

On approval of the rules for keeping records of healthcare entities providing medical care within the guaranteed scope of free medical care and (or) within the compulsory social health insurance system

Unofficial translation

Order of the Minister of Healthcare of the Republic of Kazakhstan № KR DSM-186/2020dated November 6, 2020. Registered with the Ministry of Justice of the Republic of Kazakhstan on November 11, 2020 under № 21619.

Unofficial translation

In conformity with sub-paragraph 67 of Article 7 of the Code of the Republic of Kazakhstan of July 7, 2020 "On Public Health and the Health Care System", **I HEREBY ORDER**:

- 1. That the attached rules for keeping records of healthcare subjects providing medical care within the guaranteed volume of free medical care and (or) in the system of compulsory social medical insurance shall be approved.
- 2. That in accordance with the procedure established by the legislation of the Republic of Kazakhstan, the Department for Coordination of Mandatory Social Health Insurance of the Ministry of Healthcare of the Republic of Kazakhstan shall provide:
 - 1) the state registration hereof with the Ministry of Justice of the Republic of Kazakhstan;
- 2) the placement hereof on the website of the Ministry of Healthcare of the Republic of Kazakhstan after its official publication;
- 3) within ten working days after state registration hereof, submission to the Legal Department of the Ministry of Health of the Republic of Kazakhstan of information on the implementation of activities stipulated by sub-paragraphs 1) and 2) of this paragraph.
- 3. That the First Vice-Minister of Healthcare of the Republic of Kazakhstan, M.Y. Shoranova shall be charged with control over execution hereof.
- 4. This order shall be put into effect ten calendar days after the date of its first official publication.

Minister of Healthcare of the Republic of Kazakhstan

A. Tsoy

Approved by order of the Minister of Healthcare of the Republic of Kazakhstan № KR DSM-186/2020 dated 06.11.2020

The Rules for maintaining records of healthcare entities providing medical care within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system

Footnote. Rules - as amended by the order of the acting Minister of Healthcare of the Republic of Kazakhstan dated 17.08.2023 № 145 (shall come into effect upon the expiration of ten calendar days after the day of its first official publication).

Chapter 1. General provisions

- 1. These Rules for maintaining records of healthcare entities providing medical care within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system (hereinafter referred to as the Rules) have been developed in accordance with subparagraph 67) of Article 7 of the Code of the Republic of Kazakhstan "On Public Health and Healthcare System" (hereinafter referred to as the Code) and shall determine the procedure for maintaining records of healthcare entities providing medical care within the framework of the guaranteed volume of free medical care (hereinafter referred to as the Guaranteed volume of free medical care) and (or) in the compulsory social health insurance system (hereinafter referred to as the Compulsory social health insurance system).
 - 2. These Rules shall use the following basic concepts:
- 1) social health insurance fund (hereinafter referred to as the Fund) a non-profit organization that accumulates deductions and contributions, as well as purchases and pays for the services of healthcare entities providing medical care in the volumes and on the conditions stipulated by the contract for the purchase of medical services, and other functions, determined by the laws of the Republic of Kazakhstan;
- 2) co-executor a healthcare entity included in the database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system (hereinafter referred to as the Database), with which the supplier entered into a co-execution agreement to fulfill part of the supplier's obligations under the concluded agreement procurement of medical services within the framework of the guaranteed volume of medical care or in the compulsory medical insurance system or a contract for the purchase of services for additional provision of the guaranteed volume of medical care;
- 3) co-execution agreement an agreement in writing between the supplier and the healthcare entity, providing for the provision of medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system to fulfill part of the supplier's obligations under the concluded contract for the purchase of services or additional provision of the guaranteed volume of medical care;

- 4) authorized body in the field of healthcare (hereinafter referred to as the Authorized body) the central executive body exercising leadership and intersectoral coordination in the field of protecting the health of citizens of the Republic of Kazakhstan, medical and pharmaceutical science, medical and pharmaceutical education, sanitary and epidemiological welfare of the population, circulation of medicines and medical products, quality of medical services (assistance);
- 5) healthcare entities healthcare organizations, as well as individuals engaged in private medical practice and pharmaceutical activities;
- 6) database a list of healthcare entities applying for medical care provision within the framework of the State Guaranteed Volume of Medical Care and (or) the Compulsory Medical Insurance, formed by the fund in accordance with these Rules;
- 7) web portal for the procurement of services from healthcare entities (concerning the Rules) (hereinafter referred to as the Web portal) an information system that provides a single point of access to electronic services for the procurement of services from healthcare entities within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system;
- 8) supplier a healthcare entity with which the fund or administrator of budget programs has agreed on the purchase of medical services within the framework of the guaranteed volume of medical care or in the compulsory medical insurance system or an agreement for the purchase of services for additional provision of the guaranteed volume of medical care in accordance with the order of the Minister of Healthcare of the Republic of Kazakhstan dated December 8, 2020, № KR DSM-242/2020 "On approval of the rules for the procurement of services from healthcare entities for the provision of medical care within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system" (registered in the State Register of Normative Legal Acts under № 21744) (hereinafter referred to as Procurement rules);
- 9) contract for the purchase of services for additional provision of the guaranteed volume of medical care (hereinafter referred to as the Contract for the purchase of services) an agreement in writing between the administrator of budget programs and the healthcare entity, providing for the provision of medical care within the framework of the guaranteed volume of medical care;
- 10) contract for the purchase of medical services within the framework of the guaranteed volume of medical care or in the compulsory medical insurance system (hereinafter referred to as the Contract for the purchase of services) an agreement in writing between the fund and the healthcare entity, providing for the provision of medical care within the framework of the guaranteed volume of medical care or in the compulsory medical insurance system;
- 11) digital healthcare entity a legal entity carrying out activities or entering into public relations in terms of information and technical support of healthcare information systems, including organizational and methodological work with healthcare entities;

- 12) electronic document a document in which information is presented in electronic digital form and certified employing an electronic digital signature;
- 13) electronic digital signature (hereinafter referred to as EDS) a set of electronic digital symbols created using an electronic digital signature and confirming the authenticity of the electronic document, its ownership and immutability of content.

Chapter 2.

