholders) - resident legal entities of the Republic of Kazakhstan in total own 40 or more shares of participation in the charter capital (shares) and a participant (shareholder) holding a category I license to engage in project activities, this requirement shall not apply</p>	gross
18	<p>Requirements for licensees of the 1st category of design activity: presence of at least ten completed construction projects of the first and (or) second level of responsibility as a general contractor with the presentation of documentary evidence (copies of signed acts of putting the facility into operation), or presence of at least twenty facilities of the first and ( or) the second level of responsibility, at which the licensee performed work under subcontract agreements, with the provision of documentary evidence (copies of signed certificates of work performed). For foreign persons, an equivalent document shall be taken into account as a presentation of documentary evidence. For a legal entity, whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities and in which the participants (shareholders) - resident legal entities of the Republic</p>	gross

	of Kazakhstan in total own 40 or more shares of participation in the charter capital (shares) and a participant (shareholder) holding a category I license to engage in project activities, this requirement shall not apply	
19	<p>Requirements for licensees of construction and installation works of category III: presence on the staff of at least one certified engineering technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and working on a permanent basis for the applicant in one of the following specializations: main engineer, head of the production and technical department, site manager, work manager, foreman. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding authorization document for the profile of work included in the requested subtype of the licensed type of activity, issued as prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>	gross
20	<p>Requirements for licensees of construction and installation works of category III:</p> <p>availability of a production base on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with:</p> <p>1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the requirements of the labor protection</p>	gross

	<p>and safety system during work, and also does not violate the working conditions of administrative, technical and production workers.</p> <p>2) workplaces organized in accordance with working conditions</p>	
21	<p>Requirements for licensees of construction and installation works of category III: presence of minimum material and technical equipment on the right of ownership (economic management or operational management) and (or) lease, including a minimum set of equipment, instrumentation, machines and mechanisms that are installed in accordance with technical requirements for the performance of construction and installation work, depending on the technical requirements for the declared work of the subtype of the licensed type of activity</p>	gross
22	<p>Requirements for licensees of construction and installation works of category II: presence on the staff of at least one certified engineering technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and working on a permanent basis for the applicant in one of the following specializations: main engineer, head of the production and technical department, site manager, work manager, foreman. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding authorization document for the profile of work included in the requested subtype of the licensed type of activity, issued as prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>	gross
	<p>Requirements for licensees of construction and installation works of category II: availability of a production base on the right of</p>	

23	<p>ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with:</p> <p>1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the requirements of the labor protection and safety system during work, and also does not violate the working conditions of administrative, technical and production workers.</p> <p>2) workplaces organized in accordance with working conditions</p>	gross
24	<p>Requirements for licensees of construction and installation works of category II: presence of minimum material and technical equipment on the right of ownership (economic management or operational management) and (or) lease, including a minimum set of equipment, instrumentation, machines and mechanisms that are installed in accordance with with technical requirements for the performance of construction and installation work, depending on the technical requirements for the declared work of the subtype of the licensed type of activity</p>	gross
25	<p>Requirements for licensees of construction and installation works of category II: the licensee must have at least five years of work experience, with work experience calculated from the date of obtaining the license or other equivalent permit for foreigners to carry out construction and installation works, or work experience of at least five years as a licensee of category III, and in case of termination of the license, work experience shall be annulled</p>	gross

26	<p>Requirements for licensees of construction and installation works of category II: presence of at least five completed construction projects of the second technically uncomplicated and (or) third level of responsibility as a general contractor with the presentation of documentary evidence (copies of signed certificates of commissioning of the facility), or the presence of at least ten facilities of the first and (or) second levels of responsibility, on which the licensee carried out work under subcontract agreements, with the provision of documentary evidence (copies of signed certificates of completion of work). For foreign persons, an equivalent document shall be taken into account as a presentation of documentary evidence</p>	gross
27	<p>Requirements for licensees of construction and installation works of the 1st category: presence on the staff of at least one certified engineering technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and working on a permanent basis for the applicant in one of the following specializations: main engineer, head of the production and technical department, site manager, work manager, foreman. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding permit for the profile of work included in the requested subtype of the licensed type of activity, issued as prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>	gross
	<p>Requirements for licensees of construction and installation works of category I: availability of a production base on the right of</p>	

28	<p>ownership (economic management or operational management), equipped with:</p> <p>1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the requirements of the labor protection and safety system during work.</p> <p>2) work places. A legal entity whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities, where the participation shares of resident legal entities of the Republic of Kazakhstan in the authorized capital (shares) total 40 percent or more are allowed to have production base on a lease basis (economic management or operational management). At the same time, a resident of the Republic of Kazakhstan must have a category I license to engage in construction and installation work</p>	gross
29	<p>Requirements for licensees of construction and installation works of category I: availability of minimum material and technical equipment on the right of ownership (economic management or operational management) and (or) lease, including a minimum set of equipment, instrumentation, machines and mechanisms that are installed in accordance with with technical requirements for the performance of construction and installation work, depending on the technical requirements for the declared work of the subtype of the licensed type of activity</p>	gross
	<p>Requirements for licensees of construction and installation works of category I: a licensee must have at least ten years of experience, or at least seven years for a person who has carried out construction and</p>	

30	<p>installation works for offshore oil and gas projects in the Republic of Kazakhstan, or at least five years of experience as a II category licensee. Work experience is calculated from the date of receipt of the license, and in the event of termination of the license, work experience is annulled. For foreign persons, an equivalent permit for construction and installation work shall be taken into account as work experience. For a legal entity, whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities and in which the participants (shareholders) - resident legal entities of the Republic of Kazakhstan in total own 40 or more shares of participation in the charter capital (shares) and a participant (shareholder) holding a category I license to engage in construction and installation work, this requirement shall not apply</p>	gross
31	<p>Requirements for licensees of construction and installation works of the 1st category: presence of at least ten completed construction projects of the first and (or) second levels of responsibility as a general contractor with the presentation of documentary evidence (copies of signed acts of putting the facility into operation), or at least twenty facilities of the first and (or) the second level of responsibility, at which the licensee carried out work under subcontract agreements, with the provision of documentary evidence (copies of signed certificates of completion of work). For foreign persons, an equivalent document shall be taken into account as a presentation of documentary evidence. For a legal entity, whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities and in which the participants (shareholders) - resident legal entities of the Republic of Kazakhstan in total own</p>	gross



	40 or more shares of participation in the charter capital (shares) and a participant (shareholder) holding a category I license to engage in construction and installation work, this requirement shall not apply	
32	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
33	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
34	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Non-state certification centers for certification of engineering and technical staff involved in the design and construction process		
1	Availability of accreditation certificate	gross
2	Availability of teaching staff responsible for professional development	gross
3	Availability of technical staff responsible for conducting certification	gross
4	Availability of administrative and utility premises on the right of ownership or on other legal grounds, meeting the requirements of premises area of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7.65 m <sup>2</sup> for training and certification, with an area of at least 100 (one hundred) square meters, with testing and training rooms of at least 45 (forty-five) square meters. At the same time, testing and training rooms may be combined	gross
5	Availability of material and technical equipment that meets the requirements of room area at the rate of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7.65 m <sup>2</sup> for training and certification, including at least 10 (ten) places	gross

6	Availability of software for certification of engineering technicians	gross
7	Availability of regulatory-technical and methodological literature	gross
8	Availability of test questions approved by the authorized body, approved internal rules and regulations for training and certification	gross
9	Availability of authorization requirements for the education and work experience of engineering technicians in project activities: chief engineer of the project: Higher education in the field of construction ; At least five years of experience in facility design as a specialist and (or) manager in the relevant field	gross
10	Availability of authorization requirements for the education and work experience of engineering and technical staff in project activities: Chief architect of the project: Higher education in the field of architecture; At least five years of experience in facility design as a specialist and (or) manager in the relevant field	gross
11	Availability of authorization requirements to education and work experience of engineers and technicians on design activities: Chief designer for load-bearing and enclosing structures: hydraulic engineer; construction design; on engineering networks and structures: heat and gas supply and ventilation; water supply and sewerage/water supply and drainage; communications engineer; Electrical Engineer; oil and gas engineer. on transport construction: highways and airfields engineer; bridge and tunnel engineer; railway engineer. on technological equipment: Higher education in the field of construction, in specialty depending	gross

	on the area of work; At least five years of experience in facility design as a specialist and (or) manager in the relevant field	
12	<p>Presence of authorization requirements for education and work experience of engineering and technical workers on design activities:</p> <p>Leading design engineer for architecture: load-bearing and enclosing structures: hydraulic engineer; construction design. on engineering networks and constructions: heat and gas supply and ventilation; water supply and sewerage/water supply and drainage; communications engineer; electrical engineer; oil and gas engineer.</p> <p>on transport construction: highways and airfields engineer; bridge and tunnel engineer; railway engineer.</p> <p>on technological equipment: Higher education, in the field of construction by specialty, depending on the area of work and work experience of at least three years in the design of facilities as a specialist in the relevant field; Secondary education, in the field of construction by specialty, depending on the area of work and work experience of at least five years in the design of facilities in the position of a specialist in the relevant field.</p>	gross
13	<p>Presence of authorization requirements for education and work experience of engineering and technical staff in construction and installation works: Chief Engineer: Higher education, in the field of construction in the specialty, depending on the direction of work; Experience of at least five years in technical supervision and (or) in management positions in construction organizations, including at least one year in the position of</p>	gross

