

On approval of the Rules for the functioning of a unified gateway to Internet access and a unified gateway of electronic mail of "electronic government"

Unofficial translation

Order of the Minister of Digital Development, Innovations and Aerospace Industry of the Republic of Kazakhstan dated October 13, 2020 No. 386/HK. Registered with the Ministry of Justice of the Republic of Kazakhstan on October 15, 2020 No 21436.

Unofficial translation

In accordance with sublcause 17-1) of article 7-1 of the Law of the Republic of Kazakhstan dated November 24, 2015 "In Informatization" **I HEREBY ORDER**:

- 1. To approve:
- 1) Rules for functioning of a unified gateway to Internet access, according to Appendix 1 to this order;
- 2) Rules for functioning of a unified gateway of electronic mail of "electronic government ", according to Appendix 2 to this order.
- 2. The Information Security Committee of the Ministry of Digital Development, Innovation and Aerospace Industry of the Republic of Kazakhstan, in accordance with the procedure, established by law, shall ensure:
- 1) state registration of this order with the Ministry of Justice of the Republic of Kazakhstan;
- 2) placement of this order on the Internet resource of the Ministry of Digital Development , Innovations and Aerospace Industry of the Republic of Kazakhstan after its official publication;
- 3) within ten working days after the state registration of this order, submission to the Legal Department of Digital Development, Innovations and Aerospace Industry of the Republic of Kazakhstan of information about implementation of measures, stipulated by subclauses 1) and 2) of this clause.
- 3. Control over execution of this order shall be entrusted to the supervising Vice Minister of Digital Development, Innovations and Aerospace Industry of the Republic of Kazakhstan.
- 4. This order shall come into force upon expiry of ten calendar days after the date of its first official publication.

Minister of Digital Development, Innovations and Aerospace Industry of the Republic of Kazakhstan

B.Mussin

"AGREED"

National Security Committee of the Republic of Kazakhstan

Appendix 1
to the order of the Minister of
Digital Development, Innovations
and Aerospace Industry of the
Republic of Kazakhstan
dated October 13, 2020
№ 386/HK

Rules for functioning of a unified gateway to Internet access Chapter 1. General Provisions

1. These Rules of Functioning of a Unified Gateway to Internet Access (hereinafter - the Rules) have been developed under sub-paragraph 17-1) of Article 7-1 of the Law of the Republic of Kazakhstan "On Informatisation" (hereinafter - the Law) and establish the procedure for functioning of a Unified Gateway to Internet Access (hereinafter - UGIA).

Footnote. Paragraph 1 - as revised by order of the Acting Minister of Digital Development , Innovation and Aerospace Industry of the Republic of Kazakhstan N 79/NK of 26.04.2023 (shall be put into effect upon expiry of ten calendar days after the day of its first official publication).

2. Telecom operators shall connect local, departmental and corporate telecommunications networks of public authorities, local self-government bodies, public legal entities, quasi-public sector entities, as well as owners or proprietors of critical information and communication infrastructure facilities to the Internet via the UGIA.

In line with paragraph 3 of Article 30 of the Law, for operational purposes, special state and law enforcement agencies and the National Bank of the Republic of Kazakhstan may organise Internet connections without the use of the UGIA.

Footnote. Paragraph 2 - as revised by order of the Acting Minister of Digital Development , Innovation and Aerospace Industry of the Republic of Kazakhstan Noton 26.04.2023 (shall enter into force ten calendar days after the date of its first official publication).