The procedure for maintaining records of healthcare entities providing medical care within the framework

of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system

- 3. Maintaining records of healthcare entities providing medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system (hereinafter referred to as the Registration of healthcare entities) shall be carried out by the fund.
 - 4. Registration of healthcare entities shall be carried out through:
 - 1) formation and updating of the database;
 - 2) formation and updating of the list of healthcare entities excluded from the database;
 - 3) formation and updating of the list of co-executors.
- 5. The formation of the database shall be carried out by the fund on the web portal on an ongoing basis based on applications submitted by healthcare entities for inclusion (updating) in the database(s) of healthcare entities applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system under form in accordance with Appendix 1 to these Rules (hereinafter referred to as the Application).
- 6. The application shall be submitted by the healthcare entity at the location of the production base(s) located in the corresponding administrative-territorial unit (region, city of republican significance, capital), on the web portal in electronic form, certified by the digital signature of the head of the healthcare entity or person authorized by him in cases of:
- 1) availability of a license for medical activities and annexes to it, confirming the right to provide relevant medical services at the location of the production base of the healthcare entity in accordance with the Law of the Republic of Kazakhstan "On Permits and Notifications" (hereinafter referred to as the Law), registered in the information system "E-Licensing" State Database"(hereinafter referred to as the "E-licensing" SDB);
 - 2) non-involvement in bankruptcy or liquidation proceedings;
- 3) absence of restrictions on inclusion in the database in accordance with subparagraphs 2), 3), 4), 6) and 9) of paragraph 17 of these Rules;
- 4) availability of a license for pharmaceutical activities and annexes to it, confirming the right to manufacture medicinal products and (or) retail sale of medicinal products at the

location of the production base(s) of the healthcare entity applying to provide medical care within the framework of State guaranteed volume of medical care and (or) in the compulsory medical insurance system in accordance with the Law and Rules for organizing the provision of medical care, established by the Code and other regulatory legal acts in the field of healthcare, registered in "E-licensing" SDB;

- 5) availability of a license to handle devices and installations that generate ionizing radiation and appendices to it, confirming the right to use devices and installations that generate ionizing radiation at the location of the production base(s) of the healthcare entity applying (claiming) to provide medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in accordance with the Law and the rules for organizing the provision of medical care, established by the Code and other regulatory legal acts in the field of healthcare, registered in "E-licensing" SDB;
- 6) availability of a license to carry out activities in the field of trafficking in narcotic drugs, psychotropic substances, and precursors and appendices to it, confirming the right to carry out activities related to the trafficking of narcotic drugs, psychotropic substances and precursors in the field of health care at the location of the production base(s) of the healthcare entity applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in accordance with the Law and the rules for organizing the provision of medical care established by the Code and other regulatory legal acts in the field of healthcare, registered in "E-licensing" SDB;
- 7) availability of a permit in the field of sanitary and epidemiological welfare of the population issued in accordance with the order of the Minister of Healthcare of the Republic of Kazakhstan dated December 30, 2020, № KR DSM-336/2020 "On some issues of the provision of public services in the field of sanitary and epidemiological welfare of the population" (registered in the State Register of Normative Legal Acts under № 22004) (for an object of high epidemiological significance a copy of the sanitary and epidemiological conclusion on the compliance of the object of high epidemiological significance with regulatory legal acts in the field of sanitary and epidemiological welfare of the population or its electronic form from the state electronic register of permits and notifications; for an object of minor epidemic significance a copy or electronic form of notification about the start of activities (operation) of an object of minor epidemic significance from the state electronic register of permits and notifications (hereinafter referred to as the Permitting document in the field of sanitary and epidemiological welfare of the population) to the productionbase(s) of a healthcare entity applying for the provision of medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system.

A healthcare entity that has a branch, representative office or another separate structural unit shall apply to a supplier and (or) co-executor indicating all available production bases applying for the provision of medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system.

Healthcare entities under the jurisdiction of local executive bodies of regions, cities of republican significance and the capital, regardless of the location of the production base, shall apply to the territory of these local executive bodies.

- 7. Healthcare entities shall attach to the application the following:
- 1) information on state registration (re-registration) of a legal entity (for a legal entity) or a copy of the certificate (statement) of registration as an individual entrepreneur and a copy of an identity document (for an individual);
- 2) documents specified in subparagraphs 1), 4), 5) and 6) of paragraph 6 of these Rules, which are attached to the application through integration with the E-Licensing SDB;
- 3) copy(ies) of the permit(s) in the field of sanitary and epidemiological welfare of the population for the production base(s) of the healthcare entity applying to provide medical care within the framework of the guaranteed volume of medical care and (or) in the system CSHI (compulsory social health insurance);
 - 4) a copy of the power of attorney when signing and (or) applying by the head's attorney;
- 5) consent to enter data and update them in the "Resource Management System" information system (hereinafter referred to as "RMS" IS), "Medical Equipment Management System" information system (hereinafter referred to as "MEMS" IS) (all healthcare entities), in the portal "Register of assigned population" (hereinafter referred to as the "RAP" Portal) (healthcare entities applying for the provision of primary healthcare) in the form in accordance with Appendix 2 to these Rules (hereinafter referred to as Consent according to the "RMS" IS, "RMS" IS and the "RAP" Portal).
- 8. The Fund, within three working days from the date the healthcare entity applies, shall review it for compliance with paragraphs 6 and 7 of these Rules.

If the application complies with paragraphs 6 and 7 of these Rules, the healthcare entity on the web portal shall be included in the database or update information on the declared type (s), form(s) of medical care, the condition(s) of its provision within the framework of the guaranteed volume of medical care and (or) in the compulsory health insurance system and the healthcare entity, a notification shall be sent to the inclusion (updating) in the database(s) of healthcare entities applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in the form in accordance with Appendix 3 to these Rules in electronic form, certified by the digital signature of an authorized person of the fund.

If the application does not comply with paragraphs 6 and 7 of these Rules, a notification shall be sent to the healthcare entity on the web portal about the rejection of the application for inclusion (updating) in the database(s) of healthcare entities applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system under form in accordance with Appendix 4 in electronic form, certified by the digital signature of an authorized person of the fund, indicating one of the following reasons:

- 1) absence (inconsistency) of a license for medical activities and (or) annexes to it for the declared forms (types) of medical care, the condition(s) of its provision within the framework of the State Fund for Medical Care and (or) in the compulsory medical insurance system;
- 2) absence (inconsistency) of a license for pharmaceutical activities and (or) applications confirming the right to manufacture medicinal products and (or) retail sale of medicinal products at the location of the production base(s) of the healthcare entity applying (claiming) to provide medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in accordance with the Law and the rules for organizing the provision of medical care established by the Code and other regulatory legal acts in the field of healthcare, registered in "E-licensing" SDB;
- 3) absence (inconsistency) of a license for handling devices and installations that generate ionizing radiation and (or) annexes to it confirming the right to use devices and installations that generate ionizing radiation at the location of the production base(s) of the healthcare entity, applying for the provision of medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in accordance with the Law and the rules for organizing the provision of medical care established by the Code and other regulatory legal acts in the field of healthcare, registered in "E-licensing" SDB;
- 4) absence (inconsistency) of a license to carry out activities in the field of trafficking in narcotic drugs, psychotropic substances, and precursors and (or) annexes to it, confirming the right to carry out activities related to the trafficking of narcotic drugs, psychotropic substances and precursors in the field of healthcare at the location of the production base(s) of a healthcare entity applying (claiming) to provide medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in accordance with the Law and the rules for organizing the provision of medical care established by the Code and other regulatory legal acts in the field of healthcare, registered in "E-licensing" SDB;
- 5) absence (inconsistency) of the attached copy of the permit in the field of sanitary and epidemiological well-being of the population for the production base(s) of the healthcare entity applying (claiming) to provide medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system;
 - 6) carrying out a bankruptcy or liquidation procedure for a healthcare entity;
- 7) absence of information on state registration (re-registration) of a legal entity (for a legal entity) or a certificate (statement) of registration as an individual entrepreneur and an identity document (for an individual);
- 8) inconsistency (unreliability) of the documents submitted by the healthcare entity and (or) the data (information) contained therein with the data specified in the application;