	Chief Engineer or Deputy Chief Engineer.	
14	<p>Presence of authorization requirements for education and work experience of engineering and technical staff in construction and installation works: Head of production and technical department: Higher education, in the field of construction in specialty, depending on the direction of work; Work experience of at least five years in the position of specialist and (or) manager in construction organizations, including at least one year in the position of the head or deputy head of production and technical department</p>	gross
15	<p>Presence of authorization requirements for education and work experience of engineering and technical staff on construction and installation works: Site supervisor: on load-bearing and enclosing structures: hydraulic engineer; construction design. on engineering networks and constructions: heat and gas supply and ventilation; water supply and sewerage/water supply and drainage; communications engineer; electrical engineer; oil and gas engineer. on transportation construction: highways and airfields engineer; bridge and tunnel engineer; railway engineer. on technological equipment: Higher education, in the field of construction in the specialty, depending on the area of work and experience of at least three years in the position of foreman or manufacturer of works of the construction site/site, technical supervision. Or at least five years in other positions at the construction site; Secondary education, in the field of construction in the specialty,</p>	gross

	depending on the direction of work and work experience of at least five years in the position of a foreman or manufacturer of works of the construction site/object, technical supervision. Or at least seven years in other positions at the construction site	
16	<p>Presence of authorization requirements for education and work experience of engineering and technical staff on construction and installation works:</p> <p>Works manager:</p> <p>on bearing and enclosing structures: hydraulic engineer; construction design.</p> <p>on engineering networks and constructions: heat and gas supply and ventilation; water supply and sewerage/water supply and drainage; communications engineer; electrical engineer; oil and gas engineer.</p> <p>on transport construction: highways and airfields engineer; bridge and tunnel engineer; railway engineer.</p> <p>on technological equipment: Higher education, in the field of construction in the specialty, depending on the area of work and experience of at least three years in the position of foreman or manufacturer of works of the construction site/site, technical supervision. Or at least five years in other positions at the construction site;</p> <p>Secondary education in the field of construction, in specialty, depending on the direction of work and work experience of at least five years in the position of a foreman or manufacturer of works of the construction site/object, technical supervision. Or at least seven years in other positions at the construction site</p>	gross
	Presence of authorization requirements for education and work	

17	<p>experience of engineering and technical staff for construction and installation works: Foreman: on load-bearing and enclosing structures: hydraulic engineer; construction design. on engineering networks and constructions: heat and gas supply and ventilation; water supply and sewerage/water supply and drainage; communications engineer; electrical engineer; oil and gas engineer. on transport construction: highways and airfields engineer; bridge and tunnel engineer; railway engineer. on technological equipment: Higher education, in the field of construction in the specialty, depending on the area of work and work experience of at least one year as a foreman or technical supervision . Or at least five years of experience in other construction positions; Secondary, in the field of construction in the specialty, depending on the direction of work and experience of at least three years in the position of a foreman or technical supervision. Or at least five years in other positions at the construction site</p>	gross
18	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
19	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
20	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross
Legal entities carrying out complex non-departmental expert examination of construction projects		
1	Availability of accreditation certificate	gross

2	Availability of at least five experts certified in specializations corresponding to the main sections of the project	gross
3	Availability of information about the production base on the right of ownership or other legal ground	gross
4	Availability of information on material and technical equipment	gross
5	Availability of a quality control system for project expertise	gross
6	Availability of normative- reference and methodological literature	gross
7	Existence of a confirmed complaint or appeal of an individual or legal entity	significant
8	Existence of official reports in mass media on violations in the sphere of architectural, town-planning and construction activities	significant
9	Existence of data on official Internet resources and in the information systems of state bodies on violations in the field of architectural, urban planning and construction activities	gross

Appendix 3  
to Criteria for risk assessment  
and risk management  
in architecture, town planning  
and construction when conducting  
inspection of subjects of architectural,  
town planning and construction activity

**List of subjective criteria  
for determining the risk degree on subjective criteria in the field of architecture, urban planning  
and construction in accordance with Articles 138 and 139 of the Entrepreneur Code  
of the Republic of Kazakhstan**

In respect of subjects (objects) of control and supervision for preventive control with visits

№	Indicator of subjective criteria	Source of information on the indicator of subjective criteria	Specific weight by significance, score (in total should not exceed 100 points), wi	Conditions /values,	
				condition 1/value	condition 2/value
1	2	3	4	5	6
For preventive control with visits					
In respect of the customer (developer)					

1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of Article 144 of the Entrepreneur Code of the Republic of Kazakhstan	Existence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	Number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	Possession by the customer (developer) of the relevant title documents for the land plot (site or route for construction) or the decision of the executive body to provide it	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
4	Availability of the local executive body's decision for reconstruction (analysis of state redevelopment, re-equipment) of residential and non-residential premises in existing buildings	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
5	Availability of approved design and estimate documentation	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
	Availability of a positive conclusion on the expertise of projects,			0	1+



6	including a repeated conclusion on the expertise of projects when making adjustments	analysis of state bodies' official Internet resources	50	0%	50%
7	Possession by the contractor of a state license for the right to carry out licensed architectural, urban planning and construction activities by category	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
8	Ensuring by the customer (owner) of construction of the facility with technical and architectural supervision	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
9	Availability of an appropriate number of experts to carry out technical supervision by accredited legal entities (at least 3 experts with a certificate for technical supervision) at technically and technologically complex facilities of the first and second levels of responsibility	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
	Availability of developed design (design and estimate) documentation for the conservation (re-conservation) of an unfinished facility, the construction of	analysis of state		0	1+
					50%

10	which was suspended	bodies' official Internet resources	50	0%	
11	Availability of design (design and estimate) documentation for dismantling and demolition ( post-utilization) of buildings and structures	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
12	Availability of an approved certificate of acceptance of the constructed facility into operation	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
In respect of design organizations (general designer)					
1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of Article 144 of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	Number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects ( objects) of control and supervision	50	0	1+
				0%	50%
In respect of the contractor (general contractor)					
	The number of unscheduled inspections opened on the			0	1+

1	grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0%	50%
2	Number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	Availability of approved (re-approved) design (design and estimate) documentation	(analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
Regarding architectural supervision					
1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of Article 144 of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	Number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
Regarding legal entities and individuals exercising technical supervision					
	The number of unscheduled			0	1+

1	inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	Providing on a monthly basis to the state architectural and construction control and supervision body a report on the condition and progress of construction of the facility	results of monitoring of reports and information provided by the subject of control and supervision	25	0	1+
				0%	50%
4	Availability of relevant title documents for the land plot	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
5	Availability of approved design (design and estimate) documentation	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
6	Availability of a positive conclusion on the expertise of projects	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
	Control over the presence of a notification on the commencement			0	1+

7	of construction and installation works submitted to the bodies exercising state architectural and construction control and supervision	analysis of state bodies' official Internet resources	25	0%	25%
8	Control over availability of a contractor's license for the right to carry out the relevant types of licensed architectural, town-planning and construction activities	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
In respect of organizations conducting expert works on technical survey of reliability and stability of buildings and structures at technically and technologically complex facilities of the first and second levels of responsibility					
1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
In respect of legal entities conducting comprehensive non-departmental expert examination of construction projects of facilities					
	The number of unscheduled inspections opened on the grounds specified in subparagraphs	presence and number of		0	1+

1	3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur Code of the Republic of Kazakhstan	confirmed complaints and appeals	50	0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%

Appendix 4  
to Criteria for risk assessment  
and risk management  
in architecture, town planning  
and construction when conducting  
inspection of subjects of architectural,  
town planning and construction activity

**List of subjective criteria for determining the degree of risk on subjective criteria in the field of architecture,  
urban planning and construction in accordance with Articles 138 and 139 of the Entrepreneur Code  
of the Republic of Kazakhstan**

In respect of subjects (objects) of control and supervision for compliance checks

№	Indicator of subjective criteria	Source of information on the indicator of subjective criteria	Specific weight by importance, point (the total should not exceed 100 points), wi	Conditions /values, xi	
				condition 1/value	condition 2/value
1	2	3	4	5	6
For compliance check					
In respect of the Customer (developer)					
	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur	presence and number of		0	1+
					50%