- 3. The following definitions are used in these Rules:
- 1) information security incident separately or serially occurring failures in the operation of the information and communication infrastructure or its individual objects, which threaten their proper functioning and (or) the conditions for illegally obtaining, copying, distributing, modifying, destroying or blocking electronic information resources;
- 2) telecommunications service provider an individual or legal entity registered in the territory of the Republic of Kazakhstan, providing telecommunications services and (or) operating telecommunications networks;
- 3) firewall for protection of web applications technology for the protection of Internet resources (hereinafter referred to as the IR) from unauthorized access to data and their modification, even if critical vulnerabilities are present on the IR, by automatically detecting and blocking attacks;

- 4) protection from unauthorized alterations of web pages technology that allows detecting modification and distortion of IR web pages by intruders, as well as restoring the IR to its original state in automatic mode;
- 5) UGIA root certificate a dataset of a special format containing but not limited to the public key and located at the top level of a hierarchical tree of certificates used by UGIA equipment to pass Internet traffic containing protocols that support encryption;
- 6) technical capacity to connect to the UGIA availability of functioning technical means and communication facilities necessary for connecting communication channels of communication operators to the UGIA and passing traffic of communication operators through the UGIA;
- 7) a UGIA user (hereinafter referred to as the User) state body, local self-government body, state legal entity, subject of the quasi-public sector, owner or owner of critical objects of information and communication infrastructure, having connection of local, departmental and corporate telecommunication networks to the Internet through the UGIA;
- 8) application processing system (hereinafter referred to as the APS) the IR, located at the address https://support.sts.kz, for the receipt of applications from telecommunications service providers and the Users;
- 9) telecommunications network a complex of telecommunication facilities and communication lines providing transmission of telecommunication messages, consisting of switching equipment (stations, substations, concentrators), line-cable structures (subscriber lines, connecting lines and communication channels), transmission systems and subscriber devices.

Chapter 2. Procedure for UGIA functioning

4. "State Technical Service" Joint Stock Company (hereinafter referred to as the STS) carries out the UGIA support, ensures its uninterrupted operation.

The grounds for connection to the UGIA shall be a contract, concluded between the telecommunications service provider and the User in accordance with the legislation on state procurement.

- 5. For connection to the UGIA, the telecommunications service providers shall submit to the STS an application for the connection of telecommunications channels to the UGIA with arrangement of the main and reserve channel, in the form according to Appendix 1 to these Rules (hereinafter referred to as the application).
- 6. The STS, if it is technically possible to connect to UGIA, within ten working days from the date of receipt of the application, shall send to the telecommunication service provider the technical specifications for connection to the UGIA according to Appendix 2 to these Rules (hereinafter referred to as the technical specifications).

- 7. The telecommunications service provider, within seven working days after the receipt of technical specifications, shall notify the STS with an official letter, on the readiness for connection to the UGIA.
- 8. Excluded by order of the Acting Minister of Digital Development, Innovation and Aerospace Industry of the Republic of Kazakhstan № 79/NK of 26.04.2023 (shall become effective ten calendar days after the date of its first official publication).
- 9. The telecommunications service provider shall ensure pursuance of technical specifications for the entire period of connection to the UGIA.
- 10. If there is no technical capability to connect to UGIA, the STS, within ten working days from the date of receipt of the application, shall send a notification to the telecommunication service provider about the rejection of the application, with indication of the reasons.
- 11. In the event of non-compliance with the requirements of the technical specifications, the telecommunications service provider, within five working days from the date of receipt of the notification of the rejection of the application from the STS, shall eliminate the identified inconsistencies in the connection to the UGIA.
- 12. The telecommunications service providers shall carry out the connection of Users to the UGIA.
- 13. The telecom operator shall update the data on Users in the IP-address Catalogue (https://catalog.sts.kz) on a permanent basis.

If the UGIA equipment detects the IP addresses of the Users, which are not available on the IP Address Catalogue (https://catalog.sts.kz), the STS shall send a notification to the telecom operator by e-mail, with the list of these IP addresses enclosed.

The telecom operator shall update the list of IP addresses in the "IP Address Catalogue" IR within five working days after receiving the notification from the STS.

If the telecom operator fails to do so within the specified period, the STS shall block access to the Internet from the IP addresses listed in the notification.

IP addresses shall be unblocked after the telecom operator updates the data.