- 9) the presence of restrictions on inclusion in the database in connection with the exclusion of a healthcare entity from the database on the grounds in accordance with subparagraphs 2), 3), 4), 6) and 9) of paragraph 17 of these Rules;
 - 10) based on a court decision that has entered into legal force;
- 11) absence of agreement according to the data from the "RMS" IS, "MEMS" IS and the "RAP" Portal;
- 12) absence of a copy of the power of attorney when signing and (or) applying by the head's attorney.
- 9. Applications shall be automatically registered on the web portal and assigned continuous numbering.
- 10. The database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in the form in accordance with Appendix 5 to these Rules shall be formed in electronic form on a web portal and posted by the fund on the fund's Internet resource.

Submission of an application for inclusion in the database by a healthcare entity shall be an expression of the consent of the healthcare entity to comply with the norms of these Rules, the Procurement Rules, the order of the Minister of Healthcare of the Republic of Kazakhstan dated December 20, 2020, № KR DSM-291/2020 "On approval of the Rules for payment for services of healthcare entities within the framework of guaranteed volume of free medical care and (or) in the compulsory social health insurance system" (registered in the State Register of Normative Legal Acts under № 21831) and the order of the Acting Minister of Healthcare of the Republic of Kazakhstan dated December 24, 2020, № KR DSM-321/2020 "On approval of the Rules for monitoring the implementation of the terms of the contract for the purchase of medical services from healthcare entities within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system" (registered in the State Register of Normative Legal Acts under № 21904).

The authorized body and local public health authorities of regions, cities of republican significance and the capital (hereinafter referred to as the Healthcare department) shall provide on their Internet resources a link to the database generated on the web portal.

11. The healthcare departments shall annually for the coming year, before October 1 of the current year, issue an order to determine the bed capacity of healthcare entities, including the bed capacity in the context of the profiles of beds in inpatient and (or) hospital-replacement conditions within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in the form according to Appendix 6 to these Rules (hereinafter referred to as the Order on bed capacity).

The order for bed capacity shall be sent to the fund and the digital healthcare entity and posted on the Internet resources of the fund and healthcare departments.

12. Healthcare entities included in the database receive access to the "RMS" IS and "MEMS" IS (all healthcare entities) and to the "RAP" Portal (healthcare entities claiming to

provide primary healthcare), provided by the subject of digital healthcare within three working days from the date of receipt from the fund of information about healthcare entities included in the database.

Healthcare entities, within ten working days after receiving access to the "RMS" IS, "MEMS" IS and the "RAP" Portal, shall enter:

- 1) in the "RMS" IS information on the bed capacity in the context of the profiles of beds in inpatient conditions and information on beds in inpatient conditions within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system;
- 2) in the "RMS" IS information on the buildings of healthcare facilities at the addresses of production bases in accordance with the appendix (appendices) to the license for medical activities issued in accordance with the Law;
- 3) in "RMS" IS information on personnel in accordance with the appendix (appendices) to the license for medical activities issued in accordance with the Law;
 - 4) in the "RAP" Portal information on areas where the population shall be attached;
 - 5) in "MEMS" IS information on medical devices.

Access to healthcare information systems, in addition to those specified in part one of this paragraph, shall be provided to healthcare entities that have entered into a service procurement agreement with the fund or an agreement for additional provision of the guaranteed volume of medical care with the administrator of budget programs, and their co-executors.

- 13. Healthcare departments shall annually, from October 1 to October 15, monitor healthcare entities included in the database:
- 1) in the "RMS" IS information on the bed capacity in the context of the profiles of beds in inpatient conditions or beds in hospital-substituting conditions within the framework of the Statewide Fund for Medical Care and (or) in the compulsory medical insurance system in accordance with the Order on the bed capacity;
- 2) in the "RMS" IS information on buildings of healthcare facilities for compliance, at the addresses of production bases in accordance with the appendix (appendices) to the license for medical activities issued in accordance with the Law;
- 3) in "RMS" IS information on personnel for compliance with the annex to the license for medical activities issued in accordance with the Law.

If a fact of discrepancy in information for a healthcare entity is identified in accordance with part one of this paragraph, the healthcare department shall send a written notification to the healthcare entity, the fund and the digital healthcare entity about the identified inconsistencies in the "RMS" IS (hereinafter referred to as the Notification according to the "RMS" IS information).

The healthcare entity shall, by October 31 of the current year, eliminate inconsistencies in accordance with the notification according to the information from the "RMS" IS and notify

in writing the healthcare department, the fund and the digital healthcare entity about the elimination of inconsistencies according to the notification according to the information from the "RMS" IS.

Until November 20 of the current year, the fund shall monitor the elimination of inconsistencies by the healthcare entity according to the notification according to the information from the "RMS" IS.

- 14. The database shall be updated by the fund on the web portal on an ongoing basis based on applications submitted by healthcare entities in accordance with paragraphs 8 and 9 of these Rules, as well as on the grounds provided for in paragraph 17 of these Rules, and provide for changes to the information contained in the database.
- 15. Every year, from October 1 to October 31 of the current year, healthcare entities included in the database as suppliers shall apply to update information in the database.

During the year, healthcare entities shall update the information contained in the database no later than five working days from the date of changes.

- 16. If the fund or administrator of budget programs identifies cases of changes in the information contained in the database, the fund or administrator of budget programs shall within three working days notify the healthcare entity in writing of the need to update the data in the database by applying the web portal.
- 17. Exclusion of healthcare entities from the database at the location of the production base(s) located in the corresponding administrative-territorial unit (region, city of republican significance, capital) by type(s), form(s) of medical care, condition(s) of its provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system, according to which the healthcare entity is included in the database, shall be carried out by the fund in the following cases:
- 1) as a supplier and co-executor upon termination by the fund of a contract for the purchase of services or by the administrator of budget programs of the contract for additional provision of the guaranteed volume of medical care during the liquidation or reorganization of a healthcare entity (if necessary) and in the event of a change in the form of ownership or its legal form, which involves the exclusion of the healthcare entity from the database within ten working days from the date of termination of the contract for the purchase of services or the contract for additional provision of the guaranteed volume of medical care;
- 2) as a supplier and co-executor upon termination by the fund of a contract for the purchase of services or by the administrator of budget programs of the contract for additional provision of the Statewide Fund for Medical Care, if the financial and economic activities of the healthcare entity are suspended in accordance with the legislation of the Republic of Kazakhstan within ten working days from the date of termination of the contract for the purchase of services or contract additional provision of guaranteed volume of medical care;

or agreements for additional provision of guaranteed volume of medical care;