1	Code of the Republic of Kazakhstan	confirmed complaints and appeals	50	0%	
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	presence of a notification on the commencement of construction and installation works	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
In respect of design organizations (general designer)					
1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
	Presence of the relevant license for the right to			0	1+

	carry out corresponding licensed architectural, urban planning and construction activities	analysis of state bodies' official Internet resources	50	0%	50%
In respect of the contractor (general contractor)					
1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	Presence of the relevant license for the right to carry out corresponding licensed architectural, urban planning and construction activities	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
Regarding architectural supervision					
	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur Code of the	presence and number of confirmed		0	1+
					50%



1	Republic of Kazakhstan	complaints and appeals	50	0%	
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	Availability of an appropriate certificate for the right to provide engineering services in the field of architectural, urban planning and construction activities	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%

In respect of legal entities and individuals conducting technical supervision

1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of Article 144 of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
				0	1+

3	Availability of an appropriate accreditation certificate	analysis of state bodies' official Internet resources	50	0%	50%
4	Availability of the relevant certificate for the right to provide engineering services in architectural, town-planning and construction activities for technical supervision of technically and technologically complex objects of the second level of responsibility	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
5	Presence on a permanent basis of at least one certified expert with specialization in: load-bearing and enclosing structures for technical supervision of technically and technologically complex facilities of the first level of responsibility.	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
6	Presence on a permanent basis of at least one certified expert with specialization in: engineering networks for technical supervision at technically and technologically complex facilities of the first level of responsibility	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%

7	Presence on a permanent basis of at least one certified expert with specialization in: technological equipment for technical supervision at technically and technologically complex facilities of the first level of responsibility	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
8	Availability of administrative and utility premises on the right of ownership or lease for a period of more than one year, meeting the requirements of premises area of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7.65 m <sup>2</sup> for technical supervision at technically and technologically complex facilities of the first and second level of responsibility	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
	Presence on a permanent basis of at least one certified expert with specialization in: load-bearing and enclosing structures for technical supervision of technically and technologically complex facilities			0	1+
					50%

9	of the second level of responsibility.	analysis of state bodies' official Internet resources	50	0%	
10	Presence on a permanent basis of at least one certified expert with specialization in: engineering networks for technical supervision at technically and technologically complex facilities of the second level of responsibility	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
11	Presence on a permanent basis of at least one certified expert with specialization in: technological equipment for technical supervision at technically and technologically complex facilities of the second level of responsibility	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
	Availability of a certificate for the right to provide engineering services in architectural, urban planning and construction activities for technical			0	1+
					50%

12	supervision at technically and technologically complex facilities of the second level of responsibility	analysis of state bodies' official Internet resources	50	0%	
In respect of organizations carrying out expert works on technical inspection of reliability and stability of buildings and structures at technically and technologically complex facilities of the first and second levels of responsibility					
1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of Article 144 of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	Availability of an appropriate accreditation certificate	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
	Presence on a permanent basis of at least three certified experts, performing technical survey of reliability and stability of			0	1+
					50%

4	buildings and structures	analysis of state bodies' official Internet resources	50	0%	
5	Availability on a permanent basis of at least one certified expert carrying out expert examination of projects in the specialization of structural part	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
6	Availability on a permanent basis of at least one geodetic engineer (with at least three years of experience)	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
7	Availability of administrative and amenity premises on the right of ownership or lease for a period of more than one year, meeting the requirements of premises area of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7,65 m <sup>2</sup>	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
In respect of organizations, providing engineering services for the project management of construction of facilities					
	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of Ar	presence and number of confirmed complaints and appeals		0	1+
					50%

1	article 144 of the Entrepreneur Code of the Republic of Kazakhstan		50	0%	
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	Availability of an appropriate accreditation certificate	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
4	Availability of at least three certified experts for technical supervision of the first level of responsibility objects in the following specializations: in terms of load-bearing and enclosing structures (at least one expert); in terms of engineering networks (at least one expert); in terms of technological equipment (at least one expert)	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
	Availability of at least one certified engineering technician in the specialization "chief project	analysis of state		0	1+
					50%

5	engineer" and/or "chief engineer"	bodies’ official Internet resources	50	0%	
6	Availability of at least one qualified cost estimate engineer	analysis of state bodies’ official Internet resources	50	0	1+
				0%	50%
Regarding licensees					
1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects ( objects) of control and supervision	50	0	1+
				0%	50%
	Requirements for engaging in survey activities: presence on the staff of at least one engineering technician who has an appropriate higher professional education in the field of survey activities and work experience ( practice ) of at least three years in the profile of work included in the requested			0	1+



3	subtype of the licensed type of activity, or corresponding secondary vocational or technical and professional education in the field of survey activity, work experience (practice) of at least five years in the profile of work included in the requested subtype of the licensed type of activity	analysis of state bodies' official Internet resources	50	0%	50%
	Requirements for engaging in survey activities: availability of a production base on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with: 1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base			0	1+
					50%

4	<p>can be combined, if this does not contradict the requirements of the labor protection and occupational safety system during work;</p> <p>2) workplaces organized in accordance with working conditions</p>	analysis of state bodies' official Internet resources	50	0%	
	Requirements for licensees of category III of design activity: presence on the staff of at least one certified engineering technician who holds the appropriate certificate for the profile of work included in the requested subtype of the licensed			0	1+

5	<p>type of activity and works on a permanent basis for the applicant in one of the following specializations: chief engineer of the project , chief project architect, chief designer, leading design engineer. If there is at least one engineer working on a permanent basis for foreigners or foreign legal entities with a relevant permit document for the profile of works included in the requested subspecies of the licensed activity, issued as prescribed by the legislation of the state party to the Organization for Economic Cooperation and Development, these requirements shall not apply</p>	<p>analysis of state bodies' official Internet resources</p>	50	0%	50%
	<p>Requirements for licensees of category III of design activity: availability of administrative and utility premises on the right of ownership ( economic management or operational management) and (or) lease for a period of at least</p>			0	1+
					50%

6	one year (with state registration in the legal cadastre), equipped with workplaces organized in accordance with working conditions	analysis of state bodies' official Internet resources	50	0%	
7	Requirements for licensees of category III of design activity: availability of software enabling calculations, drawing up and designing of graphic and other materials necessary for the declared subtype of the licensed type of activity	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
	Requirements for licensees of category II of design activity: presence on the staff of at least one certified engineering technician who holds the appropriate certificate for the profile of work included in the requested subtype of the licensed			0	1+

8	<p>type of activity and works on a permanent basis for the applicant in one of the following specializations: chief engineer of the project , chief project architect, chief designer, leading design engineer. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding authorization document for the profile of work included in the requested subtype of the licensed type of activity, issued as prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>	analysis of state bodies' official Internet resources	50	0%	50%
	Requirements for licensees of category II of design activity: availability of administrative and utility premises on the right of ownership ( economic management or operational			0	1+

9	management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with workplaces organized in accordance with working conditions	analysis of state bodies' official Internet resources	50	0%	50%
	Requirements for licensees of the 1st category of design activity: presence on the staff of at least one certified engineering technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and works on a permanent basis for the applicant in one of the following positions: chief engineer of the project , chief project architect, chief designer, leading design engineer. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding authorization document for the			0	1+
					50%

10	<p>profile of work included in the requested subtype of the licensed type of activity, issued in the manner prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>	<p>analysis of state bodies' official Internet resources</p>	50	0%	
	Requirements for licensees of construction and installation works of category II: presence on the staff of at least one certified			0	1+

11	<p>engineering technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and working on a permanent basis for the applicant in one of the following specializations: main engineer, head of the production and technical department, site manager, work manager, foreman . In the event that there is at least one engineering and technical employee working on a permanent basis for foreigners or foreign legal entities with the corresponding authorization document for the profile of work included in the requested subtype of the licensed type of activity, issued as prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>	<p>analysis of state bodies' official Internet resources</p>	50	0%	50%
	Requirements for licensees of			0	1+



12	<p>construction and installation works of category II: availability of a production base on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with:</p> <p>1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the requirements of the labor protection and safety system during work, and also does not violate the working conditions of administrative, technical and production workers.</p> <p>2) workplaces organized in</p>	<p>analysis of state bodies' official Internet resources</p>	50		50%
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	accordance with working conditions			0%	
	Requirements for licensees of construction and installation works of the 1st category: presence on the staff of at least one certified engineering technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and working on a permanent basis for the applicant in one of the following specializations: main engineer, head of the production and technical department, site manager, work manager, foreman . In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding authorization document for the profile of work included in the requested subtype of the licensed type of activity, issued as prescribed by the			0	1+
					50%

13	<p>legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>	<p>analysis of state bodies' official Internet resources</p>	50	0%	
	<p>Requirements for licensees of construction and installation works of category I: availability of production base on the right of ownership (economic management or operational management), equipped with:</p>			0	1+