Footnote. Paragraph 13 - as revised by order of the Acting Minister of Digital Development, Innovation and Aerospace Industry of the Republic of Kazakhstan № 79/NK of 26.04.2023 (shall be implemented ten calendar days after the date of its first official publication).

- 14. In the event of an information security incident, the telecommunications service provider or the User shall inform thereof the STS Duty Service by email support@sts.kz or by sending a request through the APS.
- 15. At least once a year, the User shall present to the STS the list of IR categories and lists of network addresses of the User's telecommunication networks to be applied on the UGIA equipment as per sub-paragraphs 2), 3) of paragraph 133 of the Unified Requirements in the field of information and communication technologies and ensuring information security

approved by Decree of the Government of the Republic of Kazakhstan № 832 of December 20, 2016.

By means of the APS, the User shall send to the STS an application signed with the electronic digital signature of a legal entity, enclosing the list of IR categories and the list of network addresses of the User's telecommunication networks.

Footnote. Paragraph 15 - as revised by order of the Acting Minister of Digital Development, Innovation and Aerospace Industry of the Republic of Kazakhstan № 79/NK of 26.04.2023 (shall enter into force ten calendar days after the date of its first official publication).

15-1.Based on the User's request, the STS shall open the Internet access required by the User for one calendar year on the UGIA equipment following the lists of IR categories and network addresses of telecommunication networks presented by the User.

Footnote. The Rules are supplemented by paragraph 15-1 as per order of the Acting Minister of Digital Development, Innovation and Aerospace Industry of the Republic of Kazakhstan № 79/NK of 26.04.2023 (shall be enacted ten calendar days after the day of its first official publication).

15-2. The User's requests associated with the opening of the required Internet access on the equipment of the UGIA based on the list of IR categories and network addresses of telecommunication networks, as well as for the use of private virtual networks (VPN) and other network services, that bear threats and risks of information security for the User, shall be executed by the User by sending to the STS, via the APS, an application signed with the electronic digital signature of a legal entity.

Footnote. The Rules are supplemented by paragraph 15-2 in line with order of the Acting Minister of Digital Development, Innovation and Aerospace Industry of the Republic of Kazakhstan № 79/NK of 26.04.2023 (shall be put into effect ten calendar days after the day of its first official publication).

16. Within ten working days after receiving from the User the list of IR categories and lists of network addresses of the User's telecommunication networks, the STS shall apply the relevant policies on the UGIA equipment and notify the User of the action taken.

Footnote. Paragraph 16 - as revised by order of the Acting Minister of Digital Development, Innovation and Aerospace Industry of the Republic of Kazakhstan № 79/NK of 26.04.2023 (shall become effective ten calendar days after the date of its first official publication).

17. For the purposes of implementation of measures on IR protection, the User shall submit to the STS through the APS an application for the receipt of the service of additional IR protection by connection to the network firewall for protection of web-applications and shall provide necessary data, according to Appendix 3 to these Rules (hereinafter referred to as the application for the receipt of additional protection service).

The STS, subject to the availability of technical capabilities and the condition of receiving all the necessary data from the User, shall connect the IR specified in the application for additional protection service to the network firewall to protect web applications within ten working days from the date of receipt of the application for additional protection service to the STS. Connection shall be carried out free of charge.

If there is no technical capability to connect to the network firewall to protect web applications, the STS, within ten working days from the date of receipt of the application for additional protection services, shall send to the User a notification of the rejection of the application, with indication of the reasons.

Upon receipt of a notification from the STS about rejection of the application, the User, upon expiry of thirty calendar days, shall re-submit an application for additional protection service through the APS.

18. For the purposes of implementation of measures on protection from unauthorized modifications of the IR web pages, the User shall submit to the STS through the APS an application for a connection to the system of protection from unauthorized modifications to the IR web pages and shall provide the necessary data, according to Appendix 4 to these Rules.