- 4) as a supplier and co-executor when the fund terminates the contract for the purchase of services or the administrator of budget programs of the contract for additional provision of the guaranteed volume of medical care unilaterally on the initiative of a healthcare entity within ten working days from the date of termination of the contract for the purchase of services or the contract for the additional provision of the guaranteed volume of medical care;
- 5) as a supplier and co-executor upon termination by the fund of a contract for the purchase of services or by the administrator of budget programs of the contract for additional provision of the guaranteed volume of medical care, if the healthcare entity provided inaccurate data and (or) information containing false information about the activities of a legal entity or individual within ten working days from the day of termination of the contract for the purchase of services or the contract for additional provision of the guaranteed volume of medical care;
- 6) as a supplier and co-executor upon termination by the fund of a contract for the purchase of services or by the administrator of budget programs of the contract for additional provision of the guaranteed volume of medical care based on a court decision on the implementation of medical activities in accordance with the Law within ten working days from the date of termination of the contract for the purchase of services or the contract for additional provision of the guaranteed volume of medical care;
- 7) as a supplier and co-executor in the event of liquidation, reorganization, termination of the activity of a healthcare entity (if necessary), a change in the form of ownership or its organizational and legal form or a change in the type of activity that involves the exclusion of a healthcare entity from the database that is not a supplier within ten working days from the date of discovery of the fact of termination of his legal capacity and capacity by exclusion from the state register of legal entities or changing registration data in the state register of legal entities;
- 8) as a supplier and co-executor when a healthcare entity that is not a supplier provides false data and (or) information containing false information about the activities of a legal or individual entity when included in the database within ten working days from the date of detection of the fact of providing false information data and (or) information containing false information about the activities of a legal entity or individual;
- 9) as a co-executor, if the provision of services under a co-execution agreement served as the basis for unilateral termination by the fund or the administrator of budget programs of the contract for the purchase of services due to non-fulfilment, untimely or improper fulfilment of the terms of the contract for the purchase of services or the contract for additional provision of the state volume of free medical care within ten working days from the date of termination of the contract for the purchase of services or the contract for additional provision of the guaranteed volume of medical care;
- 10) as a supplier and (or) co-executor at the initiative of a healthcare entity in accordance with paragraph 19 of these Rules;

- 11) as a supplier, if the healthcare entity, within three years from the date of inclusion in the database, did not agree with the fund for the purchase of services and (or) the administrator of the budget programs of the agreement for additional provision of the guaranteed volume of medical care within thirty calendar days after three years from the date of inclusion to the database;
- 12) as a supplier and co-contractor in the absence (inconsistency) of the information entered into the "RMS" IS, in the "MEMS" IS (all healthcare entities) and in the "RAP" Portal (healthcare entities applying to provide primary healthcare) in accordance with paragraph 12 of these Rules after thirty calendar days from the date of inclusion in the database.

If the administrator of budget programs terminates the contract for additional provision of the guaranteed volume of medical care on the grounds of this paragraph, the administrator of the budget programs notifies the fund in writing within three working days from the date of termination of the contract for additional provision of the guaranteed volume of medical care, indicating the reason for excluding the healthcare entity from the database.

- 18. When excluding a healthcare entity from the database, the fund, within one working day from the date of exclusion from the database, shall send to the healthcare entity a notification about the exclusion of the healthcare entity from the database of healthcare entities applying for medical care provision within the framework of the State Fund for Medical Care and (or) in the system Compulsory medical insurance or type(s), form(s) of medical care, condition(s) for its provision under which the healthcare entity is included in the database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system, in the form according to Appendix 7 to these Rules (hereinafter referred to as the Notice of exclusion) on the web portal in electronic form, certified by the digital signature of the authorized person of the fund, indicating the reason for the exclusion according to the grounds specified in paragraph 17 of these Rules.
- 19. To exclude a healthcare entity from the database on the initiative of the healthcare entity itself, the healthcare entity shall apply to exclusion from the database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in the form in accordance with Appendix 8 to these Rules (hereinafter referred to as the Application for exclusion) at the location of the production base(s) located in the relevant administrative-territorial unit (region, city of republican significance, capital), on the web portal in electronic form, certified by the digital signature of the head of the healthcare entity or a person authorized by him in the absence of current ones:
 - 1) contracts for the purchase of services;
 - 2) contracts for additional provision of guaranteed volume of medical care;
 - 3) co-execution agreements.
 - 20. The healthcare entity shall attach to the application for exclusion the following:

- 1) information on state registration (re-registration) of a legal entity (for a legal entity) or a copy of the certificate (statement) of registration as an individual entrepreneur and a copy of an identity document (for an individual);
 - 2) a copy of the power of attorney when signing and (or) applying by the head's attorney.
- 21. The web portal shall automatically register applications for exclusion and assign continuous numbering.
- 22. The Fund, shall within three working days from the date the healthcare entity applies to exclusion, consider it for compliance with paragraphs 19 and 20 of these Rules.

If the application for exclusion complies with paragraphs 19 and 20 of these Rules, the healthcare entity shall be excluded on the web portal from the database according to the declared type(s), form(s) of medical care, condition(s) of its provision within the framework of the State Fund for Medical Care and (or) the compulsory health insurance system and the healthcare entity shall be sent a notification of exclusion in electronic form, certified by the digital signature of an authorized person of the fund.

If the application for exclusion does not comply with paragraphs 19 and 20 of these Rules, a notification shall be sent to the healthcare entity on the web portal about the rejection of the application for exclusion from the database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in the form according to Appendix 9 to these Rules in electronic form, certified by the digital signature of an authorized person of the fund, indicating one or more of the following reasons:

- 1) absence of information about state registration (re-registration) of a legal entity (for a legal entity) or a certificate (statement) of registration as an individual entrepreneur and an identity document (for an individual);
- 2) inconsistency (unreliability) of the documents submitted by the healthcare entity and (or) the data (information) contained therein with the data specified in the application for exclusion;
 - 3) availability of valid purchase agreements;
- 4) availability of existing contracts for additional provision of guaranteed volume of medical care;
 - 5) availability of valid co-execution agreements;
- 6) absence of a copy of the power of attorney when signing and (or) applying by the head's attorney.
- 23. The list of healthcare entities excluded from the database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system (hereinafter referred to as the List of healthcare entities excluded from the database) shall be generated in electronic form on the web portal and posted by the fund on the fund's Internet resource in the form in accordance with Appendix 10 to these Rules.