14	<p>1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the requirements of the labor protection and safety system during work.</p> <p>2) work places. A legal entity whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities, where the participation shares of resident legal entities of the Republic of Kazakhstan in the authorized capital (shares) total 40 percent or more are allowed to have production base on a lease basis (economic management or operational management). At the same time, a resident of the Republic of</p>	analysis of state bodies' official Internet resources	50		50%
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	Kazakhstan must have a category 1 license to engage in construction and installation work			0%	
Regarding non-state certification centers for certification of engineering and technical staff involved in the design and construction process					
1	The number of unscheduled inspections opened on the grounds specified in subparagraphs 3), 4) and 5) of paragraph 5 of <u>Article 144</u> of the Entrepreneur Code of the Republic of Kazakhstan	presence and number of confirmed complaints and appeals	50	0	1+
				0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	Availability of an appropriate accreditation certificate	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
4	Availability of teaching staff responsible for professional development	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
5	Availability of technical staff responsible for conducting certification	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
Regarding legal entities carrying out complex non-departmental expert examination of construction projects					
	The number of unscheduled inspections opened on the grounds specified in subparagraphs	presence and number of		0	1+

1	3), 4) and 5) of paragraph 5 of Article 144 of the Entrepreneur Code of the Republic of Kazakhstan	confirmed complaints and appeals	50	0%	50%
2	The number of unfulfilled requirements in the injunction on gross violations	results of previous inspections and preventive control with visits to subjects (objects) of control and supervision	50	0	1+
				0%	50%
3	Availability of accreditation certificate	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%
4	Availability of at least five experts certified in specializations corresponding to the main sections of the project	analysis of state bodies' official Internet resources	50	0	1+
				0%	50%

Appendix 2  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

## Checklist

**in the field of architecture, urban planning and construction in accordance with Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

**Footnote. Appendix 2 – as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).**

In respect of the Customer (developer) the name of the homogeneous group of subjects (objects) of control

State authority that assigned the inspection/preventive control

with a visit to the subject (object) of control and supervision \_\_\_\_\_

Act on assigning inspection/preventive control with a visit to the  
subject (object) of control and supervision \_\_\_\_\_

№, date

Name of the subject (object) of control and supervision \_\_\_\_\_

(Individual identification number), business identification  
number of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Possession by the customer (developer) of the relevant title documents for the land plot (site or route for construction) or the decision of the executive body to provide it		
2	Availability of a decision of the local executive body for reconstruction (redevelopment, re-equipment) of residential and non-residential premises in existing buildings		
3	Use of the land plot for development (including laying of communications, engineering preparation of the territory, providing amenities, landscaping and other types of site arrangement) in accordance with the approved design documentation and compliance with the intended purpose or easement, zoning of the territory, red lines and development control lines		
	Compliance of completed (ongoing) construction and installation works, used building materials (		

4	products, structures) and equipment with approved design solutions and state (interstate) standards, including ensuring the strength, stability, reliability of load-bearing and enclosing structures and operational qualities of buildings (structures)		
5	Permission of the relevant organizations to carry out works in the area of overhead power lines and communication lines, in the railroad right-of-way, in the areas of mining operations, located on the construction site		
6	Availability of written consent and presence of a representative of the relevant organization operating communication lines and structures		
7	Failure to take measures to tidy up excavation sites, and construction sites after completion of construction and repairs, as well as cluttering of courtyards, streets and squares with construction materials		
8	Availability of approved design and estimate documentation		
9	Availability of a positive conclusion of the project expertise, including a repeated conclusion of the project expertise in case of adjustments		
10	Presence of a contract concluded between the customer (investor of the project or program) and the contractor (general contractor) selected by it		
11	Availability of a state license for the contractor to carry out licensed architectural, urban		



	planning and construction activities by category		
12	Availability of an agreement with accredited legal entities providing engineering services on technical supervision in case the facility is classified as a technically and technologically complex facility of the first or second level of responsibility.		
13	Presence of an agreement with an individual who has a certificate of a technical supervision expert carrying out activities at technically uncomplicated facilities of the second and third levels of responsibility		
14	Availability of a contract for designer supervision with the person who developed the project ( design and estimate) documentation (project developer or certified expert)		
15	Availability of an agreement with an accredited organization for the provision of engineering services for project management		
16	Provision by the customer ( owner) of the facility construction with support of technical and designer supervision		
17	Availability of an appropriate number of experts to carry out technical supervision performed by accredited legal entities (at least 3 experts with a certificate to perform technical supervision) at technically and technologically		

	complex facilities of the first and second levels of responsibility		
18	Availability of developed design (design and estimate ) documentation for conservation ( re-conservation) of an unfinished facility, the construction of which was suspended		
19	Availability of design ( design and estimate) documentation for dismantling and demolition (postutilization) of buildings and structures		
20	Availability of an agreement with an accredited legal entity that performs technical inspection of the reliability and stability of buildings and structures in the event that the facility is classified as a technically and technologically complex facility of the first or second level of responsibility		
21	Availability of an agreement with an individual who has an expert certificate for technical inspection of reliability and stability of buildings and structures, and individually carries out activities at facilities of the third level of responsibility		
22	Ensuring provision of reliable source data, archival materials presented to the expert ( experts) for technical inspection		
23	Enforcement of technical survey findings and instructions		
	Ensuring suspension of works when they are carried out in deviation		

24	from the design (design and estimate) documentation and regulatory requirements		
25	Availability of approval and supporting documents in case of deviation from the approved design and estimate documentation		
26	Compliance with the requirement to ensure accessibility of facilities for disabled persons and other low-mobility population groups		
27	Availability of a written notice from the contractor (general contractor) on the readiness of the facility		
28	Availability of a declaration of conformity		
29	Availability of a conclusion on the quality of construction and installation works		
30	Availability of a conclusion on compliance of the completed works with the approved design		
31	Availability of an approved act of acceptance of the constructed facility into operation		
32	Ensuring that the contractor takes measures to eliminate identified defects within the guaranteed period		
33	Presence in the contract between the customer and the contractor (general contractor) for construction (at least two years from the date of acceptance of the facility into operation) of an appropriate warranty period established depending on the normative service life of the constructed facility (in accordance with the		

	durability class of the structure as a whole or individual types of construction and installation works performed).		
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Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 3  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

**Checklist in the field of architecture, urban planning and construction in accordance with Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

Footnote. Appendix 3 – as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

In respect of design organizations (general designer)

name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection / preventive control

with a visit to the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
Act on assigning inspection/preventive control with a visit to the subject (object)  
of control and supervision \_\_\_\_\_

\_\_\_\_\_  
№, date

Name of the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
(Individual identification number ), business identification

number of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Designing of settlements, formation of residential areas, improvement of newly developed and reconstructed territories and settlements without providing access to residential, public and industrial buildings, structures and premises for low-mobility population groups		
2	Designing of parking lots located on the territory of residential and public buildings without spaces for personal vehicles of low-mobility population groups		
3	Designing of underground space for parking lots, garages and engineering equipment structures for residential and public buildings without taking into account the engineering and geological conditions of the construction site		
4	Development of the project of construction and reconstruction (remodeling, re-equipment) of premises (separate parts) of existing buildings and structures, including those associated with changes in load-bearing and enclosing structures, engineering systems and equipment, without taking into account the requirements of the source materials (architectural and planning		

	assignment, vertical planning marks, copy from the detailed planning project, standard cross-sectional profiles of roads and streets, technical specifications, schemes of routes of external engineering networks, questionnaire and topographic survey).		
5	Development of the project with violations directly affecting the strength, stability and reliability of the facility		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 4  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

### **Checklist in the field of architecture, urban planning and construction in accordance with Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

**Footnote. Appendix 4 – as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).**

In respect of the contractor (general contractor) name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection / preventive control

with a visit to the subject (object) of control and supervision \_\_\_\_\_

Act on assigning inspection/preventive control with a visit to  
the subject (object) of control and supervision \_\_\_\_\_

№, date

Name of the subject (object) of control and supervision \_\_\_\_\_

(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Availability of approved ( re-approved) design ( design and estimate) documentation		
2	Compliance of the contractor (general contractor) with the obligations to carry out all types and forms of own production control of construction quality ( incoming, operational, acceptance, laboratory, geodetic)		
3	Compliance of the performed (ongoing) construction and installation works, applied construction materials ( products, structures) and equipment with the approved design solutions and state (interstate) standards, including those related to ensuring strength , stability, reliability of bearing and enclosing structures and operational qualities of buildings ( structures)		
4	Ensuring proper and timely maintenance of as-built technical documentation		