The STS, subject to the availability of technical capabilities and the condition of receiving from the User all the necessary data and access, shall connect the IR specified in the application for the receipt of the service of connection to the system of protection from unauthorized modifications to the IR web pages, to the protection system against unauthorized changes to the IR web pages within ten working days from the date of receipt of the specified application by STS. The connection shall be carried out free of charge.

If there is no technical capability to connect to the system of protection from unauthorized modifications to the IR web-pages, The STS, within ten working days from the date of receipt of the application for the service of connection to the system of protection from unauthorized modifications to the IR web pages, shall send to the User a notification of the rejection of the application, with indication of the reasons.

Upon receipt of a notification from the STS about rejection of the application, the User, upon expiry of thirty calendar days, shall re-submit an application for the service of connection to the system of protection from unauthorized modifications to the IR web pages through the APS.

19. The User, in case of termination of the use of the IR or changes in information about the IR (domain name, IP-address of the IR, responsible employee of the User, technical characteristics of the IR, telecom operator connecting to the UGIA), within five working days shall submit to the STS a corresponding official notification. The STS shall perform the necessary organizational and technical measures using the UGIA equipment.

- 20. To detect and restrict access to malicious content in a timely manner, STS shall undertake relevant technical measures on the UGIA equipment to analyse encrypted traffic of Users, excluding the following IR categories:
 - 1) finance and banking;
 - 2) government and legal organizations;
 - 3) health and wellness;
 - 4) personal privacy;
 - 5) video conferencing services;
 - 6) IS means signature update services;
 - 7) operating system update services.

Footnote. Paragraph 20 - as revised by order of the Acting Minister of Digital Development, Innovation and Aerospace Industry of the Republic of Kazakhstan № 79/NK of 26.04.2023 (shall take effect ten calendar days after the date of its first official publication).

- 21. The STS generates, transfers to telecommunication service providers and ensures the validity of the UGIA root certificate. As a new root certificate is generated, the STS shall inform the telecommunication service provider.
- 22. The telecommunications service provider shall transfer to its Users the UGIA root certificate.
 - 23. The Users shall install the UGIA root certificate on their workstations.

Appendix 1
to the Rules for the functioning of
a unified gateway to Internet
access
Form
"State Technical Service" JSC
from
(name of organization)

APPLICATION

for the connection of telecommunications channels to the UGIA

Please connect communication channels with the following bandwidth:		
(measurement unit - 1 Gbps; minimum value - 1 Gbps) for		
(planned period of the access to the UGIA) We undertake to provide data via communication channels, contact details, documen and create conditions for a fault-tolerant connection to the UGIA.		
signature of the head of organization) (surname, name, patronymic		

Seal (if any) "	"	20
		Appendix 2 to the Rules for the functioning of a unified gateway to Internet access
TECHNICAL SPECIFIC № на подключение к		
— Name and address o	f the object:	
	·	ce provider:
Active equipment co		
		7: 10G, full-duplex, SM.
Connection specification	~ -	100, full duplex, bivi.
To provide guarante	eed service in a far	ult-tolerant mode, it is necessary to connect the main ugh independent physical channels.
Requirements for lay		
-		C) between the optical shelves in a corrugated pipe
along the existing metal	•	e) between the optical sherves in a corragated pipe
•		e marked to identify their affiliation.
• •		stallation of optical patchcords, coordinate the work
with an STS representat	_	warming of option parenterial, confundite the work
General issues:	- 1 •	
	ions are valid with	nin 60 (sixty) calendar days.
•		e technical specifications must be confirmed and
	_	10 days before expiry date, technical specifications
will automatically be ca		
		Appendix 3 to the Rules for the functioning of a unified gateway to Internet access
		Form
		"State Technical Service" JSC from
		(name of organization)
APPLICATION		
	e of additional IR r	protection through the connection to
the network firewall for p	_	
	IR < <domain nar<="" td=""><td>me>> to the network firewall for protection of web</td></domain>	me>> to the network firewall for protection of web
applications.		
	-	according to the list:
\mathcal{N}_{Ω}	Required data	Notice