- 24. The list of healthcare entities excluded from the database shall be updated by the foundation on an ongoing basis on the foundation's Internet resource.
- 25. A supplier excluded from the database on the grounds provided for in subparagraphs 3), 4) and 9) of paragraph 17 of these Rules shall not be included in the database as a supplier and (or) co-contractor for three years from the date of its exclusion from the database.
- 26. The list of co-executors applying for the provision of medical care within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system under a co-execution agreement (hereinafter referred to as the List of co-executors) is formed in accordance with Appendix 11 to these Rules in electronic form on the web portal and is posted by the fund on the Internet resource fund.
- 27. The list of co-executors shall be updated by the fund on an ongoing basis on the fund's Internet resource based on applications submitted by healthcare entities for inclusion in the database as a co-executor.
- 28. Healthcare departments shall post on their Internet resources links to the list of suppliers, the list of co-executors and the list of healthcare entities excluded from the database, published on the web portal and Internet resource of the fund.
- 29. The healthcare entity shall, within thirty calendar days from the date of inclusion in the database, enter on the web portal the following:
- 1) information on the provision of medical services within the framework of specialized medical care in an outpatient setting within the framework of the Statewide Volume of Medical Care and (or) in the compulsory medical insurance system in the form in accordance with Appendix 12 to these Rules;
- 2) information on the provision of medical services within the framework of specialized medical care in inpatient and hospital-substituting conditions within the framework of the Statewide Volume of Medical Care and (or) in the compulsory medical insurance system in the form in accordance with Appendix 13 to these Rules;
- 3) information on the provision of high-tech medical services within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in the form in accordance with Appendix 14 to these Rules.

The information specified in part one of this paragraph shall be available for the services provided in the public domain on the web portal for viewing and shall be constantly updated by healthcare entities.

30. A healthcare entity included in the database as a supplier, when concluding co-execution agreements with healthcare entities included in the database, shall provide the fund in paper form or enter information on the web portal every month before the 25th day following the reporting period. concluded agreements for co-execution of agreements for the purchase of medical services within the framework of the guaranteed volume of medical care and (or) in the compulsory medical insurance system in the form in accordance with Appendix 15 to these Rules.

Appendix 1
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

Form

| of l | plication for inclusion (updating) in the database(s) nealthcare entities applying for medical care provision within the framework the guaranteed volume of free medical care and (or) in the compulsory nial health insurance system № |
|------|---|
| | 1. To |
| ") | (name of the branch of the non-profit joint-stock company "Social Health Insurance Fund from |
| | (name of the healthcare entity) |
| | (BIN* of the healthcare entity (IIN** for an individual)) |
| | (a form of ownership of the healthcare entity) |
| | (name of the region, city of republican significance or capital) |
| | (legal address of the healthcare entity) |
| | (last name, first name, patronymic (if any) of the head of the healthcare entity) |
| | (contact numbers, email address of the healthcare entity) |

guaranteed volume of free medical care and (or) in the system compulsory social health insurance as (specify as required):

provider according to the following type(s), following form(s) of medical care, condition(

2. The healthcare entity claims to provide medical care within the framework of a

provider according to the following type(s), following form(s) of medical care, condition(s) of its provision:

| № | Type, form of medical care or conditions for its provision | Subtype of type, form of medical care or conditions for its provision |
|---|--|---|
| 1 | 2 | 3 |
| 1 | | |

co-executor for the following type(s), the following form(s) of medical care, the condition (s) of its provision:

| N₂ | Type, form of medical care or conditions for its provision | Subtype of type, form of medical care or conditions for its provision |
|----|--|---|
| 1 | 2 | 3 |
| 1 | | |

3. The specified medical services are subject to provision to the population at the following production base(s):

| Nº | Name of the region, city of republican significance or capital | Production base address | Latitude | Longitude | CATO *** of production base |
|----|--|-------------------------|----------|-----------|-----------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | | | | | |

- 4. By this application, the healthcare entity shall confirm the absence of violations of the standards imposed by the order of the Minister of Healthcare of the Republic of Kazakhstan dated November 6, 2020, № KR DSM-186/2020 "On approval of the Rules for maintaining records of healthcare entities providing medical care within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system" (registered in the State Register of Normative Legal Acts under № 21619) to healthcare entities for inclusion or updating of the database, and the reliability of the information provided.
 - 5. The following documents shall be attached to this application (indicate only the attached documents):
 - 1) __ sheets;
 - 2)...;

Note:* business identification number;** individual identification number;*** classifier of administrative-territorial objects.

| | | _, |
|------|--|----|
| | (position, surname, name, patronymic (if any) of the head of the healthcare entity | or |
| pers | son authorized by him, signature) | |

| Date of comp | pletion |
|--------------|---------|
| | |

Appendix 2 to the Rules for keeping records of healthcare entities providing medical care within the guaranteed volume Form

Consent on entering data and updating it in the

"Resource Management System" information system, "Medical Equipment Management System" information system (all healthcare entities), in the "Register of Attached Population" portal (healthcare entities applying for primary healthcare) № _____

| (nam | ne of the healthcare entity) |
|-------|---|
| (BIN | * of the healthcare entity (IIN** for an individual)) |
| (a fo | rm of ownership of the healthcare entity) |
| (nam | ne of the region, city of republican significance or capital) |
| (lega | l address of the healthcare entity) |
| (last | name, first name, patronymic (if any) of the head of the healthcare entity) |

(contact numbers, email address of the healthcare entity)

- 2. Enter data into healthcare information systems within ten working days after the day of gaining access to them, as well as on an ongoing basis keep data up to date:
 - 1) "Resource Management System" information system: information about the healthcare organization;

information on bed capacity in terms of bed profiles in inpatient settings and information on beds in hospital-replacement conditions within the framework of guaranteed volume of free medical care and (or) in the system of compulsory social health insurance;

information on buildings of healthcare facilities at the addresses of production bases annex to the license for medical activities issued in accordance with the Law;

information on the functional structure of the healthcare organization; information on the approved staffing table; information on personnel composition; information on advanced training of medical workers;

2) "Medical equipment management system" information system: information on medical devices;

| 3) "Register of the assigned population" information system: information on areas of attachment of the population. Note: * business identification number; ** individual identification number. | | | | | | | |
|---|---|--|--|--|--|--|--|
| Note: * business identification number | ; ** individual identification number. | | | | | | |
| (position, surname, first name, patronymic (if any) of the head of the healthcare entity or person authorized by him, signature) | | | | | | | |
| Date of completion | Appendix 3 to the Rules for keeping records of healthcare entities providing medical care within the guaranteed volume of free medical care and (or) within the compulsory social health insurance system | | | | | | |
| | atabase(s) of provision within the framework of the guaranteed compulsory social health insurance system № | | | | | | |
| (indicate the name of the branch of joint-stock company) hereby notifies | of the "Social Health Insurance Fund" non-profit | | | | | | |
| within the guaranteed volume of free m social medical care insurance based or Healthcare of the Republic of Kazakhstan On approval of the Rules for maintaining assistance within the guaranteed volume | se(s) of healthcare entities, providing medical care edical care and (or) in the system of compulsory a compliance with the order of the Minister of a dated November 6, 2020, № KR DSM-186/2020 "g records of healthcare entities providing medical of free medical care and (or) in the compulsory ed in the State Register of Normative Legal Acts | | | | | | |
| (name of the branch of the non-profit j | joint stock company "Social Health Insurance Fund" | | | | | | |
| (signature, last name, first name, patro Place of stamp (if any) Date of completion | nymic (if any) | | | | | | |

Appendix 4
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

Form

Notice of rejection of an application for inclusion (updating) in the database(s) of healthcare entities applying for medical care provision within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system №

(indicate the name of the branch of the "Social Health Insurance Fund" non-profit joint-stock company)
hereby notifies

(indicate the name of the healthcare entity)
on the rejection of an application for inclusion (updating) in the database(s) of healthcare entities,
providing medical care within the framework of guaranteed volume of free medical care and (or)
in the compulsory social health insurance in connection with

(indicate the reason for deviation)
based on paragraph

(indicate item number)
of the Order of the Minister of Healthcare of the Republic of Kazakhstan dated November

of the Order of the Minister of Healthcare of the Republic of Kazakhstan dated November 6, 2020,

№ KR DSM-186/2020 "On approval of Rules for maintaining records of healthcare entities, providing

medical care within the framework of guaranteed volume of free medical care and (or) in the compulsory

social health insurance" (registered in the State Register of Normative Legal Acts under N_{2} 21619).