5	Availability of a document on establishment of land plot boundaries		
6	Availability of the act of siting of the building and structure		
7	Availability of the act of verification of geodetic layout of the main axes of the building and structure		
8	Availability of the act of transferring the bench mark		
9	Availability of a valid certificate in the course "Earthquake resistant construction" (in case of construction in seismic areas)		
10	Availability of general log of works		
11	Availability of a log of pile driving, pile inspection		
12	Availability of a log of works on installation of building structures		
13	Availability of a log of welding works		
14	Availability of a log of anticorrosion protection of welded joints		
15	Availability of a log of grouting of assembly joints and assemblies		
16	Availability of a log of performing installation connections on tension-controlled bolts		
17	Existence of architectural supervision log		
18	Availability of technical supervision log		
19	Availability of test reports (protocols) of materials, products, structures, engineering systems and equipment.		
	Availability of quality documents (passports and		



20	certificates) of materials, products, structures and equipment		
21	Existence of as-built survey maps		
22	Ensuring fulfillment of instructions entered in the log of architectural supervision and technical supervision		
23	Fulfillment of the injunctions of the state architectural and construction control and supervision bodies		
24	Availability of approvals in case of deviation from the approved design documentation		
25	Availability of an expert examination report of design and estimate documentation taking into account the changes made		
26	Failure to take measures to tidy up excavation sites and construction sites after construction and repair, as well as cluttering of yards, streets and squares with construction materials		
27	Availability of written notification to the customer about the complete readiness of the facility for acceptance into operation		
28	Availability of a declaration of conformity of the constructed facility		
29	Elimination of identified defects during the guaranteed period		

Executive (s)

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position, signature

---

surname, first name, patronymic (in existence)

Head of the subject of control and supervision

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position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 5  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

**Checklist in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

**Footnote. Appendix 5 – as amended by the joint order of the Minister of Industry and  
Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the  
Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (**  
**effective from 01.01.2023).**

In respect of the persons exercising architectural supervision  
name of the homogeneous group of subjects (objects) of control  
State body that assigned the inspection / preventive control  
with a visit to the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
Act on assigning inspection/preventive control with a visit to  
the subject (object) of control and supervision \_\_\_\_\_  
\_\_\_\_\_, №, date

\_\_\_\_\_  
Name of the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_  
Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Presence of an agreement concluded between the customer and the author ( project developer) or a certified expert authorized to conduct architectural supervision		

2	Availability of a signed hidden works acceptance certificate and intermediate acceptance of critical structures		
3	Provision of information to the customer and to the department of state architectural and construction control and supervision authorities on the contractor's non-fulfillment or improper fulfillment of the designer's supervision instructions		
4	Compliance of completed (ongoing) construction and installation works, used building materials (products, structures) and equipment with approved design solutions and state (interstate) standards, including ensuring the strength, stability, reliability of bearing and enclosing structures and operational qualities of buildings (structures)		
5	Provision in writing to the customer, contractor and the state architectural and construction inspection, within five calendar days from the moment of detection of comments, of information on identified inconsistencies in the work performed, by the author and (or) project developer or expert carrying out architectural supervision, with a mark in the log		
6	Availability of architectural supervision log		
7	Availability of the conclusion on compliance of the performed works with the design		

Executive (s)

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position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 6  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

### Checklist

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

**Footnote. Appendix 6 – as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).**

In respect of legal entities and individuals conducting technical supervision

name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection / preventive control

with a visit to the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
Act on assigning inspection/preventive control with a visit to

the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_, №, date

\_\_\_\_\_  
Name of the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
(Individual identification number ), business identification number

of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4

1	Availability of an agreement concluded between the customer and an expert, or an accredited organization providing engineering services that is authorized to conduct technical supervision		
2	Availability of appropriate title documents for the land plot		
3	Availability of approved design (design and estimate ) documentation		
4	Availability of a positive conclusion of project expertise		
5	Control over the availability of a notice of the start of construction and installation work submitted to the bodies exercising state architectural and construction control and supervision		
6	Control over the availability of a contractor's license to carry out the relevant types of licensed architectural, town-planning and construction activities		
7	Compliance of completed ( ongoing) construction and installation works, used building materials ( products, structures) and equipment with approved design solutions and state ( interstate) standards, including ensuring the strength, stability, reliability of bearing and enclosing structures and operational qualities of buildings (structures)		
8	Availability and maintaining of the technical supervision log		
	Implementation by the contractor (general		

9	contractor) of all types and forms of its own production control and supervision of construction quality (incoming, operational, acceptance, laboratory, geodetic)		
10	Existence of a written instruction on obligatory elimination by the contractor (general contractor) of violations of state (interstate) standards, deviations from design solutions stipulated by the approved project, and (or) requirements of organizational and technological documents committed by it during construction, with the right to suspend construction and installation works to fulfill the instructions within the established time		
11	Provision of information to the customer and to the department of state architectural and construction control and supervision authorities about the contractor's non-fulfillment or improper fulfillment of technical supervision instructions		
12	Availability of a conclusion on the quality of construction and installation works		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 7  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

**Checklist**

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

Footnote. Appendix 7 – as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

In respect of organizations carrying out expert work on technical  
examination of reliability and stability of buildings and structures on technical  
and technologically complex facilities of the first and second levels of responsibility  
name of the homogeneous group of subjects (objects) of control  
State body that assigned the inspection / preventive control  
with a visit to the subject (object) of control and supervision \_\_\_\_\_

Act on assigning inspection/preventive control with a visit to  
the subject (object) of control and supervision \_\_\_\_\_

№, date

Name of the subject (object) of control and supervision \_\_\_\_\_

(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Presence of signatures of all certified experts who conducted the technical inspection, as well as personal stamps of experts and approval by the head of the organization of the expert report on the technical survey of		

	reliability and stability of buildings and structures, issued by the organization.		
2	Presence of signature and personal stamp on the expert report on technical survey of reliability and stability of buildings and structures performed by an expert		
3	Issue of a conclusion on technical inspection of reliability and stability of buildings and structures, performed in violation of the requirements of the approved construction standards and containing inaccurate data, which may entail deterioration of operational qualities, reduction of strength, stability of buildings, structures, their parts or separate structural elements		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 8  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

## Checklist

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**



Footnote. Appendix 8 – as amended by the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

In respect of legal entities conducting complex non-departmental examination of construction projects

name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection / preventive control

with a visit to the subject (object) of control and supervision \_\_\_\_\_

Act on assigning inspection/preventive control with a visit to the subject (object) of control and supervision \_\_\_\_\_

№, date

Name of the subject (object) of control and supervision \_\_\_\_\_

(Individual identification number ), business identification number of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Issuance of a positive expert opinion (expert assessment) on design ( design and estimate) documentation that does not ensure stability, reliability and strength of the facilities currently under construction or constructed		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 12  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

**Checklist**

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

Footnote. The Order has been supplemented with Appendix 12 pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

In respect of the Customer(developer)

name of the homogeneous group

of subjects (objects) of control

State body that assigned the inspection \_\_\_\_\_

Act on assigning inspection \_\_\_\_\_  
\_\_\_\_\_, №, date

Name of the subject (object) of control and supervision \_\_\_\_\_

(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Presence of notification on the commencement of construction and installation works		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 13  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

**Checklist in the field of architecture, urban planning and construction in accordance  
with Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

Footnote. The Order has been supplemented with Appendix 13 pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

In respect of design organizations (general designer)

name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection \_\_\_\_\_

\_\_\_\_\_  
Act on assigning inspection \_\_\_\_\_

\_\_\_\_\_, №, date

\_\_\_\_\_  
Name of the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
(Individual identification number ), business identification number

of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Availability of an appropriate license for the right to carry out relevant types of licensed architectural, urban planning and construction activities		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 14  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

### Checklist

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

Footnote. The Order has been supplemented with Appendix 14 pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

In respect of contractor (general contractor)

name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection \_\_\_\_\_

\_\_\_\_\_  
Act on assigning inspection \_\_\_\_\_ №, date

\_\_\_\_\_  
Name of the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance requirements with	Non-compliance with requirements

1	2	3	4
1	Availability of an appropriate license for the right to carry out relevant types of licensed architectural, urban planning and construction activities		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 15  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

## Checklist

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

**Footnote. The Order has been supplemented with Appendix 15 –pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).**

In respect of persons exercising architectural supervision

name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection \_\_\_\_\_

\_\_\_\_\_  
Act on assigning inspection \_\_\_\_\_

\_\_\_\_\_, №, date

\_\_\_\_\_  
Name of the subject (object) of control and supervision \_\_\_\_\_

(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_  
Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Availability of an appropriate certificate for the right to provide engineering services in the field of architectural, urban planning and construction activities		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 16  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

### Checklist

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

**Footnote. The Order has been supplemented with Appendix 16 –pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).**

In respect of persons carrying out technical supervision  
name of the homogeneous group of subjects (objects) of control  
State body that assigned the inspection \_\_\_\_\_