1	Domain name and IR IP-address	
2	Name of the telecommunication service provider, provided the connection to the Internet through the UGIA	
3	Name of the web server with indication of the version	Possible names: IIS, Apache, Apache Tomcat, Netscape Enterpise Server, IBM Lotus Domino, Nginx, JBoss, IBM Websphere, Lighttpd, Caucho Resin, JRun Web server, WebLogic. *Name of web server shall be provided with obligatory indication of the version.
4	Balancing system name (if available) with indication of the version	Possible names: Keepalived, Nginx etc.
5	The name of the database management system (DBMS) with indication of the version	Possible names: Oracle, MySQL, MSSQL, DB2, Sybase, PostgreSQL etc.
6	Name of the content management system CMS with indication of the version	Possible names: WordPress, Drupal, Struts, SharePoint
7	Certificate and private key, when using the https protocol	To implement IR protection using https
8	Application server name (if available) with indication of the version	Possible names: Apache Tomcat,
9	Contacts of responsible technical specialists	surname, name, patronymic, E-mail, office phone, mobile phone

		(surname, name	, patronymic,	signature of	of the
head of organization)					
Seal (if any) "	"	20			

Appendix 4
to the Rules for the functioning of
a unified gateway to Internet
access
Form
"State Technical Service" JSC
from
(name of organization)

APPLICATION

for the receipt of the service of connection to the system of protection from unauthorized modifications of the IR web pages

Please connect the IR <<domain name>> to the system of protection from unauthorized modifications of the IR web pages.

We undertake to submit required data according to the list:

№	Required data	Notice

	Domain name and IR IP-address	
2	Name of the telecommunication service provider, provided the connection to the Internet through the UGIA	
3	Name of the web server with indication of the version	Possible names: IIS, Apache, Apache Tomcat, Netscape Enterpise Server, IBM Lotus Domino, Nginx, JBoss, IBM Websphere, Lighttpd, Caucho Resin, JRun Web server, WebLogic. * Name of web server shall be provided with obligatory indication of the version.
	Balancing system name (if available) with indication of the version	Possible names Keepalived, Nginx etc.
	The name of the database management system (DBMS) with indication of the version	Possible names Oracle, MySQL, MSSQL, DB2, Sybase, PostgreSQL etc.
	Name of the content management system CMS with indication of the version	Possible names WordPress, Drupal, Struts, SharePoint
,	Certificate and private key, when using the https protocol	To implement IR protection using https
	Application server name (if available) with indication of the version	Possible names: Apache Tomcat,
	Access to the IR root directory via FTP and / or SSH protocols	
0	Contacts of responsible technical specialists	surname, name, patronymic, E-mail, office phone, mobile phone
11	Registration details (login and password, a key for authorization, if key access is used) of a user with read and write permission to the root directory of a web resource on the server via ssh, ftp, sftp protocols	Login and password

Seal (if any) "____" 20

> Appendix 2 to the order of the Minister of Digital Development, Innovations and Aerospace Industry of the Republic of Kazakhstan dated October 13, 2020 № 386/НҚ

1. These Rules of Functioning of a Unified Gateway of the Electronic Government Electronic Mail (hereinafter - the Rules) have been developed under sub-paragraph 17-1) of Article 7-1 of the Law of the Republic of Kazakhstan "On Informatisation" (hereinafter - the Law) and establish the order of functioning of the Unified Gateway of the Electronic Government Electronic Mail (hereinafter - the UGEM).

Footnote. Paragraph 1 - as revised by order of the Acting Minister of Digital Development , Innovation and Aerospace Industry of the Republic of Kazakhstan N 79/NK of 26.04.2023 (shall be put into effect upon expiry of ten calendar days after the day of its first official publication).