Head (person authorized by him) of the branch

(name of the branch of the non-profit joint stock company "Social Health Insurance Fund"

(signature, last name, first name, patronymic (if any) Place of stamp (if any) Date of completion

> Appendix 5 to the Rules for keeping records of healthcare entities providing medical care within the guaranteed volume of free medical care and (or) within the compulsory social health insurance system

Form

Database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system

| № | BIN* (IIN **) | Name of healthcare entity | Legal address | Address of the location of the production n base*** | Form of ownership of the healthcare entity | Region to which populatio n services will be provided | Database inclusion date | Database exclusion date | The expiration date for exclusion from the database |
|---|------------------|---------------------------------|------------------|---|--|--|-------------------------------|-------------------------------|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |

Table continuation

| Reason f o r exclusion | Last modified date | Type/ Form of medical care (Provider) | Subtype/ form of medical care (Provider) | Type/ Form of medical care (Co-execut or) | form of medical | Contact informati on (phone number, email address) | , first | Healthcare status Provider | Co-execut |
|------------------------------|--------------------------|--|---|--|-----------------|--|---------|-----------------------------|-----------|
| 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |

Note:

Appendix 6
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

Form

^{*} business identification number; ** individual identification number; *** the address(es) of the production base(s) shall be indicated in accordance with the annex to the license for medical activities.

| | ICU | | | | |
|----------|----------------------------------|---------------------------|----------------------------------|--|----------------|
| able 1 - | ted "_"Bed capacity by | profile for the r | provision of in | (location | ŕ |
| <u>o</u> | BIN* (IIN**) | Name of healthcare entity | Bed profile*** | Number of 24- hour hospital beds | I |
| | 2 | 3 | 4 | 5 | 6 |
| | Total for the reg | ion: | X | | |
| 1. | X | X | | | |
| 2. | X | X | | | |
| | Total: | | X | | |
| 1. | | | | | |
| 2. | | | | | |
| able 2 | - Day stay bed for BIN* (IIN**) | Name of | Day hospital bed Day hospital at | | Number of beds |
| - | Bit (iii) | healthcare entity | the hospital | Day hospital at the clinic | renovation |
| | 2 | 3 | 4 | 5 | 6 |
| | Total for the reg | ion: | | | |
| 1. | X | X | | | |
| 2. | X | X | | | |
| | Total: | ' | | | |
| 1. | | | | | |
| .2. | | | | | |
| Note | • | | | | |
| busines | ss identification n | umber;** indi | vidual identifi | cation number | ;*** bed pro |
| <u>o</u> | | , | Bed profile name | | , 1 - |
| | | | Therapeutic | | |
| | | | Surgical | | |
| | | | Pediatric | | |
| | | | 1 Edianic | | |

Specialized

5

| 6 | General |
|----|---|
| 7 | Therapeutic |
| 8 | Cardiology for adults |
| 9 | Cardiology for children |
| 10 | Gastroenterology for adults |
| 11 | Gastroenterology for children |
| 12 | Allergological for adults |
| 13 | Allergological for children |
| 14 | Endocrinological for adults |
| 15 | Endocrinological for children |
| 16 | Infectious for adults |
| 17 | Infectious for children |
| 18 | Haematology for adults |
| 19 | Haematology for children |
| 20 | Nephrology for adults |
| 21 | Nephrology for children |
| 22 | Surgical for adults |
| 23 | Surgical for children |
| 24 | Neurosurgical for adults |
| 25 | Neurosurgical for children |
| 26 | Thoracic surgery for adults |
| 27 | Thoracic surgery for children |
| 28 | Traumatology for adults |
| 29 | Traumatology for children |
| 30 | Orthopaedic for adults |
| 31 | Orthopedic for children |
| 32 | Urological for adults |
| 33 | Urological for children |
| 34 | Oncology for adults |
| 35 | Oncological for children |
| 36 | For pregnant women and women in labour (except for pathologies of pregnancy) |
| 37 | Pathologies of pregnancy |
| 38 | Gynaecological for adults, including abortions |
| 39 | Tuberculosis for adults |
| 40 | Tuberculosis for adult patients with extrapulmonary including osteoarticular tuberculosis |
| 41 | Tuberculosis for children |
| 42 | Tuberculosis for sick children with extrapulmonary including osteoarticular, tuberculosis |
| 43 | Neurological for adults |
| 44 | Neurological for children |

| 45 | Psychiatric (psychoneurological) for adults |
|----|---|
| 46 | Psychiatric (psychoneurological) for children |
| 47 | Narcological for adults |
| 48 | Ophthalmic for adults |
| 49 | Ophthalmic for children |
| 50 | Otolaryngology for adults |
| 51 | Otolaryngological for children |
| 52 | Dermatovenerological for adults |
| 53 | Dermatovenerological for children |
| 54 | Radiological |
| 55 | Pediatric |
| 56 | Proctological |
| 57 | Rheumatology for adults |
| 58 | Rheumatology for children |
| 59 | Purulent surgical for adults |
| 60 | Purulent surgical for children |
| 61 | Pulmonology for adults |
| 62 | Pulmonology for children |
| 63 | Cardiac surgery for adults |
| 64 | Vascular surgery |
| 65 | Burns (cambustiological) for adults |
| 66 | Toxicology for adults |
| 67 | Oral and maxillofacial surgery for adults |
| 68 | For rehabilitation treatment and medical rehabilitation: general for adults |
| 69 | For rehabilitation treatment and medical rehabilitation: general for children |
| 70 | Neurovascular |
| 71 | Mammalogical |
| 72 | Burns (cambustiological) for children |
| 73 | Rehabilitation treatment and medical rehabilitation for adults |
| 74 | Rehabilitation treatment and medical rehabilitation for children |
| 75 | For rehabilitation treatment and medical rehabilitation: cardiology for adults |
| 76 | For rehabilitation treatment and medical rehabilitation: cardiology for children |
| 77 | For rehabilitation treatment and medical rehabilitation: cardiac surgery for adults |
| 78 | For rehabilitation treatment and medical rehabilitation: cardiac surgery for children |
| 79 | For rehabilitation treatment and medical rehabilitation: neurological for adults |