Act on assigning inspection \_\_\_\_\_

\_\_\_\_\_, №, date

Name of the subject (object) of control and supervision \_\_\_\_\_

(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Availability of an appropriate accreditation certificate		
2	Availability of the relevant certificate for the right to provide engineering services in architectural, town-planning and construction activities for technical supervision of technically and technologically complex objects of the second level of responsibility		
3	Presence on a permanent basis of at least one certified expert with specialization in: load-bearing and enclosing structures for technical supervision of technically and technologically complex facilities of the first level of responsibility.		
4	Presence on a permanent basis of at least one certified expert with specialization in: engineering networks for technical supervision at technically and technologically complex facilities of the first level of responsibility		
	Presence on a permanent basis of at least one certified expert with specialization in:		

5	technological equipment for technical supervision at technically and technologically complex facilities of the first level of responsibility		
6	Availability of an accredited laboratory for technical supervision of technically and technologically complex facilities of the first and second level of responsibility owned or engaged (on the basis of a contract).		
7	Availability of administrative and utility premises on the right of ownership or lease for a period of more than one year, meeting the requirements of premises area of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7.65 m <sup>2</sup> for technical supervision at technically and technologically complex facilities first and second level of responsibility		
8	Availability of material and technical equipment, including measuring and control equipment required to fulfill the assigned duties and functions for technical supervision of technically and technologically complex facilities of the first and second level of responsibility		
9	Availability of regulatory-technical and methodological literature necessary to fulfill the assigned duties and functions for technical supervision at technically and technologically		



	complex facilities of the first and second levels of responsibility		
10	Presence on a permanent basis of at least one certified expert with specialization in: load-bearing and enclosing structures for technical supervision at technically and technologically complex facilities of the second level of responsibility		
11	Presence on a permanent basis of at least one certified expert with specialization in: engineering networks for technical supervision at technically and technologically complex facilities of the second level of responsibility		
12	Presence on a permanent basis of at least one certified expert with specialization in: technological equipment for technical supervision at technically and technologically complex facilities of the second level of responsibility		
13	Availability of a certificate for the right to provide engineering services in architectural, urban planning and construction activities for technical supervision at technically and technologically complex facilities of the second level of responsibility		

Executive (s)

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position, signature

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surname, first name, patronymic (in existence)

Head of the subject of control and supervision

position, signature

surname, first name, patronymic (in existence)

Appendix 17  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

### Checklist

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

**Footnote. The Order has been supplemented with Appendix 17 –pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).**

In respect of organizations carrying out expert works on technical inspection of reliability and stability of buildings and structures at technically and technologically complex facilities of the first and second levels of responsibility name of the homogeneous group of subjects (objects) of control  
State body that assigned the inspection \_\_\_\_\_

Act on assigning inspection \_\_\_\_\_ №, date \_\_\_\_\_

Name of the subject (object) of control and supervision \_\_\_\_\_

(Individual identification number ), business identification number of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance requirements with	Non-compliance with requirements
1	2	3	4
1	Availability of an appropriate accreditation certificate		

2	Availability on a permanent basis of at least three certified experts, performing technical survey of reliability and stability of buildings and structures		
3	Availability on a permanent basis of at least one certified expert carrying out expert examination of projects in the specialization of structural part		
4	Availability on a permanent basis of at least one geodetic engineer (with at least three years of experience)		
5	Availability of an accredited laboratory owned or engaged (on the basis of a contract)		
6	Availability of administrative and amenity premises on the right of ownership or lease for a period of more than one year, meeting the requirements of premises area of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7,65 m <sup>2</sup>		
7	Availability of material and technical equipment, including measuring and control equipment necessary to perform assigned duties and functions, as well as computers with licensed software necessary to perform calculations, compile and design graphical materials		
8	Availability of regulatory-technical and methodological literature necessary to fulfill assigned duties and functions		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 18  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

### Checklist

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

**Footnote. The Order has been supplemented with Appendix 18 –pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).**

In respect of organizations providing engineering services for the project management of construction of facilities

name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection

\_\_\_\_\_  
\_\_\_\_\_  
Act on assigning inspection \_\_\_\_\_ №,date

\_\_\_\_\_  
Name of the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Availability of an appropriate accreditation certificate		
2	Availability of at least three certified experts for technical supervision of the facilities of the first level of responsibility in the following specializations: load-bearing and enclosing structures (at least one expert); engineering networks (at least one expert); technological equipment (at least one expert)		
3	Availability of at least one certified engineering technician in the specialization "chief project engineer" and/or "chief engineer"		
4	Availability of at least one qualified cost estimate engineer		
5	Availability of administrative and amenity premises on the right of ownership or on other legal grounds		
6	Availability of material and technical equipment, in particular, workstations with installed software to perform calculations, compilation and design of graphic and other materials		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 19  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

**Checklist**  
**in the field of architecture, urban planning and construction in accordance with**  
**Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

Footnote. The Order has been supplemented with Appendix 19 –pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

In respect of licensees  
name of the homogeneous group of subjects (objects) of control  
State body that assigned the inspection

\_\_\_\_\_  
\_\_\_\_\_  
Act on assigning inspection \_\_\_\_\_ №,date

\_\_\_\_\_  
Name of the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_  
Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
	Requirements for engaging in survey activities: presence on the staff of at least one engineering technician who has an appropriate higher professional education in the field of survey activities and work experience (practice ) of at		

1	<p>least three years in the profile of work included in the requested subtype of the licensed type of activity , or corresponding secondary vocational or technical and vocational education in the field of survey activity, work experience (practice) of at least five years in the profile of work included in the requested subtype of the licensed type of activity</p>		
2	<p>Requirements for engaging in survey activities: availability of a production base on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with:</p> <p>1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the requirements of the labor protection and occupational safety system during works ;</p> <p>2) workplaces organized in accordance with working conditions</p>		
	<p>Requirements for engaging in survey activities: presence of minimum material and technical equipment on the right of ownership (economic management or operational management) and (or) lease:</p>		

3	<p>1) instrumentation, mechanisms, devices that are used depending on the technical requirements necessary to fulfill declared works of the subtypes of licensed type of activity;</p> <p>2) a personal computer with installed software necessary to perform calculations, compile and design graphic and other materials when carrying out engineering and geodetic works</p>		
4	Requirements for engaging in survey activities: availability of instructions on the quality control system approved by the applicant (licensee), regulating proper performance of work and quality assurance (standard control, quality control of works)		
5	Requirements for engaging in survey activities: availability of rules and instructions for the occupational health and safety system approved by the applicant (licensee) with attachment of documents confirming the training of the responsible engineering and technical worker		
	Requirements for licensees of the III category of design activity: presence on staff of at least one certified engineering technician who holds the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and works on a permanent basis for the applicant in one of the following specializations: chief engineer of the		



6	<p>project , chief project architect, chief designer, leading design engineer. If there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with a relevant permit document for the profile of works included in the requested subtypes of the licensed activity, issued as prescribed by the legislation of the state party to the Organization for Economic Cooperation and Development, these requirements shall not apply</p>		
7	<p>Requirements for licensees of category III of design activity: availability of administrative and utility premises on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with workplaces organized in accordance with working conditions</p>		
8	<p>Requirements for licensees of the III category of design activity: availability of software that enables calculations, drawing up and designing of graphic and other materials necessary for the declared subtype of the licensed type of activity</p>		
	<p>Requirements for licensees of category II of design activity: presence on the staff of at least one certified engineering technician who holds the appropriate certificate for the profile of work</p>		

9	<p>included in the requested subtype of the licensed type of activity and works on a permanent basis for the applicant in one of the following specializations: chief engineer of the project , chief project architect, chief designer, leading design engineer. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding permit for the profile of work included in the requested subtype of the licensed type of activity, issued in the manner prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>		
10	<p>Requirements for licensees of the II category of design activity: presence of administrative and utility premises on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with workplaces organized in accordance with working conditions</p>		
11	<p>Requirements for licensees of the II category of design activity: availability of software that allows performing calculations, compilation and designing graphic and other materials necessary for the declared subtype of the licensed type of activity</p>		

12	Requirements for licensees of II category of design activities: the licensee must have at least five years of work experience, with work experience calculated from the date of obtaining a license or other equivalent permit for foreign persons to carry out design activities (design and survey activities in terms of design), or work experience for at least five years as a category III licensee, and in case of termination of the license, the work experience shall be annulled		
13	Requirements for licensees of category II of design activity: presence of at least five completed construction projects of the second technically uncomplicated level and (or) third level of responsibility as a general contractor with the presentation of documentary evidence (copies of signed acts of putting the facility into operation) or at least ten facilities of the first and (or) the second level of responsibility, at which the licensee performed work under subcontract agreements, with the provision of documentary evidence (copies of signed certificates of the work performed). For foreign persons, an equivalent document shall be taken into account as a presentation of documentary evidence		
	Requirements for licensees of the 1st category of design activity: presence on the staff of at least one certified engineering		