- 2. These Rules shall apply to state bodies, local government bodies, state legal entities, subjects of the quasi-public sector, possessors or owners of critical objects of information and communication infrastructure.
 - 3. The following concepts are used in these Rules:
- 1) an applicant a state body, local government body, state legal entity, a subject of quasi-public sector, possessor or owner of critical object of information and communication infrastructure, submitted an application for the receipt of a service for connection of e-mail of the Applicant to the UGEM;
- 2) the UGEM User (hereinafter referred to as the User) a state body, local government body, state legal entity, a subject of quasi-public sector, possessor or owner of critical object of information and communication infrastructure, which electronic interaction of e-mail with external e-mail is carried out through redirecting emails via the UGEM;
- 3) external e-mail an e-mail, not related to the departmental (corporate) email of the Applicant;
- 4) application processing system (hereinafter referred to as the APS) an Internet resource located at https://support.sts.kz for accepting applications from Applicants and Users.

Chapter 2. Procedure for the UGEM functioning

- 4. The functioning of the UGEM shall be ensured through its support, which includes measures for technical support, connecting Applicants to the UGEM and processing requests / applications of Users on the UGEM issues.
- 5. "State Technical Service" Joint Stock Company (hereinafter referred to as the STS) shall carry out the UGEM support in accordance with ποgclause 6) of clause 1 of article 14 of the Law.
 - 6. The STS shall ensure the uninterrupted operation of the UGEM.
- 7. To connect to the UGEM, the Applicant, through the APS or by official letter, shall apply for the service of connecting the mail system to the UGEM (hereinafter referred to as the application) in the STS in the form, according to Appendix to these Rules.

- 8. The STS, within five working days from the date of receipt of the application, shall test for the compliance of classifying the Applicant as a state body, local government body, state legal entity, quasi-public sector, possessor or owner of critical information and communication infrastructure facilities, as well as the availability of technical the possibilities (of functioning technical means and communication facilities) of connecting the Applicant's e-mail to the UGEM.
- 9. If there is technical capability to connect the Applicant's mail system to UGEM, the STS, within five working days from the date of receipt of the application, shall perform technical activities together with the Applicant to connect the mail system to UGEM and shall send a notification to the Applicant about the measures taken on the application.
- 10. If there is no technical capability to connect the Applicant's mail system to the UGEM , the STS, within five working days from the date of receipt of the application, shall send the Applicant a notice of rejection of the application, with indication of the reasons.

The Applicant, after receiving a notice of rejection of the application from the STS, upon expiry of thirty calendar days, shall re-submit the application through the APS.

- 11. If the User's status changes to something other than the subject of a state body, local government body, state legal entity, quasi-public sector, possessor or owner of critical objects of information and communication infrastructure, the User shall notify the STS by an official letter of the need to disconnect the mail system from the UGEM. The STS, within the period agreed with the User, shall disconnect the mail system from the UGEM.
- 12. Upon detection of abnormal traffic activity of electronic mail systems linked to the UGEM, the STS shall suspend their operation to prevent the entry of UGEM IP addresses into the global databases of untrusted IP addresses and domains, with subsequent notification of the owner of the electronic mail system of the blockage.

Footnote. The Rules are supplemented by paragraph 12 as per order of the Acting Minister of Digital Development, Innovation and Aerospace Industry of the Republic of Kazakhstan № 79/NK of 26.04.2023 (shall be enacted ten calendar days after the day of its first official publication).

Appendix to the Rules for

functioning of a unified gateway of electronic mail of "electronic
government"
Form
"State Technical Service" JSC
from
(name of organization)

APPLICATION

for the receipt of a service of connection of mail system to the UGEM

Please pass the mail traffic through the UGEM:

(name of the e-mai	il domain connected to	UGEM, the owner of the e-mail domain and the
IP address of the mail	server)	
We undertake to p	rovide data on the ema	il domain, contact details, documents and create
conditions for a fault-t	colerant connection to the	ne UGEM.
Provision of cor	ntacts of technical spec	cialists on behalf of the user (surname, name,
patronymic, mobile an	nd office phone, e-mail	
		<u></u>
(surname, name, pa	atronymic, signature of	the head of organization)
Seal (if any) "	" 	_ 20

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