| 80 | For rehabilitation treatment and medical rehabilitation: neurological for children |
|-----|---|
| 81 | For rehabilitation treatment and medical rehabilitation: neurosurgical for adults |
| 82 | For rehabilitation treatment and medical rehabilitation: neurosurgical for children |
| 83 | For rehabilitation treatment and medical rehabilitation: traumatology for adults |
| 84 | For rehabilitation treatment and medical rehabilitation: traumatology for children |
| 85 | For rehabilitation treatment and medical rehabilitation: orthopaedic for adults |
| 86 | For restorative treatment and medical rehabilitation: orthopaedic for children |
| 87 | Cardiac surgery for children |
| 88 | Gynaecological for children, including abortions |
| 89 | Tuberculosis for adults: for compulsory treatment of patients with tuberculosis |
| 90 | Tuberculosis for adults: for patients with drug-resistant tuberculosis |
| 91 | Psychotherapeutic for children |
| 92 | Drug treatment for children |
| 93 | Toxicology for children |
| 94 | Maxillofacial surgery (dental) for children |
| 95 | Transplantology for adults |
| 96 | Transplantology for children |
| 97 | Leprological |
| 98 | Resuscitation rooms for adults |
| 99 | Resuscitation rooms for children |
| 100 | Nursing care |
| 101 | Palliative care |
| 102 | Surgical for newborns |
| 103 | Maxillofacial surgery (dental) for adults |
| 104 | For treatment with food |
| 105 | For treatment without food |
| 106 | Tuberculosis surgical |
| 107 | Psychotherapeutic for adults |
| 108 | Drug treatment for compulsory treatment |
| 109 | Pathology of newborns and nursing of premature infants |
| 110 | Stroke |
| 111 | CVI Infectious |
| 112 | Palliative care |
| 113 | For restorative treatment and medical rehabilitation: pulmonary for adults |

| For | restorative | treatment | and | medical | rehabilitation: |
|------|--------------|-----------|-----|---------|-----------------|
| pulı | monary for c | hildren | | | |
| | | | | | |

| pulmonary for children |
|--|
| (position, surname, first name, patronymic (if any) of the head of the healthcare department |
| a person authorized by him, signature) |
| Place of stamp (if any) |
| Date of completion |
| AGREED: |
| (position, surname, first name, patronymic (if any) of the head of a structural unit of th authorized body in the field of healthcare or a person authorized by him, signature)* |
| Place of stamp (if any) |
| Date of completion |
| AGREED: |
| (position, surname, first name, patronymic (if any) of the head of a territorial branch of |
| the "Social Health Insurance Fund" non-profit joint stock company or a person authorized b |
| him, signature) |
| Place of stamp (if any) |
| Date of completion |
| Note: |
| * according to the list of organizations under the jurisdiction of the Ministry of Healthca |

* according to the list of organizations under the jurisdiction of the Ministry of Healthcare of the Republic of Kazakhstan in accordance with the Regulations on the Ministry of Healthcare of the Republic of Kazakhstan, approved by Resolution of the Government of the Republic of Kazakhstan dated February 17, 2017 № 71 " "On some issues of the ministries of healthcare and national economy of the Republic of Kazakhstan."

Appendix 7
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

Form

114

Notification of the exclusion of a healthcare entity from the database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system or the type(s), form(s) of medical care, condition(s) for its provision for which the healthcare entity is included in the database of healthcare entities applying for medical care provision within the guaranteed volume of free medical care and (or) in the compulsory social health insurance system № _____

| (indicate the name of the branch of the "Social Health Insurance Fund" non-profit |
|--|
| joint-stock company) |
| hereby notifies |
| (indicate the name of the healthcare entity) |
| about the exclusion from the database of healthcare entities applying for the provision of |
| medical care |
| within the guaranteed volume of free medical care and (or) in the system of compulsory |
| social health |
| insurance (specify as required): |
| 1) as a supplier and co-executor for all types and forms of medical assistance or the |
| conditions |
| for its provision within the guaranteed volume of free medical care and (or) in the system |
| of compulsory |
| social health insurance for which the healthcare entity is included in the database of |
| healthcare entities, |
| claiming to provide medical care within the framework of guaranteed volume of free |
| medical |
| care and (or) in the compulsory social health insurance system in connection with |
| |
| based on the paragraph (specify the reason for exclusion) |
| - (indicate item number) |
| (indicate item number) |
| of the Order of the Minister of Healthcare of the Republic of Kazakhstan dated November |
| 6, 2020, № KR DSM-186/2020 "On approval of Rules for maintaining records of healthcare |

86/2020 "On approval of Rules for maintaining records of healthcare entities, providing

medical care within the framework of guaranteed volume of free medical care and (or) in the compulsory

social health insurance" (registered in the State Register of Normative Legal Acts under № 21619);

2) as a supplier within the guaranteed volume of free medical care assistance and (or) in the compulsory

social health insurance system for the following type(s), following form(s) of medical care, the condition(s) of its provision:

| Nº | Type, form of medical care or conditions for its provision | The subtype of type, form of medical care or conditions for its provision |
|----|--|---|
| 1 | 2 | 3 |
| 1 | | |

as a co-executor within the guaranteed volume of free medical care and (or) in the system of compulsory social medical care insurance according to the following type(s), form(s) of medical care, condition(s) of its provision:

| No | | The subtype of type, form of medical care or conditions for its provision | | |
|----|---|---|--|--|
| 1 | 2 | 3 | | |
| 1 | | | | |

| in connection with |
|-------------------------------------|
| |
| |
| (indicate the reason for exclusion) |
| based on paragraph |
| = - |

(indicate paragraph number)

of the Order of the Minister of Healthcare of the Republic of Kazakhstan dated November 6, 2020,

№ KR DSM-186/2020 "On approval of Rules for maintaining records of healthcare entities, providing

medical care within the framework of guaranteed volume of free medical care and (or) in the compulsory

social health insurance" (registered in the State Register of Normative Legal Acts under № 21619).