14	<p>technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and works on a permanent basis for the applicant in one of the following specializations: chief engineer of the project , chief project architect, chief designer, leading design engineer. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding permit for the profile of work included in the requested subtype of the licensed type of activity, issued in the manner prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>		
15	<p>Requirements for licensees of the 1st category of design activity: availability of administrative and utility premises on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with workplaces organized in accordance with working conditions</p>		
16	<p>Requirements for licensees of the 1st category of design activity: availability of software enabling calculations, drawing up and designing of graphic and other materials necessary for the declared</p>		

	subtype of the licensed type of activity		
17	<p>Requirements for licensees of the 1st category of design activities: the licensee must have at least ten years of work experience, or at least seven years for a person who carried out design activities (design and survey activities in the part of design) for offshore oil and gas projects on the territory of the Republic of Kazakhstan, or at least five years of experience as a category II licensee.</p> <p>Work experience is calculated from the date of obtaining the license, and in the event of termination of the license, work experience is annulled. For foreign persons an equivalent permit for carrying out design activities (design and survey activities in terms of design) is taken into account as work experience.</p> <p>For a legal entity, at least one of the founders of which has at least thirty years of international experience in the field of design and has carried out the design of objects of the first level of responsibility, work experience of at least six years. For a legal entity, whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities and in which the participants (shareholders) - resident legal entities of the Republic of Kazakhstan in total own 40 or more shares of participation in</p>		

	the charter capital (shares) and a participant (shareholder) holding a category I license to engage in project activities, this requirement shall not apply		
18	Requirements for licensees of the 1st category of design activity: presence of at least ten completed construction projects of the first and (or) second level of responsibility as a general contractor with the presentation of documentary evidence (copies of signed acts of putting the facility into operation), or the presence of at least twenty facilities of the first and ( or) the second level of responsibility, at which the licensee performed work under subcontract agreements, with the provision of documentary evidence (copies of signed certificates of work performed). For foreign persons, an equivalent document shall be taken into account as a presentation of documentary evidence. For a legal entity, whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities and in which the participants (shareholders) - resident legal entities of the Republic of Kazakhstan in total own 40 or more shares of participation in the charter capital (shares) and which has a category I license to engage in design activities as a participant (		

	shareholder), this requirement shall not apply		
19	<p>Requirements for licensees of construction and installation works of category III: presence on the staff of at least one certified engineering technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and working on a permanent basis for the applicant in one of the following specializations: main engineer, head of the production and technical department, site manager, work manager, foreman. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding permit for the profile of work included in the requested subtype of the licensed type of activity, issued as prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>		
	<p>Requirements for licensees of construction and installation works of category III:</p> <p>availability of a production base on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with:</p>		

20	<p>1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the requirements of the labor protection and safety system during the work, and also does not violate the working conditions of administrative, technical and production workers.</p> <p>2) workplaces organized in accordance with working conditions</p>		
21	<p>Requirements for licensees of construction and installation works of category III: presence of minimum material and technical equipment on the right of ownership (economic management or operational management) and (or) lease, including a minimum set of equipment, instrumentation, machines and mechanisms that are installed in accordance with technical requirements for the performance of construction and installation work, depending on the technical requirements for the declared work of the subtype of the licensed type of activity</p>		
	<p>Requirements for licensees of construction and installation works of category II: presence on the staff of at least one certified engineer and technical worker who has the appropriate certificate for the profile of work</p>		



22	<p>included in the requested subtype of the licensed type of activity and working on a permanent basis for the applicant in one of the following specializations: main engineer, head of the production and technical department, site manager, work manager, foreman. In the event that there is at least one engineering technician working on a permanent basis for foreigners or foreign legal entities with the corresponding permit for the profile of work included in the requested subtype of the licensed type of activity, issued as prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>		
23	<p>Requirements for licensees of construction and installation works of category II: availability of a production base on the right of ownership (economic management or operational management) and (or) lease for a period of at least one year (with state registration in the legal cadastre), equipped with:</p> <p>1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the</p>		

	<p>requirements of the labor protection and safety system during work, and also does not violate the working conditions of administrative, technical and production workers.</p> <p>2) workplaces organized in accordance with working conditions</p>		
24	<p>Requirements for licensees of construction and installation works of category II: presence of minimum material and technical equipment on the right of ownership (economic management or operational management) and (or) lease, including a minimum set of equipment, instrumentation, machines and mechanisms that are installed in accordance with technical requirements for the performance of construction and installation work, depending on the technical requirements for the declared work of the subtype of the licensed type of activity</p>		
25	<p>Requirements for licensees of construction and installation works of category II: the licensee must have at least five years of work experience, with work experience calculated from the date of obtaining a license or other equivalent permit for foreigners to carry out construction and installation works, or work experience of at least five years as a licensee of category III, and in case of termination of the license, work experience shall be annulled</p>		

26	<p>Requirements for licensees of construction and installation works of category II: presence of at least five completed construction projects of the second technically simple and (or) third level of responsibility as a general contractor with the presentation of documentary evidence (copies of signed certificates of commissioning of the facility), or the presence of at least ten facilities of the first and (or) second levels of responsibility, on which the licensee carried out work under subcontract agreements, with the provision of documentary evidence (copies of signed certificates of completion of work). For foreign persons, an equivalent document shall be taken into account as a presentation of documentary evidence</p>		
27	<p>Requirements for licensees of construction and installation works of the 1st category: presence on the staff of at least one certified engineering technician who has the appropriate certificate for the profile of work included in the requested subtype of the licensed type of activity and working on a permanent basis for the applicant in one of the following specializations: main engineer, head of the production and technical department, site manager, work manager, foreman. In the event that there is at least one engineering technician working on a</p>		

	<p>permanent basis for foreigners or foreign legal entities with the corresponding permit for the profile of work included in the requested subtype of the licensed type of activity, issued as prescribed by the legislation of the state party to the Economic Cooperation Organization and development, these requirements shall not apply</p>		
28	<p>Requirements for licensees of construction and installation works of category I: availability of a production base on the right of ownership (economic management or operational management), equipped with:</p> <p>1) administrative and industrial buildings or premises necessary to perform the declared types of work, a subtype of the licensed type of activity. At the same time, administrative, production and other buildings or premises of the production base can be combined, if this does not contradict the requirements of the labor protection and safety system during work.</p> <p>2) work places. A legal entity whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities, where the participation shares of resident legal entities of the Republic of Kazakhstan in the authorized capital (shares) total 40 percent or more are allowed to have production base on a lease basis (</p>		

	<p>economic management or operational management). In this case, a resident of the Republic of Kazakhstan must have a category I license to engage in construction and installation work</p>		
29	<p>Requirements for licensees of construction and installation works of category I: availability of minimum material and technical equipment on the right of ownership (economic management or operational management) and (or) lease, including a minimum set of equipment, instrumentation, machines and mechanisms that are installed in accordance with technical requirements for the performance of construction and installation work, depending on the technical requirements for the declared work of the subtype of the licensed type of activity</p>		
	<p>Requirements for licensees of construction and installation works of category I: a licensee must have at least ten years of experience, or at least seven years for a person who has carried out construction and installation works for offshore oil and gas projects in the Republic of Kazakhstan, or at least five years of experience as a II category licensee. Work experience is calculated from the date of receipt of the license, and in the event of termination of the license, work experience is annulled. For foreign persons, an equivalent permit for construction and</p>		

30	<p>installation work is taken into account as work experience. For a legal entity, whose participants (shareholders) are one or more resident legal entities of the Republic of Kazakhstan and one or more foreign legal entities and in which the participants (shareholders) - resident legal entities of the Republic of Kazakhstan in total own 40 or more shares of participation in the charter capital (shares) and a participant (shareholder) holding a category I license to engage in construction and installation work, this requirement shall not apply</p>		
31	<p>Requirements for licensees of construction and installation works of the 1st category: presence of at least ten completed construction projects of the first and (or) second levels of responsibility as a general contractor with the presentation of documentary evidence (copies of signed acts of putting the facility into operation), or at least twenty facilities of the first and (or) the second level of responsibility, at which the licensee carried out work under subcontract agreements, with the provision of documentary evidence (copies of signed certificates of completion of work). For foreign persons, an equivalent document shall be taken into account as a presentation of documentary evidence. For a legal entity, whose participants (shareholders) are one or more resident</p>		

	legal entities of the Republic of Kazakhstan and one or more foreign legal entities and in which the participants (shareholders) - resident legal entities of the Republic of Kazakhstan in total own 40 or more shares of participation in the charter capital (shares) and a participant (shareholder) holding a category I license to engage in construction and installation work, this requirement shall not apply	
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Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Head of the subject of control and supervision \_\_\_\_\_

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 20  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

**Checklist in the field of architecture, urban planning and construction in accordance with Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

**Footnote. The Order has been supplemented with Appendix 20 –pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).**

In respect of non-state certification centers for certification of engineering and technical staff involved in the design process and construction name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection \_\_\_\_\_

Act on assigning inspection \_\_\_\_\_

№, date

Name of the subject (object) of control and supervision \_\_\_\_\_

(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance with requirements	Non-compliance with requirements
1	2	3	4
1	Availability of accreditation certificate		
2	Availability of teaching staff responsible for professional development		
3	Availability of technical staff responsible for conducting certification		
4	Availability of administrative and utility premises on the right of ownership or on other legal grounds, meeting the requirements of premises area of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7.65 m <sup>2</sup> for training and certification, with an area of at least 100 (one hundred) square meters, with testing and training rooms of at least 45 (forty-five) square meters. At the same time, testing and training rooms may be combined		
5	Availability of material and technical equipment that meets the requirements of room area at the rate of 6 m <sup>2</sup> per employee, for working disabled people using wheelchairs 7.65 m <sup>2</sup> for training and certification		



	, including at least 10 (ten) places		
6	Availability of software for certification of engineers and technicians		
7	Availability of regulatory-technical and methodological literature		
8	Availability of test questions approved by the authorized body, approved internal rules and regulations for training and certification		
9	Availability of authorization requirements for the education and work experience of engineering and technical workers in project activities: chief engineer of the project: Higher education in the field of construction; At least five years of experience in the designing of facilities as a specialist and (or) manager in the relevant field		
10	Availability of authorization requirements for the education and work experience of engineering and technical workers in project activities: Chief architect of the project: Higher education in the field of architecture; At least five years of experience in the designing of facilities as a specialist and (or) manager in the relevant field		
	Availability of authorization requirements to education and work experience of engineers and technicians on design activities: Chief designer for load-bearing and enclosing structures: hydraulic engineer;		

11	<p>construction design;  on engineering networks and structures:  heat and gas supply and ventilation;  water supply and sewerage/  water supply and drainage;  communications engineer;  electrical engineer;  oil and gas engineer.  on transport construction:  highways and airfields engineer;  bridge and tunnel engineer;  railway engineer.  on technological equipment  :</p> <p>Higher education in the field of construction, in specialty depending on the area of work; At least five years of experience in the designing of facilities as a specialist and (or) manager in the relevant field</p>		
12	<p>Presence of authorization requirements for education and work experience of engineering and technical workers on design activities:  Leading design engineer for architecture:  load-bearing and enclosing structures:  hydraulic engineer;  construction design.  on engineering networks and constructions:  heat and gas supply and ventilation;  water supply and sewerage/  water supply and drainage;  communications engineer;  electrical engineer;  oil and gas engineer.  on transport construction:  highways and airfields engineer;  bridge and tunnel engineer;  railway engineer.</p>		

	<p>on technological equipment :</p> <p>Higher education in the field of construction by specialty, depending on the area of work and work experience of at least three years in the design of facilities as a specialist in the relevant field;</p> <p>Secondary education, in the field of construction by specialty, depending on the area of work and work experience of at least five years in the designing of facilities in the position of a specialist in the relevant field.</p>		
13	<p>Presence of authorization requirements for education and work experience of engineering and technical workers in construction and installation works:</p> <p>Chief engineer: Higher education, in the field of construction in the specialty, depending on the direction of work;</p> <p>Experience of at least five years in technical supervision and (or) in management positions in construction organizations, including at least one year in the position of chief engineer or deputy chief engineer.</p>		
14	<p>Presence of authorization requirements for education and work experience of engineering and technical workers in construction and installation works:</p> <p>Head of production and technical department:</p> <p>Higher education, in the field of construction in specialty, depending on the direction of work; Work experience of at least five years in the position of</p>		

	specialist and (or) manager in construction organizations, including at least one year in the position of the head or deputy head of production and technical department		
15	<p>Presence of authorization requirements for education and work experience of engineers and technicians on construction and installation works: Site supervisor: on load-bearing and enclosing structures: hydraulic engineer; construction design. on engineering networks and constructions: heat and gas supply and ventilation; water supply and sewerage/ water supply and drainage; communications engineer; electrical engineer; oil and gas engineer. on transport construction: highways and airfields engineer; bridge and tunnel engineer; railway engineer. on technological equipment :</p> <p>Higher education, in the field of construction in the specialty, depending on the area of work and experience of at least three years in the position of foreman or manufacturer of works of the construction site/site, technical supervision. Or at least five years in other positions at the construction site;</p> <p>Secondary education, in the field of construction in the specialty, depending on the direction of work and work experience of at least five years in the position of a foreman or manufacturer of works of the construction</p>		

	site/object, technical supervision. Or at least seven years in other positions at the construction site		
16	<p>Presence of authorization requirements for education and work experience of engineering and technical workers on construction and installation works:</p> <p>chief superintendent engineer:</p> <p>on bearing and enclosing structures: hydraulic engineer; construction design.</p> <p>on engineering networks and constructions:</p> <p>heat and gas supply and ventilation;</p> <p>water supply and sewerage/ water supply and drainage;</p> <p>communications engineer;</p> <p>electrical engineer;</p> <p>oil and gas engineer.</p> <p>on transport construction:</p> <p>highways and airfields engineer;</p> <p>bridge and tunnel engineer;</p> <p>railway engineer.</p> <p>on technological equipment :</p> <p>Higher education, in the field of construction in the specialty, depending on the area of work and experience of at least three years in the position of foreman or manufacturer of works of the construction site/site, technical supervision. Or at least five years in other positions at the construction site;</p> <p>Secondary education in the field of construction, in specialty, depending on the direction of work and work experience of at least five years in the position of a foreman or manufacturer of works of the construction</p>		

	<p>site/object, technical supervision. Or at least seven years in other positions at the construction site</p>		
17	<p>Presence of authorization requirements for education and work experience of engineers and technicians for construction and installation works:</p> <p>Foreman:</p> <p>on load-bearing and enclosing structures:</p> <p>hydraulic engineer;</p> <p>construction design.</p> <p>on engineering networks and constructions:</p> <p>heat and gas supply and ventilation;</p> <p>water supply and sewerage/</p> <p>water supply and drainage;</p> <p>communications engineer;</p> <p>electrical engineer;</p> <p>oil and gas engineer.</p> <p>on transport construction:</p> <p>highways and airfields engineer;</p> <p>bridge and tunnel engineer;</p> <p>railway engineer.</p> <p>on technological equipment :</p> <p>Higher education, in the field of construction in the specialty, depending on the area of work and work experience of at least one year as a foreman or technical supervision. Or at least five years of experience in other construction positions;</p> <p>Secondary, in the field of construction in the specialty, depending on the direction of work and experience of at least three years in the position of a foreman or technical supervision. Or at least five years in other positions at the construction site</p>		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)

Appendix 21  
to the joint order of the  
Minister of Investment and Development  
of the Republic of Kazakhstan  
dated November 19, 2018 № 807 and  
the Minister of National Economy  
of the Republic of Kazakhstan  
dated November 26, 2018 №81

### Checklist

**in the field of architecture, urban planning and construction in accordance with  
Articles 138 and 139 of the Entrepreneur Code of the Republic of Kazakhstan**

Footnote. The Order has been supplemented with Appendix 21 –pursuant to the joint order of the Minister of Industry and Infrastructure Development of the Republic of Kazakhstan dated 27.02.2023 № 127 and the Minister of National Economy of the Republic of Kazakhstan dated 01.03.2023 № 29 (effective from 01.01.2023).

In respect of legal entities conducting complex non-departmental  
expert examination of construction projects

name of the homogeneous group of subjects (objects) of control

State body that assigned the inspection \_\_\_\_\_

\_\_\_\_\_  
Act on assigning inspection \_\_\_\_\_

\_\_\_\_\_  
№, date

\_\_\_\_\_  
Name of the subject (object) of control and supervision \_\_\_\_\_

\_\_\_\_\_  
(Individual identification number ), business identification number  
of the subject (object) of control and supervision \_\_\_\_\_

Location address \_\_\_\_\_

№	List of requirements	Compliance requirements	with	Non-compliance requirements	with

1	2	3	4
1	Availability of accreditation certificate		
2	Availability of at least five experts certified in specializations corresponding to the main sections of the project		
3	Availability of information about the production base on the right of ownership or other legal ground		
4	Availability of information on material and technical equipment		
5	Availability of a quality control system for project expertise		
6	Availability of normative-reference and methodological literature		

Executive (s)

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)  
Head of the subject of control and supervision

\_\_\_\_\_  
position, signature

\_\_\_\_\_  
surname, first name, patronymic (in existence)