Head (person authorized by him) of the branch

(name of the branch of the non-profit joint stock company "Social Health Insurance Fund"

(signature, surname, first name, patronymic (if any) Place of stamp (if any) Date of completion

Appendix 8
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

Application for exclusion from the database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system

|] <u>//</u> 0 - | |
|-----------------|---|
| | 1. To |
| - con | (name of the branch of the "Social Health Insurance Fund" non-profit joint-stock npany) from |
| | (name of the healthcare entity) |
| | (BIN* of the healthcare entity (IIN** for an individual)) |
| | (a form of ownership of the healthcare entity) |
| | (name of the region, city of republican significance or capital) |
| | (legal address of the healthcare entity) |
| | (last name, first name, patronymic (if any) of the head of the healthcare entity) |
| <u> </u> | (contact numbers, email address of the healthcare entity) |

- 2. The healthcare entity refuses to provide medical services within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system.
- 3. By this application, the healthcare entity confirms the absence of violations of the requirements imposed by the order of the Minister of Healthcare of the Republic of Kazakhstan dated November 6, 2020, № KR DSM-186/2020 "On approval of the rules for maintaining records of healthcare entities providing medical care within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system" (registered in the State Register of Normative Legal Acts under № 21619) to

| provided. | • |
|---|---|
| 4. The following documents shall be attach | ned to this application |
| (indicate only the attached documents): | |
| 1) sheets; | |
| 2) | |
| Note: | |
| * business identification number; | |
| ** individual identification number. | |
| (position, surname, first name, patronymic | (if any) of the |
| head of the healthcare entity or person auth | norized by him, signature). |
| Date of completion | |
| | Appendix 9 |
| | to the Rules for keeping records of healthcare entities providing medical |
| | care within the guaranteed volume |
| | of free medical care and (or) within the compulsory social health insurance |
| | system |
| Form | |
| Notice of rejection of an application for exclusion healthcare entities applying for medical care provof the guaranteed volume of free medical care an social health insurance system № | vision within the framework |
| • | e "Social Health Insurance Fund" non-profit |
| joint-stock company) | |
| hereby notifies | |
| • | tity) about the rejection of the application for |
| exclusion from | |
| | ng for the provision of medical care within the |
| guaranteed | 1 11 11 1 |
| connection with | e compulsory social health insurance system in |
| (indicate the reason for rejection) based on | paragraph |
| (indicate paragraph number) | |

healthcare entities for exclusion from the database, and the reliability of the information

of the order of the Minister of Healthcare of the Republic of Kazakhstan dated November 6, 2020

№ KR DSM-186/2020 "On approval of the rules for maintaining records of healthcare entities

providing medical care within the framework of the guaranteed volume of free medical care and (or)

in the compulsory social health insurance system" (registered in the State Register of Normative

| | Legal Acts under № 21619). Head (person authorized by him) of the branch |
|-----|---|
| cor | (name of the branch of the "Social Health Insurance Fund" non-profit joint-stock npany) |
| | (signature, surname, first name, patronymic (if any) |

Place of stamp (if any)

Date of completion

Appendix 10
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

Form

List of healthcare entities excluded from the database of healthcare entities applying for medical care provision within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system

| № | BIN* (IIN **) | Name of healthcare entity | Legal address | Address of the location of the production n base*** | Form of ownership of the healthcare entity | populatio n services | Database inclusion date | Database exclusion date | The expiration date for exclusion from the database |
|---|---------------|---------------------------------|------------------|---|--|-------------------------|-------------------------------|-------------------------------|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |

Table continuation

| Type/ Form of | Subtype/ form of | Type/ Form of medical care (| ппесисат | Contact informati on (phone number, | , first name, | Healthcare status | entity |
|------------------|---------------------|---------------------------------------|----------|-------------------------------------|---------------|-------------------|--------|
|------------------|---------------------|---------------------------------------|----------|-------------------------------------|---------------|-------------------|--------|

| Reason | Last | medical | medical | Co-execut | care (| email | c (if any) | Provider | Co-execut |
|-----------|----------|-----------|-----------|-----------|-----------|----------|------------|----------|-----------|
| f o r | modified | care (| care (| or) | Co-execut | address) | of the | | or |
| exclusion | date | Provider) | Provider) | | or) | | head | | |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |

Note:

*** the address(es) of the production base(s) shall be indicated in accordance with the annex to the license for medical activities.

Appendix 11
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

Form

List of co-executors applying for the provision of medical care within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system under a co-execution agreement for ______

| № | BIN* (IIN **) | Name of healthcare entity | address | Address of the location of the productio n base*** | Form of ownership of the healthcare entity | which services | Date included in the database | Date removed from the database | The expiration date for exclusion from the database |
|---|------------------|---------------------------|---------|---|--|-------------------|-------------------------------|---|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |

Table continuation

| D | | Type/ | Subtype/ | Type/ Form of | of type/ | Contact informati | , first | Healthcare status | e entity |
|------------------------------|--------------------------|---------------------------------|---|------------------|--|---|---|-------------------|--------------|
| Reason f o r exclusion | Last modified date | Form of medical care (Provider) | form of medical care (Provider) | medical care (| form of medical care (Co-execut or) | on (phone number, email address) | patronymi c (if any) of the head | Provider | Co-execut or |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |

Note:

*** the address(es) of the production base(s) shall be indicated in accordance with the annex to the license for medical activities.

Appendix 12 to the Rules for keeping records of healthcare entities providing medical care within the guaranteed volume

^{*} business identification number; ** individual identification number;

^{*} business identification number; ** individual identification number;

Form

Information on the provision of medical services within the framework of specialized medical care in an outpatient setting within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system

| № | \ / | Name of the provider (co-provider) of medical services | Service code | Name of medical | medical | End date of medical service |
|---|-----|---|--------------|-----------------|---------|-----------------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |

Note:

Appendix 13
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

Form

Information on the provision of medical services within the framework of specialized medical care in inpatient and hospital-replacement conditions within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system

| Nº | BIN* (IIN**) of the supplier | Name of healthcare provider | Code ICD-10 (ICD-9) | Name of medical service | Start date of medical service provision | End date of medical service |
|----|---------------------------------|-----------------------------------|---------------------------|-------------------------------|---|-----------------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |

Note:

Appendix 14
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

Form

^{*} business identification number; ** individual identification number.

^{*} business identification number; ** individual identification number.

Information on the provision of high-tech medical services within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system

| № | BIN* (IIN**) of the supplier | Name of healthcare provider | ICD-9 code | Name of medical service | Start date of medical service provision | End date of medical service |
|---|------------------------------|-----------------------------------|------------|-------------------------------|---|-----------------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |

Note:

Appendix 15
to the Rules for keeping records
of healthcare entities providing medical
care within the guaranteed volume
of free medical care and (or) within
the compulsory social health insurance
system

care

10

11

Form

Information on concluded agreements for co-execution of contracts for the purchase of medical services within the framework of the guaranteed volume of free medical care and (or) in the compulsory social health insurance system

| , | То | | | | | | | | | | |
|---|----------------------------|---------------------|-------------------------------|----------------------|---------|---|-----------------|---------------------------|---------------------|---------------|-------------|
| | (name o npany) dated | f the b | ranch c | of the " | Social | Health | Insurar | nce Fun | d" non | -profit | joint-stock |
| | (name of th | e health | ncare er | ntity (BI | N* of t | | hcare e | ntity (II | N** for | an indi | vidual)) |
| № | BIN* (IIN**) of the | Name of healthcar e | BIN* (IIN**) of the co-execu | Name of co-execu tor | | Date of conclusi on of the co-execution | Contract period | Subtype o f medical | Number o f services | Amount, tenge | |

(position, surname, first name, patronymic (if any) of the head of the healthcare entity or person authorized by him, signature).

agreeme nt

Date of completion _____

provider

supplier

2

1

Note:* business identification number;** individual identification number

number

^{*} business identification number; ** individual identification number.

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